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Multiple Mechanistically Distinct Timescales of Neocortical Plasticity Occur During Habituation

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The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest

Author contribution statement

All data was acquired by SC and data was analyzed by FC. SC participated in experimental design. FC and SC interpreted the data and wrote the manuscript.

Keywords

primary visual cortex, Learning, adaptation, habituation, inhibition, novelty, Stimulus-selective response potentiation, NMDA Receptors (Min.5-Max. 8

Abstract

Word count: 287

Recognizing familiar but innocuous stimuli and suppressing behavioral response to those stimuli are critical steps in dedicating cognitive resources to significant elements of the environment. Recent work in the visual system has uncovered key neocortical mechanisms of this familiarity that emerges over days. Specifically, exposure to phase-reversing gratings of a specific orientation causes long-lasting stimulus-selective response potentiation (SRP) in layer 4 of mouse primary visual cortex (V1) as the animal's behavioral responses are reduced through habituation. This plasticity and concomitant learning require the NMDA receptor and the activity of parvalbumin-expressing (PV+) inhibitory neurons. Changes over the course of seconds and minutes have been less well studied in this paradigm, so we have here characterized cortical plasticity occurring over seconds and minutes, as well as days, to identify separable forms of plasticity accompanying familiarity. In addition, we show evidence of interactions between plasticity over these different timescales and reveal key mechanistic differences. Layer 4 visual-evoked potentials (VEPs) are potentiated over days, and they are depressed over minutes, even though both forms of plasticity coincide with significant reductions in behavioral response. Adaptation, classically described as a progressive reduction in synaptic or neural activity, also occurs over the course of seconds, but appears mechanistically separable over a second as compared to tens of seconds. Interestingly, these short-term forms of adaptation are modulated by long-term familiarity, such that they occur for novel but not highly familiar stimuli. Genetic knock-down of NMDA receptors within V1 prevents all forms of plasticity while, importantly, the modulation of short-term adaptation by long-term familiarity is gated by PV+ interneurons. Our findings demonstrate that different timescales of adaptation/habituation have divergent but overlapping mechanisms, providing new insight into how the brain is modified by experience to encode familiarity.

Contribution to the field

Habituation is a foundational cognitive process that reduces the requirement for neural resources to be allocated to innocuous stimuli, thereby freeing up attention and energy to detect and explore salience. Memories of innocuous familiar stimuli must be formed so that they can be selectively ignored while novel stimuli, which have the potential for significance, are detected. Within the visual system, we have previously shown that increases in neural activity in cerebral cortex occur during habituation that emerges over days, but many forms of habituation must occur over shorter timescales to allow allocation of resources to appropriate stimuli within a single session. Here we characterize cortical plasticity and habituation over seconds, minutes, and days within the same subjects, revealing short-term plasticity that diminishes neural activity, an opposing effect to the better characterized long-term plasticity. In addition, we have revealed overlapping but distinct molecular and cellular mechanisms mediating these different timescales of plasticity. Elucidating the mechanisms that underlie habituation will inform us how the brain can learn to recognize familiar stimuli and thereby detect novelty. This work also provides unique insight into core processes of learning that are affected in the disordered brain, where habituation and novelty detection are commonly dysfunctional.

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Generated Statement: The animal study was reviewed and approved by Committee on Animal Care at the Massachusetts Institute of Technology.

Studies involving human subjects

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Inclusion of identifiable human data

Generated Statement: No potentially identifiable human images or data is presented in this study.

Data availability statement

Generated Statement: The data analyzed in this study is subject to the following licenses/restrictions: The datasets will be made available on request. Requests to access these datasets should be directed to samuel.cooke@kcl.ac.uk.

In review

Multiple Mechanistically Distinct Timescales of Neocortical Plasticity Occur During Habituation

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7 **Keywords: Primary Visual Cortex, Learning, Adaptation, Habituation, Inhibition, Novelty,**
8 **Stimulus-Selective Response Potentiation, NMDA Receptors (Min.5-Max. 8)**

9 **Abstract**

10 Recognizing familiar but innocuous stimuli and suppressing behavioral response to those stimuli are
11 critical steps in dedicating cognitive resources to significant elements of the environment. Recent work
12 in the visual system has uncovered key neocortical mechanisms of this familiarity that emerges over
13 days. Specifically, exposure to phase-reversing gratings of a specific orientation causes long-lasting
14 stimulus-selective response potentiation (SRP) in layer 4 of mouse primary visual cortex (V1) as the
15 animal's behavioral responses are reduced through habituation. This plasticity and concomitant
16 learning require the NMDA receptor and the activity of parvalbumin-expressing (PV+) inhibitory
17 neurons. Changes over the course of seconds and minutes have been less well studied in this paradigm,
18 so we have here characterized cortical plasticity occurring over seconds and minutes, as well as days,
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21 Layer 4 visual-evoked potentials (VEPs) are potentiated over days, and they are depressed over
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23 response. Adaptation, classically described as a progressive reduction in synaptic or neural activity,
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25 compared to tens of seconds. Interestingly, these short-term forms of adaptation are modulated by long-
26 term familiarity, such that they occur for novel but not highly familiar stimuli. Genetic knock-down of
27 NMDA receptors within V1 prevents all forms of plasticity while, importantly, the modulation of short-
28 term adaptation by long-term familiarity is gated by PV+ interneurons. Our findings demonstrate that
29 different timescales of adaptation/habituation have divergent but overlapping mechanisms, providing
30 new insight into how the brain is modified by experience to encode familiarity.

31 **Significance statement**

32 Habituation is a foundational cognitive process that reduces the requirement for neural resources to be
33 allocated to innocuous stimuli, thereby freeing up attention and energy to detect and explore salience.

34 Memories of innocuous familiar stimuli must be formed so that they can be selectively ignored while
35 novel stimuli, which have the potential for significance, are detected. Within the visual system, we
36 have previously shown that increases in neural activity in cerebral cortex occur during habituation that
37 emerges over days, but many forms of habituation must occur over shorter timescales to allow
38 allocation of resources to appropriate stimuli within a single session. Here we characterize cortical
39 plasticity and habituation over seconds, minutes, and days within the same subjects, revealing short-
40 term plasticity that diminishes neural activity, an opposing effect to the better characterized long-term
41 plasticity. In addition, we have revealed overlapping but distinct molecular and cellular mechanisms
42 mediating these different timescales of plasticity. Elucidating the mechanisms that underlie habituation
43 will inform us how the brain can learn to recognize familiar stimuli and thereby detect novelty. This
44 work also provides unique insight into core processes of learning that are affected in the disordered
45 brain, where habituation and novelty detection are commonly dysfunctional.

46 **Introduction**

47 Learning and memory enable organisms to adapt to altered pressures in the environment to produce
48 appropriate responses to stimulus and context over a variety of timescales (McGaugh, 2000).
49 Substantial gaps remain in our understanding of the neural underpinnings of these processes, in part
50 due to difficulties in observing and intervening in underlying plasticity as learning and memory occur
51 (Neves et al., 2008). Habituation is one relatively robust, easy to observe and apparently simple form
52 of learning, in which organisms acquire familiarity with innocuous stimuli and selectively reduce
53 behavioral responses to those stimuli over seconds, minutes, and days (Cooke and Ramaswami, 2020).
54 Habituation forms a foundation for further learning by enabling energy and attention to be devoted to
55 stimuli of already established salience, or novel stimuli that may have future significance
56 (Rankin et al., 2009; Schmid et al., 2014) and disruptions in this process likely contribute to a range of
57 psychiatric and neurological disorders (McDiarmid et al., 2017; Ramaswami, 2014). This form of
58 learning has commonly been ascribed to a neural process known as adaptation, which reduces
59 feedforward synaptic activity in response to repeated non-associative stimulation
60 (Groves and Thompson, 1970), especially over shorter timescales (Chung et al., 2002). However, a
61 competing theory, known as the comparator model (Sokolov, 1963), suggests the formation of long-
62 lasting memory of familiar stimuli through Hebbian synaptic potentiation, which in turn suppresses
63 behavioral output by recruiting inhibitory systems. It remains possible that both models apply but over
64 different timescales (Cooke and Ramaswami, 2020). In this study, we have assessed plasticity in
65 primary visual cortex (V1) of mice in response to repeated presentations of oriented, phase reversing
66 visual stimuli to assess whether different directions of plasticity can be observed across different
67 timescales.

68 It is now well established that the magnitude of visual-evoked potentials (VEPs) recorded in
69 layer 4 of mouse binocular V1 increases dramatically over days of repeated stimulation through an
70 orientation-specific form of plasticity known as stimulus-selective response potentiation (SRP)
71 (Frenkel et al., 2006; Cooke and Bear, 2010). This form of plasticity is also manifest as an increase in
72 the peak firing rate of V1 neurons (Aton et al., 2014; Cooke et al., 2015) and many of the known
73 molecular mechanisms are consistent with the involvement of Hebbian synaptic potentiation, notably
74 including a requirement for the NMDA receptor during induction and AMPA receptor insertion during
75 expression (Frenkel et al., 2006; Cooke and Bear, 2010). Importantly, mice produce behavioral
76 responses to the onset of these visual stimuli that exhibit significant orientation-selective habituation
77 over days (Cooke et al., 2015; Kaplan et al., 2016; Fong et al., 2020; Finnie et al., 2021), and this
78 process also requires the presence of NMDA receptors in V1. In addition, a cortical cell-type that exerts
79 exquisite inhibitory control over excitatory cell activity, the parvalbumin-expressing (PV+) inhibitory

80 interneurons (Atallah et al., 2012), are critical for differential cortical and behavioral responses to
81 familiar and novel stimuli after SRP and accompanying habituation (Kaplan et al., 2016). Thus, SRP
82 comprises a robust and relatively well understood form of plasticity that occurs concomitantly with
83 and shares mechanism with long-term memory.

84 One fascinating feature of SRP is that it does not manifest within a ~30-minute recording
85 session but starts to emerge the following day (Frenkel et al., 2006) and recent work has demonstrated
86 that SRP is dependent on consolidation processes that occur during sleep
87 (Aton et al., 2014; Durkin et al., 2017). Activity in the primary relay nucleus of the thalamus, the
88 dorsal lateral geniculate nucleus (dLGN), does increase over the course of 30 minutes prior to the
89 emergence of SRP in the cortex (Durkin et al., 2017), but there has so far been no description of what
90 happens over this time-course in V1. Although we have previously described evidence for a faster
91 adaptation that is apparent when comparing the beginning of a 200-phase reversal block with the end
92 (Kim et al., 2020), we have not described the time-course of this adaptation during this 100-second
93 block. In neither case is there any understanding of the underlying mechanism. In the current study,
94 we show that cortical plasticity accompanying behavioral habituation occurs across seconds, minutes,
95 and days of repeated stimulus experience. Notably, these forms of plasticity diverge in direction and
96 mechanism, and there is evidence of an interaction in which long-term familiarity suppresses
97 adaptation. In striking opposition to our observations of SRP during long-term habituation
98 (Cooke et al., 2015), layer 4 response magnitude decreases over seconds and minutes in V1. Loss of
99 expression of NMDA receptors from neurons in V1 impairs plasticity and adaptation across all
100 timescales. However, inactivation of PV+ neurons has a more nuanced effect, revealing the existence
101 of two separable forms of fast adaptation within a stimulus block. Moreover, we show that the
102 interaction between long-lasting familiarity and adaptation requires the activity of PV+ neurons. Thus,
103 a range of mechanistically separable forms of plasticity can be assayed across different timescales in
104 the same learning mouse.

105 **Materials and Methods**

106 *Animals*

107 All procedures were carried out in accordance with the guidelines of the National Institutes of Health
108 and protocols approved by the Committee on Animal Care at the Massachusetts Institute of
109 Technology. Figure 1 and 2 is composed of data from male C57B6/J mice (Charles River laboratory
110 international, Wilmington, MA). NMDA knock-down experiments (Figure 3, 4) make use of GRIN^{fl/fl}
111 mice (B6.129S4-*Grin1*^{tm2Stl/J} – Jackson laboratory). PV+ interneuron inactivation (Figure 5) uses PV-
112 Cre mice (B6;129P2-*Pvalb*^{tm1(cre)Arbr/J} – Jackson laboratory). All animals had food and water available
113 ad libitum and were maintained on a 12-hour light-dark cycle.

114 *Viral Transfection*

115 In the NMDAR knock-down and PV+ inactivation experiments viral vectors were administered via
116 stereotaxic injections into the mice. For the NMDA knock-down, GRIN^{fl/fl} mice (B6.129S4-
117 *Grin1*^{tm2Stl/J} – Jackson laboratory) underwent surgery at ~ 1 month. AAV8-hSyn-GFP-Cre
118 (knockdown; UNC viral core) or AAV8-hSyn-GFP (control; UNC viral core; generated by Dr. Bryan
119 Roth's laboratory) were injected in quantities of 13.5 nl 10 times at depths 600 μ m, 450 μ m, 300 μ m,
120 and 150 μ m bellow surface. Each injection was separated by 15 s and after repositioning 5 minutes
121 was allowed. For the PV+ inactivation experiment, AAV9-hSyn-DIO-HA-hM4D(Gi)-IRES-mCitrine
122 virus (UNC viral core – generated by B. Roth's laboratory) was injected into PV-Cre or WT-littermates
123 in quantities of 81 nl at depths 600 μ m, 450 μ m, 300 μ m below surface, including a 5-minute delay

124 after repositioning. Viral transfections were performed in both hemispheres and were immediately
125 followed by V1 electrode implantation, outlined below. Following surgery, mice were allowed 3 weeks
126 for full viral expression.

127 *V1 electrode implantation*

128 Mice were anaesthetized with an intraperitoneal (i.p) injection of 50 mg/kg ketamine and 10 mg/kg
129 xylazine for surgery. 1% lidocaine hydrochloride anesthetic was injected locally under the scalp and
130 0.1 mg/kg Buprenex was delivered sub-cutaneously for analgesia. Iodine and 70% ethanol were used
131 to clean the scalp. The skull was cleaned, dried, and scored using a blade. A steel headpost was fixed
132 over the frontal suture using super glue (ethyl cyanoacrylate). Burr holes were drilled 3.1 mm lateral
133 to lambda (to target binocular V1). Tungsten recording electrodes (FHC, Bowdoinham, ME, US) were
134 implanted 450 μ m bellow surface in both hemispheres. Silver wire reference electrodes were placed in
135 prefrontal cortex bi-laterally.

136 *Visual stimuli*

137 Visual stimuli were generated using software developed by Jeff Gavornik
138 (<https://github.com/jeffgavornik/VEPStimulusSuite>). The display was 20 cm in front of the mouse, and
139 mean luminance was 27 cd/m². Sinusoidal phase reversing gratings were presented full field, reversing
140 at 2 Hz. In most experiments, blocks consisted of 200 phase reversals, each block was presented 5
141 times interleaved with 30 seconds of grey screen. Gamma-correction was performed to maintain
142 constant luminance between gratings and grey screen. The 5 blocks were repeated until day 6. On the
143 final day, day 7, the familiar orientation (X°) was pseudo-randomly interleaved (such that no more than
144 2 blocks of the same orientation were shown in sequence) with a novel orientation ($X+90^\circ$).
145 Orientations were never within 25° of horizontal. In the PV+ inactivation experiment (Figure 5) 10
146 blocks were shown. On day 7 familiar (X°) and novel ($X^\circ-60$) stimuli were shown. Then CNO was
147 administered at 5mg/kg via intraperitoneal (i.p) injection. After a 15-minute wait, the familiar stimulus
148 (X°) was presented with a new novel stimulus ($X^\circ+60$).

149 *In vivo data acquisition and analysis*

150 Mice recovered from electrode implantation then underwent 2 days of habituation, followed by the 7-
151 day protocol outlined above. All data was acquired using the Plexon data acquisition system (Plexon
152 Inc, Dallas, TX, US). Local field potentials (LFP) were collected from V1 in both hemispheres, and
153 piezoelectrical signal was reduced in amplitude and digitized into a third recording channel. Animals
154 were head fixed at the opening of a metal cylinder tube and positioned on a piezoelectric transducer
155 placed under the front paws but touching the metal cylinder. This piezoelectric signal therefore consists
156 mainly of front paw movement but hind paw/ whole body movements also contribute to the signal due
157 to vibrations via the metal tube. All digital channels were recorded at 1 kHz sampling and run through
158 a 500 Hz low-pass filter. Data was extracted into Matlab using custom software. For the analysis over
159 days, 450 ms traces following stimulus onset were averaged over 1000 phase reversals (5 blocks x 200
160 phase reversals). For the across block analysis, traces were averaged over 200 phase reversals. For the
161 within-block analysis (1v2, 1v200), each individual phase reversal was averaged over 5 blocks. VEP
162 magnitude was taken as the minimum microvolt value from 1-100 ms following onset subtracted from
163 the maximum microvolt value taken from 75-250 ms following onset.

164 *Statistics*

165 All data is expressed as mean \pm SEM and number of animals is represented by n. All statistical analysis
166 is non-parametric due to small n numbers negating true testing of normality. For comparisons between
167 two groups or time points, a paired Wilcoxon signed rank test is used, for adaptation ratio analysis a
168 one-sample Wilcoxon signed rank test is used with a μ of 1. Repeated measures Friedman test is used
169 for analysis across multiple time points within one group. Where multiple tests have been performed,
170 all p values are adjusted using false discovery rate (FDR) correction.

171 *Data collection and use*

172 Data was originally collected by Sam Cooke in Mark Bear's lab (MIT). Raw data used in figures 1
173 and 2 was previously published by Kim et al. 2020. Raw data used in figure 3 and 4 was previously
174 published by Cooke et al. 2015, and figure 5 was published by Kaplan et al. 2016. Extended data
175 analysis was performed on this data which elucidated further phenotypes which are discussed below.

176 **Results**

177 *Habituation can be observed within and across days in the same animal*

178 Visual stimuli were presented over multiple timescales to awake head-fixed mice within a longitudinal
179 experimental design. This approach allowed for investigation into the change in neocortical activity
180 across these different timescales as visual-evoked behavior was concomitantly monitored. Awake mice
181 were head-fixed and viewed full field, oriented, 0.05 cycles/degree, 100% contrast, phase-reversing,
182 sinusoidal grating stimuli while concurrently recording layer 4 local fields potentials (LFPs) with
183 chronically implanted tungsten microelectrodes and behavior using a piezoelectric sensor (Figure 1A).
184 After a 5-minute period of gray screen (equivalent luminance to the grating stimuli to follow) to settle
185 the animal into head-fixation, a stimulus of one fixed orientation (X°) was presented at a temporal
186 frequency of 2 Hz for 200 phase reversals, resulting in \sim 100 seconds of continuous stimulus
187 presentation (we describe this as a stimulus **block** throughout). This block was repeated 5 times with
188 30-second-long gray screen intervals separating them. Overall, this session lasted approximately 15
189 minutes (5 minutes of gray followed by \sim 10 minutes of stimulus blocks and intervening gray). These
190 sessions, each containing 5 separated blocks, were then repeated once each over 6 days. On the 7th day,
191 5 blocks of the original orientation (X°) were presented pseudo-randomly interleaved with a novel
192 orientation ($X+90^\circ$), such that no more than 2 blocks of one orientation were presented in sequence
193 (Figure 1B). This experimental design allowed for analysis of habituation and cortical plasticity across
194 days and within a day.

195 We found that behavioral habituation occurred both within a day and across days. After the
196 onset of a block of visual stimuli, animals produce a pronounced behavioral response, which we
197 measured using a piezoelectric device and previously termed a vidget (Cooke et al., 2015). Using the
198 vidget, we were able to observe behavioral habituation within a single recording session on day 1 (n =
199 30), when the X° stimulus was novel. The vidget magnitude dropped considerably by the second block
200 and remained low (Figure 1C; Friedman test: p = 0.008, Wilcoxon signed-rank on B1-B2: p = 0.02,
201 B1-B3: p = 0.7, B1-B4: p = 0.04, B1-B5: p = 0.5; FDR correction for multiple comparisons), indicating
202 the occurrence of short-term habituation on day 1. When averaged over all 5 blocks, the overall
203 magnitude of vidgets was greater on day 1 than on the following days (Figure 1D; Friedman test: p=0.3;
204 Wilcoxon signed-rank on day 1- day 2: p = 0.05, day 1- day 3: p = 0.05, day 1- day 4: p = 0.2, day 1-
205 day 5: p = 0.09, day 1- day 6: p = 0.05; FDR correction for multiple comparisons), indicating the
206 occurrence of long-term habituation. During presentation of blocks of a novel stimulus ($X+90^\circ$),
207 interleaved with the familiar X° stimulus on the final day, vidgets were increased in magnitude for the

208 novel compared to the familiar stimulus (Figure 1E; Wilcoxon signed-rank test: $p < 0.001$), just as we
209 have described previously (Cooke et al., 2015; Kaplan et al., 2016; Fong et al., 2020).

210 *V1 plasticity accompanying long- and short-term habituation occurs in opposing directions*

211 Phase-locked LFP responses from layer 4 were averaged together to assess changes in visual-evoked
212 potential (VEP) magnitude within a day and across days ($n = 33$). We found that the changes in VEP
213 magnitude occurred in differing directions dependent upon the timescale. A very clear decrement in
214 VEP magnitude was apparent over the course of 5 blocks of stimulus presentation (~10 minutes) within
215 day 1 (Figure 1F, G; Friedman test across blocks on day 1; $p = 0.01$), following the trend of behavioural
216 habituation. This effect became more pronounced after the first day of stimulus presentation (Figure
217 1F; Friedman test: day 1; $p = 0.01$, day 2 - 6 $p < 0.001$; FDR multiple comparisons corrected). In contrast,
218 across days there was significant potentiation of VEP magnitude (Figure 1H; Friedman test: $p < 0.001$)
219 and this potentiation was orientation specific, because VEP magnitude was reduced to baseline in
220 response to the novel orientation (Figure 1I; Wilcoxon signed-rank test: $p < 0.001$). Thus, SRP is also
221 present in these animals, just as described previously (Frenkel et al., 2006; Cooke and Bear, 2010).
222 Importantly, a response decrement accompanies short-term habituation, while response potentiation
223 accompanies long-term habituation in the same animals.

224 *Short-term adaptation occurs within a stimulus block*

225 Next, we wanted to determine whether even shorter timescales of plasticity could be identified within
226 the same experiments, this time focusing on plasticity across a single stimulus block. We averaged
227 VEP magnitude for each of the 200 phase reversals within a block across all 5 blocks on day 1 and
228 across animals ($n = 33$). Over the course of 200 phase reversals (~100s) we observed a reduction in the
229 VEP magnitude (Figure 2A). Most notably, there was an immediate reduction from phase 1 to phase 2
230 (Figure 2A, B; Wilcoxon signed-rank on phase 1 to 2: $p < 0.001$), followed by a striking rebound over
231 the next few phase reversals. A steadier reduction in VEP magnitude was observed across all 200 phase
232 reversals, culminating in a significant difference between phase reversal 1 and phase reversal 200
233 (Figure 2A, C; Wilcoxon signed-rank on phase 1 to 200: $p = 0.001$). Thus, clear evidence is apparent
234 of adaptation within a stimulus block, indicating at least one, and perhaps two additional potential
235 timescales of plasticity to be investigated.

236 *Short-term adaptation is modulated by stimulus familiarity*

237 Short-term adaptation occurred from both the first to the second and the first to the last phase reversal
238 in a stimulus block when a stimulus was relatively novel on day 1, but did that plasticity persist for
239 highly familiar stimuli? By assessing averaged within-block adaptation over the course of 6 days of
240 long-term observation, we found that adaptation from the first to the second phase reversal was
241 gradually reduced over days (Figure 2D; Wilcoxon signed-rank test on phase 1 vs 2 on day 1: $p <$
242 0.001 , day 2: $p < 0.001$, day 3: $p = 0.002$, day 4: $p = 0.008$, day 5: $p = 0.05$, day 6: $p = 0.04$; FDR
243 correction for multiple comparisons). Although this adaptation from the first to the second phase
244 reversal lessened as the stimulus became familiar over days, significant adaptation remained and the
245 adaptation ratio (AR) ($1^{\text{st}}/2^{\text{nd}}$) was always significantly above 1 (Figure 2E; one sample Wilcoxon
246 signed-rank test on AR ($\mu = 1$) on day 1: $p < 0.001$, day 2: $p < 0.001$, day 3: $p < 0.001$, day 4: $p < 0.001$,
247 day 5: $p < 0.001$, day 6: $p = 0.002$; FDR correction for multiple comparisons). On day 7, there was
248 greater adaptation for the novel stimulus than for the familiar orientation in pseudo-randomly
249 interleaved blocks (Figure 2F; Wilcoxon signed-rank test on phase 1 vs 2 on day 7 fam: $p = 0.02$, day
250 7 nov: $p < 0.001$; FDR correction for multiple comparisons) and the AR ($1^{\text{st}}/2^{\text{nd}}$) for the familiar
251 stimulus was significantly reduced compared to that in response to the novel stimulus (Figure 2G;

252 Wilcoxon signed rank day 7 fam AR vs day 7 nov AR: $p = 0.009$) suggesting modulation of adaptation
253 from the 1st to 2nd phase reversal by long-term familiarity.

254 A more pronounced modulation of adaptation by long-term familiarity was observed for
255 adaptation from the first to the last phase reversal. Adaptation from phase reversal 1 to 200 was no
256 longer significant by day 4 and thereafter (Figure 2H; Wilcoxon signed-rank phase 1 v 200 on day 1:
257 $p = 0.008$, day 2: $p = 0.04$, day 3: $p < 0.001$, day 4: $p = 1$, day 5: $p = 0.4$, day 6: $p = 1$; FDR correction
258 for multiple comparisons). In this case, the adaptation ratio (1st/200th) became statistically
259 indistinguishable from 1 by day 4 for the familiar orientation (Figure 2I; one sample Wilcoxon signed-
260 rank test on AR ($\mu=1$) on day 1: $p < 0.001$, day 2: $p = 0.001$, day 3: $p < 0.001$, day 4: $p = 0.1$, day 5: p
261 $= 0.006$, day 6: $p = 1$; FDR correction for multiple comparisons). The adaptation from reversal 1 to
262 200 only returned when a novel orientation was presented on the final day (Figure 2J; Wilcoxon signed-
263 rank phase 1 v 200 on day 7 fam: $p = 1$, day 7 nov: $p < 0.001$; FDR correction for multiple comparisons).
264 The AR (1st/ 200th) for the familiar stimulus was significantly different to that in response to the novel
265 stimulus (Figure 2K; Wilcoxon signed rank day 7 fam AR vs day 7 nov AR: $p < 0.001$) showing that
266 adaptation from the 1st to 200th phase reversal is strongly modulated by long-term familiarity.

267 ***Both short-term and long-term habituation require NMDA receptors in V1***

268 Given the critical role of NMDA receptors (NMDAR) in a wide range of plasticity, and a known
269 requirement in SRP and long-term habituation (Frenkel et al., 2006; Cooke et al., 2015), we sought to
270 investigate habituation and accompanying plasticity over shorter timescales after local NMDAR
271 knock-down in V1. Knock-down of NMDAR was achieved by expressing CRE recombinase via AAV
272 viral vector injection bilaterally into V1 in a GluN1-floxed (GRIN fl/fl) mouse line (Figure 3A), thus
273 knocking down expression of this mandatory subunit for NMDAR only within V1 ($n = 11$ mice). In
274 the control condition, GRIN fl/fl littermates were injected with a comparable vector, sharing serotype,
275 promoter and fluorophore, that lacked CRE recombinase ($n = 11$). As we have shown (Figure 1),
276 behavioral habituation occurs both across days and within a day from block 1 to block 5. We found
277 that loss of NMDARs from V1 affects both timescales. Behavioral activity usually drops from the first
278 block to the second and remains low (Figure 1), and we found that to also be true in the WT littermate
279 control mice (Figure 3B; Friedman test for block 1 to 5: $p = 0.003$, Wilcoxon signed-rank test in WT
280 group B1-B2: $p = 0.1$, B1-B3: $p = 0.02$, B1-B4: $p = 0.02$, B1-B5: $p = 0.02$; FDR correction for multiple
281 comparisons). However, knock-down of NMDARs in V1 prevents the reduction in behavior across
282 blocks (Figure 3B; Friedman test for block 1 to 5: $p = 0.3$, Wilcoxon signed-rank in KD group B1-B2:
283 $p = 0.8$, B1-B3: $p = 0.5$, B1-B4: $p = 0.2$, B1-B5: $p = 0.1$; FDR correction for multiple comparisons). As
284 we reported previously (Cooke et al. 2015), behavioral habituation from day 1 to day 6 is absent in the
285 KD group (Figure 3C; Friedman test in KD group: $p = 0.3$, in WT group: $p = 0.001$; FDR correction
286 for multiple comparisons). On day 7 there was no difference in the behavioral response between the
287 novel and familiar stimulus in the KD group, whereas in the WT group behavioral activity was higher
288 in response to the novel stimulus (Figure 3D; Wilcoxon signed-rank fam vs nov in KD: $p = 0.2$, in WT:
289 $p = 0.009$).

290 ***Bidirectional plasticity occurring in V1 during short- and long-term habituation require NMDA*** 291 ***receptors in V1***

292 Within the same dataset, we now assessed the within-day VEP magnitude reduction that accompanies
293 within-day habituation. The reduction in VEP magnitude across 5 blocks was modest in this dataset
294 and was less apparent in these subjects than in the subjects described in figure 1 (Figure 3E).
295 Nevertheless, by averaging the block-to-block VEP magnitudes observed during short-term habituation

296 across days, a significant within-day VEP suppression was observed in the GRIN fl/fl littermate control
297 animals (Figure 3F; $n = 11$; Friedman test in control group: $p = 0.03$; FDR correction for multiple
298 comparisons). In contrast, this significant VEP decrement was not observed in the NMDAR KD mice
299 (Figure 3F; $n = 11$; Friedman test in KD group: $p = 0.9$, FDR correction for multiple comparisons),
300 indicating that the within-day reduction in VEP magnitude accompanying short-term habituation
301 requires NMDAR, just as with the habituation itself. As previously reported (Cooke et al., 2015), VEP
302 magnitude potentiation from day 1 to 6, or SRP, is reduced in the knock-down (KD) group compared
303 to control (Figure 3G; $n = 11$; Friedman test in KD group: $p = 0.008$, WT group: $p < 0.001$; FDR
304 correction for multiple comparisons). Comparing the ratio of day 6 to day 1 in the control and KD
305 group shows a significant reduction in this plasticity over days after NMDAR KD (Figure 3H;
306 Wilcoxon signed rank between control and KD day 6/day 1 ratio: $p = 0.04$). On day 7, there was no
307 difference in VEP magnitude between the familiar and novel orientation in the KD group, whereas the
308 VEP magnitude to the novel stimulus in the control group was significantly different (Figure 3I; $n =$
309 11; Wilcoxon signed-rank fam vs nov in KD: $p = 0.2$, control: $p = 0.003$; FDR correction for multiple
310 comparisons).

311 *V1 adaptation requires NMDA receptors in V1 across short and longer timescales*

312 As we have shown above, short-term adaptation within our paradigm ordinarily occurs from both the
313 1st to the 2nd phase reversal and the 1st to the 200th phase reversal but disappears as the stimulus becomes
314 familiar (Figure 2). Within the GRIN1 fl/fl dataset, this adaptation was similarly present in the GRIN
315 fl/fl controls on day 1 and the subsequent two days, eventually becoming non-significant by day 4 and
316 thereafter for highly familiar stimuli (Figure 4A, B; one sample Wilcoxon signed-rank test on AR
317 (1st/2nd) ($\mu = 1$) control group on day 1: $p = 0.02$, day 2: $p = 0.01$, day 3: $p = 0.02$, day 4: $p = 0.2$, day
318 5: $p = 0.3$, day 6: $p = 0.03$; FDR correction for multiple comparisons). However, after knock-down of
319 NMDAR in V1, adaptation from the 1st to the 2nd phase reversal was absent on day 1 and all subsequent
320 days (Figure 4A, B; one sample Wilcoxon signed-rank test on AR (1st/2nd) ($\mu = 1$) KD group on day 1:
321 $p = 0.6$, day 2: $p = 0.9$, day 3: $p = 0.5$, day 4: $p = 0.4$, day 5: $p = 0.4$, day 6: $p = 0.4$). When blocks of
322 stimuli for familiar and novel orientations were presented pseudo-randomly interleaved on day 7, this
323 1st/2nd reversal adaptation was reduced for familiar but not novel stimuli in the control mice (Figure
324 4A, B; one sample Wilcoxon signed-rank test on AR (1st/2nd) ($\mu = 1$) on day 7 fam: $p = 0.03$, day 7
325 nov: $p = 0.008$; FDR correction for multiple comparisons), but not present for either stimulus in the
326 NMDAR KD mice (Figure 4A, B; one sample Wilcoxon signed-rank test on AR (1st/2nd) ($\mu = 1$) KD
327 group on day 7 fam: $p = 1$, day 7 nov: $p = 0.6$). The same phenotype was present when investigating
328 adaptation from the 1st to the 200th phase reversal. Loss of NMDARs prevented any short-term
329 adaptation expression across all days and stimulus type (Figure 4C, D; one sample Wilcoxon signed-
330 rank test on AR (1st/200th) ($\mu=1$) KD group on day 1: $p = 0.3$, day 2: $p = 0.3$, day 3: $p = 0.3$, day 4: $p =$
331 0.3, day 5: $p = 0.5$, day 6: $p = 0.5$, day 7 fam: $p = 0.5$, day 7 nov: $p = 0.4$; FDR correction for multiple
332 comparisons), while it remained present in the control mice over the first 5 days of stimulus
333 presentation, and re-emerged to a novel stimulus on day 7 (Figure 4C, D; one sample Wilcoxon signed-
334 rank test on AR (1st/200th) ($\mu=1$) control group on day 1: $p = 0.02$, day 2: $p = 0.02$, day 3: $p = 0.02$, day
335 4: $p = 0.02$, day 5: $p = 0.04$, day 6: $p = 0.08$, day 7 fam: $p = 0.8$, day 7 nov: $p = 0.008$; FDR correction
336 for multiple comparisons. Thus, short-term adaptation of VEP magnitude in V1 requires the presence
337 of functional NMDAR.

338 *A key role for the activity of Parvalbumin-expressing interneurons in long-term familiarity exposes* 339 *a mechanistic difference between timescales of adaptation*

340 Previously, we have shown that parvalbumin-expressing (PV+) inhibitory neurons in V1 are critical
341 for the expression of long-term familiarity. We inactivated these neurons using a cell type-specific
342 chemo-genetic approach in which the hM4Di DREADDS receptor was expressed in PV+ neurons of
343 V1, disrupting SRP expression (Kaplan et al., 2016). Therefore, we decided to assess whether these
344 PV+ neurons in V1 are required for the modulation of adaptation by long-term familiarity that we have
345 described in the current study (Figure 2). Bilateral injection of an AAV viral vector into V1 of a PV-
346 Cre mouse to express hM4Di in these cells (Figure 5A) enabled subsequent inactivation of V1 PV+
347 interneurons after SRP and long-term habituation had been established over 6 days. Specifically, on
348 day 7, familiar (X°) and novel ($X+60^\circ$) orientations were pseudo-randomly interleaved in a standard
349 design to test for selective SRP/habituation to the familiar orientation. After this, mice were
350 systemically injected (i.p.) with clozapine-n-oxide (CNO), which binds to hM4Di to inactivate
351 expressing neurons, before re-testing response to blocks of the familiar and a new novel stimulus (X -
352 60°) to assess modulation of adaptation by long-term familiarity (Figure 5B). Prior to inactivation of
353 PV+ neurons, VEP magnitude was significantly potentiated in response to the familiar stimulus and
354 therefore significantly greater in magnitude than response to the novel stimulus (Figure 5C; Wilcoxon
355 signed-rank day 7 fam vs nov: $p < 0.001$; FDR correction for multiple comparisons). However, as we
356 have reported previously (Kaplan et al., 2016), after inactivation of PV+ interneurons, there was no
357 significant difference in VEP magnitude in response to familiar and novel stimuli (Figure 5C;
358 Wilcoxon signed-rank day 7 fam vs nov w/ CNO: $p = 0.09$; FDR correction for multiple comparisons).
359 It is important to note that after inactivation of PV+ interneurons, the general VEP magnitude was
360 higher due to the loss of inhibition in the cortex. The inactivation of V1 PV+ inhibitory neurons also
361 impaired behaviorally manifest novelty detection as the behavioral response to a novel stimulus was
362 significantly greater than the response to the familiar stimulus before inactivation of PV+ neurons
363 (Figure 5D; Wilcoxon signed-rank day 7 fam vs nov: $p = 0.02$; FDR correction for multiple
364 comparisons), but was suppressed after inactivation of these neurons and no longer different during
365 PV+ inactivation (Figure 5D; Wilcoxon signed-rank day 7 fam vs nov w/ CNO: $p = 0.2$; FDR
366 correction for multiple comparisons).

367 As we have shown in the current study, short-term adaptation from the first to the second phase
368 reversal progressively reduces as the stimulus becomes familiar and is selectively suppressed on day 7
369 to highly familiar stimuli, but not novel stimuli (Figure 2). Here we show that, although VEP magnitude
370 generally increases, inactivation of PV+ interneurons had no effect on the modulation of 1st/2nd phase
371 reversal short-term adaptation (Figure 5E, F, G). Strong adaptation from the first to the second phase
372 reversal was absent when the stimulus was familiar and present when the stimulus was novel,
373 regardless of whether PV+ neurons were inactivated. This observation is most clear when we normalize
374 to the magnitude of the first phase reversal in order to remove the confound of increased overall
375 response after PV+ inactivation (Figure 5F; normalized to the first phase reversal; Wilcoxon signed
376 rank phase 1 vs 2 on day 7 fam: $p = 0.5$, day 7 nov: $p < 0.001$, day 7 fam w/ CNO: $p = 0.9$, day 7 nov
377 w/ CNO: $p = 0.004$ ($n = 14$). The adaptation ratio (1st/2nd) was significantly different between the
378 familiar and the novel stimulus both before and after PV+ neuronal inactivation (Figure 5G; Wilcoxon
379 signed rank on day 7 fam AR vs day 7 nov AR: $p = 0.007$, Wilcoxon signed rank on day 7 fam w/ CNO
380 AR vs day 7 nov w/ CNO AR: $p = 0.02$ ($n = 14$). Thus, inactivation of PV+ interneurons does not affect
381 the short-term adaptation from the 1st to the 2nd phase reversal, nor its suppression by long-term
382 familiarity.

383 Strikingly, the adaptation from the first to the last phase reversal of a stimulus block follows a
384 different pattern. While adaptation is suppressed by familiarity on day 7 but present for the novel
385 stimulus before PV+ neuronal inactivation (Figure 5H, I, J), it is strongly apparent for both familiar
386 and novel stimuli during PV+ neuronal inactivation (Figure 5I; normalized to the first phase reversal;

387 Wilcoxon signed rank phase 1 vs 200 on day 7 fam: $p = 0.005$, day 7 nov: $p < 0.001$, day 7 fam w/
388 CNO: $p = 0.005$, day 7 nov w/ CNO: $p = 0.003$ ($n = 14$). The adaptation ratio ($1^{\text{st}}/200^{\text{th}}$) is significantly
389 different for familiar and novel stimuli before PV+ inactivation (Figure 5J; Wilcoxon signed rank on
390 day 7 fam AR vs day 7 nov AR: $p = 0.007$ ($n = 14$). After application of CNO the AR is equivalent for
391 both the familiar and novel stimuli (Figure 5J; Wilcoxon signed rank on day 7 fam w/ CNO AR vs day
392 7 nov w/ CNO AR: $p = 0.8$). Therefore, the modulation of the short-term adaptation from the $1^{\text{st}}/200^{\text{th}}$
393 phase reversal by familiarity is not present after inactivation of PV+ interneurons, which differs from
394 the effect on adaptation $1^{\text{st}}/2^{\text{nd}}$ phase reversal, indicating two mechanistically distinct processes.

395 Discussion

396 In the current study we have identified multiple timescales of visual response adaptation that occur
397 during habituation in mice. We have expanded on our previous characterization of stimulus-selective
398 response potentiation (SRP), a form of long-term cortical response potentiation that occurs
399 concomitantly with long-term habituation, to reveal that the reverse effect of response decrement
400 coincides with short-term habituation. Moreover, we have identified shorter-term forms of adaptation
401 that occur over seconds. We also reveal that the NMDA receptor serves as a key molecular mechanism
402 shared by all these forms of plasticity (Figure 6A). In addition, we show that these various forms of
403 plasticity are not isolated phenomena, because short-term adaptation and SRP over days clearly
404 interact, such that adaptation no longer occurs for highly familiar stimuli. We also demonstrate that
405 this suppression of adaptation across hundreds of stimuli by long-term familiarity is gated by the
406 activity of PV+ inhibitory interneurons in V1 because inactivating these neurons causes short-term
407 adaptation to re-emerge to highly familiar stimuli (Figure 6B). Finally, we make the important
408 observation that the fastest form of adaptation that we have measured, occurring within a second of
409 stimulus presentation, remains suppressed for familiar stimuli even after inactivation of PV+
410 interneurons, indicating that there may be at least two mechanistically separable timescales of
411 adaptation present within our paradigm. Thus, we have revealed a multitude of forms of cortical
412 plasticity that can be assessed in passively viewing mice to gain a deeper understanding of the processes
413 of habituation.

414 The longest-term form of plasticity we have described here is already well characterized:
415 potentiation of the VEP in layer 4 over days is described as stimulus-selective response potentiation
416 (SRP) due to its high degree of stimulus-selectivity (Frenkel et al., 2006; Cooke and Bear, 2010) and
417 it occurs concurrently with long-term behavioral habituation
418 (Cooke et al., 2015; Kaplan et al., 2016; Fong et al., 2020; Finnie et al., 2021), just as we further
419 confirm here. Despite the clear reliance of SRP and accompanying habituation on V1 NMDA receptors,
420 selective knock-down of NMDARs in excitatory neurons of layer 4, the locus where SRP is manifest,
421 does not impair SRP or accompanying habituation (Fong et al., 2020). This observation indicates that
422 the potentiation is an echo of plasticity occurring elsewhere in V1, or in a different cell type within
423 layer 4. Therefore, the direct strengthening of synapses at thalamocortical inputs to layer 4 now seems
424 an unlikely explanation for SRP. Although local field potentials are thought to primarily report synaptic
425 activity rather than action potentials (Katzner et al., 2009; Buzsáki et al., 2012), potentiation of VEP
426 magnitude may reflect a loss of shunting inhibition that allows an increased synaptic response to
427 thalamic input, rather than a potentiation of the synaptic input itself. We have previously shown that
428 parvalbumin-expressing (PV+) inhibitory interneurons, which provide this powerful shunting
429 inhibition, show reduced activity over days as the stimulus becomes familiar during SRP
430 (Hayden et al., 2021). In addition, cell-specific interventional approaches reveal that a normal range of
431 activity in PV+ neurons is required for differential response to familiar or novel stimuli after SRP,
432 either cortically or behaviorally (Kaplan et al., 2016). Thus, it seems likely that SRP reflects a loss of

433 PV+ inhibition. How this contributes to a decrement in behavior, as is observed in the concomitant
434 long-term habituation, remains unclear (Montgomery et al., 2021). One possible arrangement is that
435 increased cortical output recruits another form of inhibition to suppress behavioral output. This
436 arrangement would accord with the comparator model of habituation, in which long-lasting memory is
437 formed in the cortex through elevated synaptic activity that enables recognition of familiarity and
438 suppresses output through feedforward inhibition, as suggested by Sokolov (Sokolov, 1963) and others
439 (Wagner, 1981; Konorski, 1967). To confirm that SRP conforms to this model will require
440 measurement of V1 output from the deeper layers of neocortex, with the prediction that this activity is
441 suppressed by superficial layers as they exhibit potentiation. It will also be critical to identify the
442 inhibitory intermediary that leads to this cortical output. One strong candidate for this inhibitory
443 suppression has recently emerged (Pluta et al., 2019).

444 The behavioral response decrement over the course of minutes, reflecting habituation over an
445 intermediate time-scale, has been investigated by others (Sanderson and Bannerman, 2011). The
446 reduction in VEP magnitude that coincides with this within session habituation has not formally been
447 described by us previously. Our observations of a decrement in VEP magnitude are notable because of
448 the striking contrast with SRP, which coincides with a similar reduction in behavior in the same
449 animals, but in that case over days (Figure 1). Visual cortical activity decreases during repetitive
450 presentation of natural movies (Deitch et al., 2021), suggesting that this reduced activity can occur in
451 response to multiple different types of visual stimuli, and the well-documented phenomenon of mis-
452 match negativity, in which novel oddball stimuli evoke increased magnitudes of event-related
453 potentials (ERP) relative to repetitions of increasingly familiar stimuli, occurs across similar timescales
454 (Näätänen et al., 2007; Garrido et al., 2009). In a similar paradigm to ours, thalamic activity has been
455 observed to increase over ~30 minutes (Durkin and Aton, 2019), and it remains possible that the
456 plasticity they have observed is, through some unidentified inversion, the origin of cortical decrement
457 and behavioral habituation. However, the reliance of both VEP decrement and concomitant habituation
458 on NMDARs within V1 strongly suggests that this is not the case (Figure 3). Dual recordings of
459 thalamic and cortical neurons may be required to resolve the origins of these effects, and targeted
460 interventions in the thalamus may also prove informative. Investigation of changes over the course of
461 minutes in response to both a familiar and novel grating (currently not possible due to the interleaving
462 of these stimuli) would elucidate if this reduction of cortical activity is indiscriminate to the type of
463 visual stimulus being shown or is also orientation specific, indicating cortical plasticity that is
464 potentially very similar to the familiarity effect observed leading up to mismatch negativity. Recent
465 work has shown that mismatch negativity depends upon activity of the somatostatin-expressing (SST+)
466 inhibitory interneurons (Hamm and Yuste, 2016), suggesting that modification of SST+ inhibition may
467 account for our observations. This class of interneurons primarily target dendrites of excitatory cells
468 and PV+ interneurons (Xu et al., 2013; Pfeffer et al., 2013; Cottam et al., 2013; Rikhye et al., 2021)
469 and they have been shown to be strongly influenced by stimulus familiarity
470 (Hayden et al., 2021; Makino and Komiyama, 2015; Kato et al., 2015). Inhibition on the dendrites of
471 excitatory neurons, where the majority of synaptic contacts are made, may contribute to reduced
472 synaptic activity during habituation (Natan et al., 2015), or these cells may influence the activity of
473 PV+ neurons to mediate the reduction in V1 response, as they are known to do in layer 4
474 (Xu et al., 2013). It would be informative to measure the activity of these inhibitory neurons in layer 4
475 of V1 across this timescale and more informative still to monitor inhibitory responses in principle
476 excitatory neurons during this within-session habituation. Given the dependency of the phenomenon
477 that we have described on NMDARs, one intriguing hypothesis is that excitatory synapses onto SST+
478 neurons are potentiated during repeated stimulus presentation. Knocking down the NMDAR
479 expression within these cells would test this hypothesis. It also remains possible that other types of
480 inhibition are increasingly engaged to produce habituation, as has recently been hypothesized

481 (Ramaswami, 2014). In line with the NMDAR dependence of the reduced behavioral responses, again,
482 this process may involve synaptic depression of excitatory synapses within V1. Much further work is
483 required to investigate the underlying mechanisms of this intermediate form of behavioral and cortical
484 response adaptation.

485 Over even shorter timescales of seconds, the VEP adaptation that we observe here within
486 continuous blocks of stimulation is a commonly reported phenomenon
487 (Cruikshank et al., 2010; Beierlein et al., 2003; Chung et al., 2002; von der Behrens et al., 2009). The
488 most parsimonious explanation for response decrement is that it reflects a depression of excitatory
489 synapses within the canonical excitatory synapses of V1 through a process of adaptive filtration, which
490 is perhaps the dominant theory of habituation (Groves and Thompson, 1970; Horn, 1967). This
491 depression could potentially occur through Hebbian depression mechanisms (Lee et al., 1998) at
492 excitatory synapses within the cortex (Chen et al., 2015), or the thalamus (Li et al., 2003), or through
493 short-term effects on synaptic release (Moulder and Mennerick, 2006). That the origin of response
494 depression is cortical is supported by its reliance V1 NMDARs. Specifically, we show that both the
495 adaptation from the 1st to the 2nd phase reversal (0.5 s), and the adaptation from the 1st to 200th phase
496 reversal (100 s) is impaired by a loss of NMDAR expression in V1 (Figure 3). This somewhat
497 surprising finding implicates the occurrence of a Hebbian form of plasticity that is at least induced
498 post-synaptically at short timescales (Bliss and Collingridge, 1993). Additionally, we have made the
499 intriguing additional observation that a loss of activity in PV+ neurons after chemo-genetic inactivation
500 re-instates short-term adaptation even to highly familiar stimuli (Figure 4). The immediate conclusion
501 from this observation is that short-term adaptation does not rely in any way on inhibition mediated by
502 PV+ neuronal activity, in striking contrast to long-term familiarity. The reinstated short-term
503 adaptation may therefore arise from the cortex responding to a familiar stimulus as if it were novel.
504 Alternatively, it remains possible that the loss of adaptation with long-term familiarity arises from a
505 gradual reduction in PV+ mediated inhibition through the course of a stimulus block that perfectly
506 matches excitatory synaptic depression. Inactivation of PV+ neurons would remove this gradual effect
507 and expose the depression occurring at those excitatory inputs. Using calcium imaging, we have
508 previously observed the gradual loss of PV+ neuronal engagement across phase reversals for familiar
509 but not novel stimuli, so this remains a plausible arrangement (Hayden et al., 2021). Interestingly,
510 using a similar method in excitatory neurons we have also previously reported a perplexing mismatch
511 with the electrophysiological measurements of SRP: when measuring VEP magnitude or peak unit
512 firing rate, a pronounced potentiation is observed (Cooke et al., 2015), while a reduction of signal is
513 observed with calcium imaging (Kim et al., 2020). In the current study we have added to that
514 conundrum, as we reveal short-term adaptation across seconds that is limited to novel stimuli (Figure
515 2), while we previously revealed a similar effect with calcium imaging but limited to familiar stimuli
516 (Kim et al., 2020). The only likely explanation for these curiously mismatched observations is that our
517 electrophysiological methods have detected a fast phasic effect which is potentiated by familiarity over
518 days and diminished to novel stimuli over seconds, while the calcium sensors detect a more sustained
519 diminishment of calcium flux as a result of familiarity over either time-course. Further experiments
520 comparing phasic and drifting gratings or using intracellular electrophysiology may be informative in
521 this regard. It will also be interesting to use calcium imaging to assess the intermediate timescale that
522 we have reported here which occurs from block to block over minutes within a session (Figure 1), to
523 determine if the mismatch between the two methods persists even across this timescale. Our prior study
524 indicates that for this timescale, at least, findings with electrophysiology and calcium imaging will
525 align (Kim et al. 2020).

526 The storage and retrieval of familiarity plays a major role in reserving energy and attention for
527 only those stimuli that are most pertinent to a task or context and is therefore critical for survival and

528 wellbeing. Understanding how these apparently simple forms of learning and memory are implemented
529 is a greater challenge than expected and there appear to be multiple solutions to the same problem,
530 some of which engage feedforward plasticity, others which engage inhibitory systems and more
531 complicated circuitry. These various mechanisms may all play out within one structure but across
532 different timescales. In this study, we have revealed the measurement of multiple mechanistically
533 distinct forms of plasticity occurring in the same animals across seconds, minutes and days of repeated
534 stimulus presentation, providing great potential to gain a deep understanding of a foundational set of
535 learning and memory processes. We have monitored these changes using LFP recordings, suggesting
536 that much of the observed phenomenology is likely to translate to non-invasive electroencephalogram
537 (EEG) recordings, providing future potential for translation into human subjects, where forms of
538 plasticity such as mismatch negativity have already been described (Näätänen et al. 2007).

539 **Conflict of Interest**

540 The authors declare that the research was conducted in the absence of any commercial or financial
541 relationships that could be construed as a potential conflict of interest.

542 **Author Contributions**

543 All data was acquired by SC and data was analyzed by FC. SC participated in experimental design. FC
544 and SC interpreted the data and wrote the manuscript.

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676 Figures

677 **Figure 1.** *V1 plasticity accompanying long- and short-term habituation occurs in opposing directions*
678 (A) Schematic of recording set-up. Mice viewed phase reversing gratings while layer 4 local-field
679 potentials were recorded through implanted tungsten electrodes and movement was recorded through
680 a piezo-electrical device. (B) 1 through 200 individual phase reversals were shown lasting
681 approximately 100 seconds (1 block). Five blocks were shown lasting approximately 15 minutes within
682 one session. One session of 5 blocks was shown for 6 days. On the 7th day, the familiar orientation
683 (previously viewed) and a novel orientation were shown pseudo-randomly interleaved. (C)
684 Comparison of behavior across blocks (n = 30). Friedman test $\chi^2(4) = 13.8$, p = 0.008. Post-hoc analysis
685 of individual comparisons of block 1-block 2: p = 0.02, block 1-block 3: p = 0.7, block 1-block 4: p =
686 0.04, block 1-block 5: p = 0.5. FDR correction for multiple comparisons. (D) Behavioral change over
687 day 1 to day 6 (n = 30). Friedman test $\chi^2(5) = 6.55$, p = 0.3. Post-hoc analysis of individual comparisons
688 of day 1-day 2: p = 0.05, day 1-day 3: p = 0.05, day 1-day 4: p = 0.2, day 1-day 5: p = 0.09, day 1-day
689 6: p = 0.05. FDR correction for multiple comparisons. (E) Behavioral response to familiar and novel
690 (n = 30). Wilcoxon signed-rank test fam vs nov: p < 0.001. (F) VEP magnitude from block 1 to 5 over
691 6 days (n = 33). Comparison across blocks, Friedman test, day 1: $\chi^2(4) = 12.8$, p = 0.01, day 2: $\chi^2(4) =$
692 69.8, p < 0.001, day 3: $\chi^2(4) = 55.1$, p < 0.001, day 4: $\chi^2(4) = 43.8$, p < 0.001, day 5: $\chi^2(4) = 32.5$, p <
693 0.001, day 6: $\chi^2(4) = 38.6$, p < 0.001. FDR correction for multiple comparisons. (G) VEP magnitude
694 from block 1 to 5 on day 1 (n = 33). Friedman test across blocks on day 1; p = 0.01. (H) VEP magnitude
695 potentiation over day 1 to day 6 (n = 33). Friedman test $\chi^2(5) = 95.9$, p < 0.001. Post-hoc analysis of
696 individual comparisons of day 1-day 2, day 3, day 4, day 5, day 6: all p < 0.001, FDR correction for
697 multiple comparisons. (I) VEP magnitude response to familiar and novel (n = 33). Wilcoxon signed-
698 rank test fam vs nov: p < 0.001. Asterisks throughout denote significance (*p < 0.05, **p < 0.01, ***p
699 < 0.001) while ns denotes non-significant. Where p = 0.05, this is explicitly stated.

700 **Figure 2.** *Short-term adaptation occurs within a stimulus block and is modulated by familiarity* (A)
701 Mean \pm SEM VEP magnitude for phase reversal 1 to 200 (n = 33). (B) VEP magnitude in response to
702 the first phase reversal and the 2nd, Wilcoxon signed rank 1st vs 2nd: p < 0.001 (n = 33). (C) VEP
703 magnitude in response to the first phase reversal and the 200th, Wilcoxon signed rank 1st vs 200th: p =
704 0.001 (n = 33). (D) VEP potential magnitude in response to the 1st vs 2nd phase reversal over 6 days (n
705 = 33). Wilcoxon signed rank 1st vs 2nd day 1: p < 0.001, day 2: p < 0.001, day 3: p = 0.002, day 4: p =
706 0.008, day 5: p = 0.05, day 6: p = 0.04. FDR correction for multiple comparisons. (E) Adaptation ratio
707 (1st/ 2nd) over 6 days. Wilcoxon signed-rank test on AR ($\mu=1$) day 1: p < 0.001, day 2: p < 0.001, day
708 3: p < 0.001, day 4: p < 0.001, day 5: p < 0.001, day 6: p = 0.002. FDR correction for multiple
709 comparisons. (F) VEP potential magnitude in response to the 1st vs 2nd phase reversal on day 7 (n =
710 33). Wilcoxon signed rank 1st vs 2nd day 7 fam: p = 0.02, day 7 nov: p < 0.001. FDR correction for
711 multiple comparisons. (G) Adaptation ratio (1st/ 2nd) on day 7. Wilcoxon signed-rank test fam vs nov:
712 p = 0.009. (H) VEP potential magnitude in response to the 1st vs 200th phase reversal over 6 days (n =
713 33). Wilcoxon signed rank 1st vs 200th day 1: p = 0.008, day 2: p = 0.04, day 3: p < 0.001, day 4: p =
714 1, day 5: p = 0.4, day 6: p = 1. (I) Adaptation ratio (1st/ 200th) over 6 days. Wilcoxon signed-rank test
715 on AR ($\mu=1$) day 1: p < 0.001, day 2: p = 0.001, day 3: p < 0.001, day 4: p = 0.1, day 5: p = 0.006, day

716 6: $p = 1$. FDR correction for multiple comparisons. (J) VEP potential magnitude in response to the 1st
717 vs 2nd phase reversal on day 7 ($n = 33$). Wilcoxon signed rank 1st vs 200th day 7 fam: $p = 1$, day 7 nov:
718 $p < 0.001$. (K) Adaptation ratio (1st/ 200th) on day 7. Wilcoxon signed-rank test fam vs nov: $p < 0.001$.
719 Asterisks throughout denote significance (* $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$) while ns denotes non-
720 significant.

721 **Figure 3.** *Bidirectional plasticity occurring in V1 during short- and long-term habituation require*
722 *NMDA receptors in V1* (A) Schematic of the experimental set-up in which a Cre recombinase was
723 locally expressed bilaterally in binocular V1 using an AAV viral vector to knockdown the mandatory
724 GluN1 subunit of the NMDA receptor in GluN1-floxed mice. (B) Comparison of behavior across
725 blocks for KD group ($n = 11$). Friedman test $\chi^2(4) = 4.7$, $p = 0.3$. Post-hoc analysis of individual
726 comparisons of block 1-block 2: $p = 0.8$, block 1-block 3: $p = 0.5$, block 1-block 4: $p = 0.3$, block 1-
727 block 5: $p = 0.1$. Comparison of behavior across blocks for WT group ($n = 11$). Friedman test $\chi^2(4) =$
728 10.8 , $p = 0.03$. Post-hoc analysis of individual comparisons of block 1-block 2: $p = 0.1$, block 1-block
729 3: $p = 0.02$, block 1-block 4: $p = 0.02$, block 1-block 5: $p = 0.02$. FDR correction for multiple
730 comparisons. (C) Behavioral change over day 1 to day 6 in KD group ($n = 11$), Friedman test $\chi^2(5) =$
731 5.9 , $p = 0.3$. In WT group ($n = 11$), Friedman test $\chi^2(5) = 21.6$, $p = 0.001$. FDR correction for multiple
732 comparisons. (D) Behavioral response to familiar and novel. Wilcoxon signed-rank test fam vs nov in
733 KD group: $p = 0.2$, in WT group: $p = 0.009$. FDR correction for multiple comparisons. (E) VEP
734 magnitude change from block 1 to block 5 for day 1 to day 6 ($n = 11$ for each group). (F) VEP potential
735 magnitude averaged over day 1 to day 6. Comparison over blocks for KD group, Friedman test $\chi^2(4) =$
736 0.7 , $p = 0.9$ ($n = 11$). Comparison over blocks for WT group, Friedman test $\chi^2(4) = 12.1$, $p = 0.03$ ($n =$
737 11). FDR correction for multiple comparisons. (G) VEP magnitude across day 1 to 6 in knock-down
738 (KD) and wild-type (WT groups). Friedman test for KD group: $\chi^2(5) = 15.4$, $p = 0.008$ ($n = 11$).
739 Friedman test for WT group: $\chi^2(5) = 36.5$, $p < 0.001$ ($n = 11$). FDR correction for multiple comparisons.
740 (H) Ratio of day 6 VEP magnitude to day 1 VEP magnitude in KD and control group. Wilcoxon signed
741 rank between groups: $p = 0.04$. (I) VEP magnitude response to familiar and novel, Wilcoxon signed-
742 rank test fam vs nov for KD group: $p = 0.2$, for WT group: $p = 0.003$ ($n = 11$). FDR correction for
743 multiple comparisons. Asterisks throughout denote significance (* $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$)
744 while ns denotes non-significant.

745 **Figure 4.** *V1 adaptation requires NMDA receptors in V1 across short timescales* (A) VEP magnitude
746 in response to the 1st and 2nd phase reversal in GluN1 KD and WT group across all days ($n = 11$) (B)
747 Adaptation ratio (1st/ 2nd) across days. Wilcoxon signed-rank test on AR ($\mu=1$) in KD group on day 1:
748 $p = 0.6$, day 2: $p = 0.9$, day 3: $p = 0.5$, day 4: $p = 0.4$, day 5: $p = 0.4$, day 6: $p = 0.4$, day 7 fam: $p = 1$,
749 day 7 nov: $p = 0.6$. Wilcoxon signed-rank test on AR ($\mu=1$) in WT group on day 1: $p = 0.02$, day 2: $p =$
750 0.01 , day 3: $p = 0.02$, day 4: $p = 0.2$, day 5: $p = 0.3$, day 6: $p = 0.03$, day 7 fam: $p = 0.03$, day 7 nov:
751 $p = 0.008$. FDR correction for multiple comparisons. (C) VEP magnitude in response to the 1st and
752 200th phase reversal in KD and WT group across all days. (D) Adaptation ratio (1st/ 200th) across days.
753 Wilcoxon signed-rank test on AR ($\mu=1$) in KD group on day 1: $p = 0.3$, day 2: $p = 0.3$, day 3: $p = 0.3$,
754 day 4: $p = 0.3$, day 5: $p = 0.5$, day 6: $p = 0.5$, day 7 fam: $p = 0.5$, day 7 nov: $p = 0.4$. Wilcoxon signed-
755 rank test on AR ($\mu=1$) in WT group on day 1: $p = 0.02$, day 2: $p = 0.02$, day 3: $p = 0.02$, day 4: $p =$
756 0.02 , day 5: $p = 0.05$, day 6: $p = 0.08$, day 7 fam: $p = 0.8$, day 7 nov: $p = 0.008$. FDR correction for
757 multiple comparisons. Asterisks throughout denote significance (* $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$).

758 **Figure 5.** *A key role for the activity of Parvalbumin-expressing inhibitory interneurons in long-term*
759 *familiarity exposes a mechanistic difference between timescales of adaptation* (A) Schematic of the
760 experimental set-up in which hm4Di was selectively expressed in parvalbumin-expressing (PV)
761 inhibitory neurons of V1 using an AAV viral vector in PV-Cre mice. (B) Schematic of visual

762 presentation protocol in which all mice underwent a standard 6-day SRP protocol before testing
763 response to familiar and novel stimuli during systemic saline injection or CNO application, which
764 were administered prior to presentation to familiar and novel stimulus. (C) VEP magnitude in
765 response to familiar and novel stimuli with and without CNO-induced PV⁺ neuronal inactivation.
766 Wilcoxon signed rank day 7 fam vs nov: $p < 0.001$. Wilcoxon signed rank day 7 fam vs nov with
767 CNO: $p = 0.09$. (D) Behavioral change in response to familiar and novel stimuli with and without
768 CNO. Wilcoxon signed rank day 7 fam vs nov: $p = 0.02$. Wilcoxon signed rank day 7 fam vs nov
769 with CNO: $p = 0.2$. (E) VEP magnitude in response to the 1st and the 2nd phase reversal in response to
770 familiar and novel stimuli with and without CNO. (F) VEP magnitude to the 1st and the 2nd phase
771 reversal normalized to the 1st phase reversal in response to familiar and novel stimuli with and
772 without CNO. Wilcoxon signed rank phase 1 vs 2 on day 7 fam ($n = 14$): $p = 0.5$, day 7 nov: $p <$
773 0.001 , day 7 fam w/ CNO: $p = 0.9$, day 7 nov w/ CNO: $p = 0.004$. (G) Adaptation ratio (1st/ 2nd) in
774 response to familiar and novel stimuli with and without CNO. Wilcoxon signed rank ($n = 14$): day 7
775 fam AR vs day 7 nov AR in DREAADs group: $p = 0.007$; day 7 fam w/ CNO AR vs day 7 nov AR
776 w/ CNO in DREAADs group: $p = 0.02$. Wilcoxon signed rank ($n = 7$): day 7 fam AR vs day 7 nov
777 AR in WT group: $p = 0.03$; day 7 fam w/ CNO AR vs day 7 nov AR w/ CNO in WT group: $p = 0.02$.
778 FDR correction for multiple comparisons. (H) VEP magnitude in response to the 1st and the 200th
779 phase reversal in response to familiar and novel stimuli with and without CNO. (I) VEP magnitude to
780 the 1st and the 200th phase reversal normalized to the 1st phase reversal in response to familiar and
781 novel stimuli with and without CNO. Wilcoxon signed rank phase 1 vs 200 on day 7 fam: $p = 0.005$,
782 day 7 nov ($n = 14$): $p < 0.001$, day 7 fam w/ CNO: $p = 0.005$, day 7 nov w/ CNO: $p = 0.003$. (J)
783 Adaptation ratio (1st/ 200th) in response to familiar and novel stimuli with and without CNO.
784 Wilcoxon signed rank day 7 fam AR vs day 7 nov AR in DREAADs group ($n = 14$): $p = 0.007$; day 7
785 fam w/ CNO AR vs day 7 nov AR w/ CNO in DREAADs group: $p = 0.8$. Wilcoxon signed rank ($n =$
786 7): day 7 fam AR vs day 7 nov AR in WT group: $p = 0.03$; day 7 fam w/ CNO AR vs day 7 nov AR
787 w/ CNO in WT group: $p = 0.04$. FDR correction for multiple comparisons. Asterisks throughout
788 denote significance ($*p < 0.05$, $**p < 0.01$, $***p < 0.001$) while ns denotes non-significant.

789 **Figure 6.** *Schematic summarizing fundamental cortical and behavioral changes across multiple*
790 *timescales (A) Cortical and behavioral changes over seconds, minutes, and days (left), and the result*
791 *of V1 NMDAR KO on these changes. (B) Cortical and behavioral changes in response to a familiar*
792 *and novel stimulus and the associated adaptation (left), and the result of PV⁺ interneuron inactivation*
793 *on these changes.*

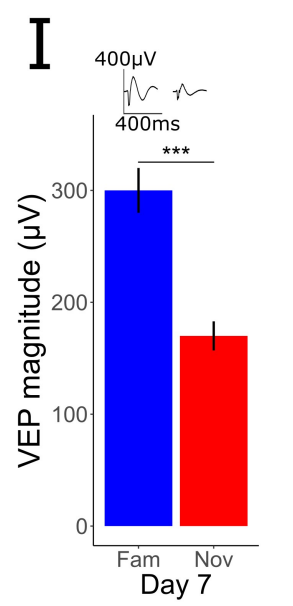
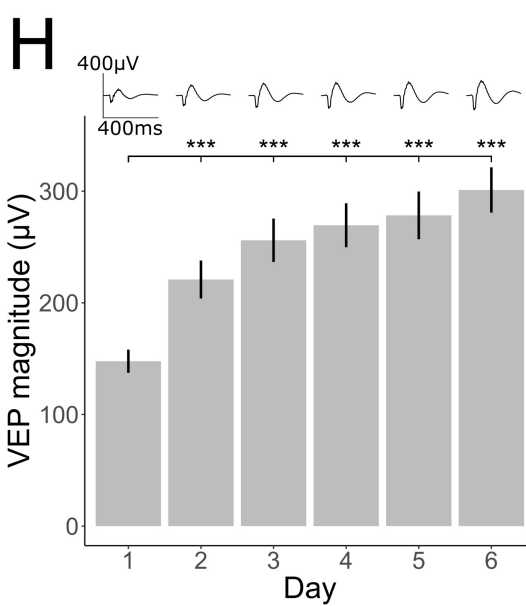
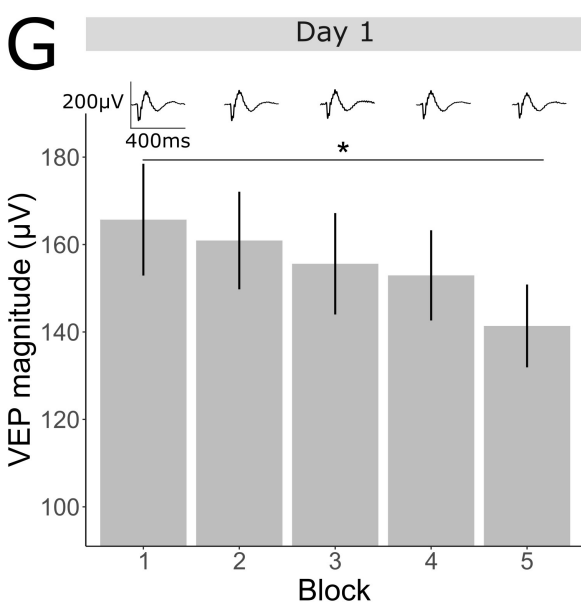
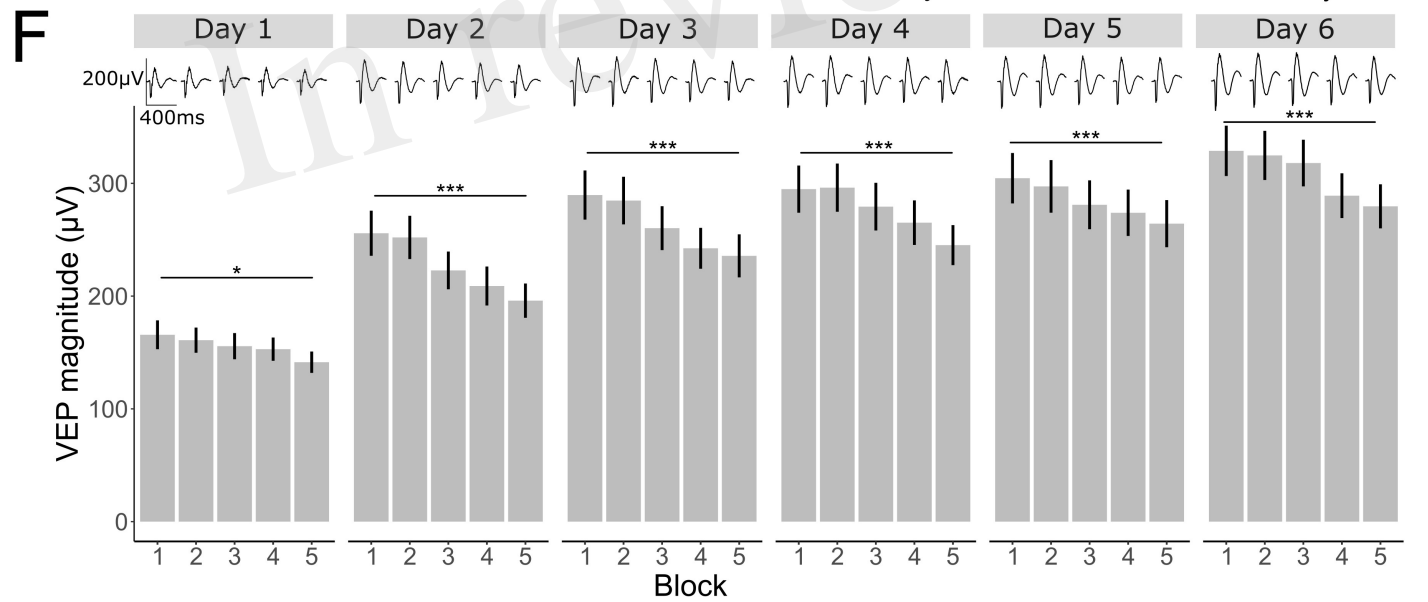
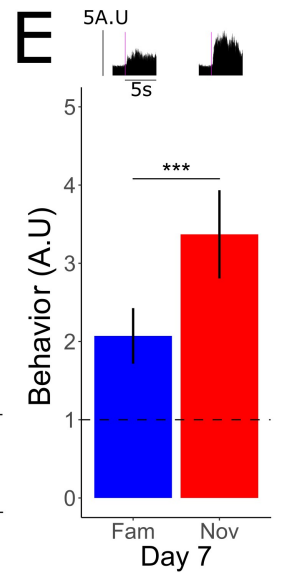
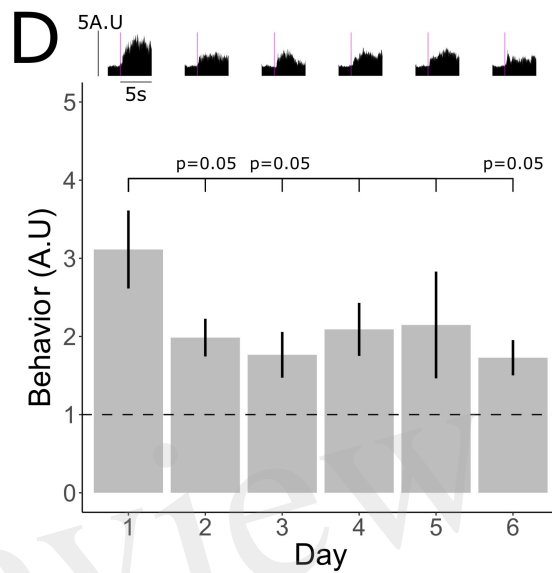
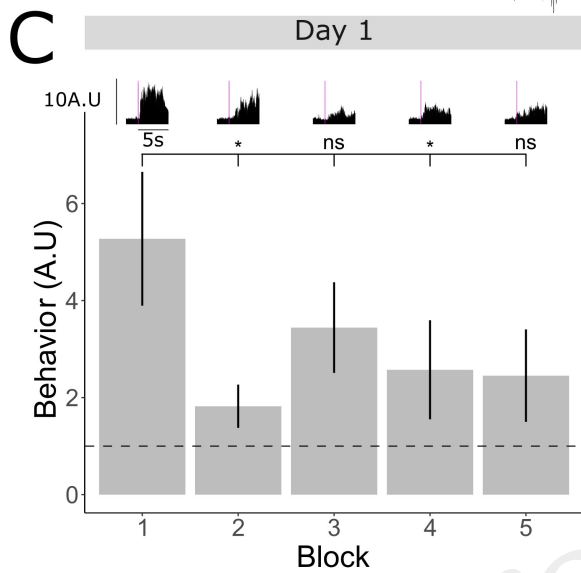
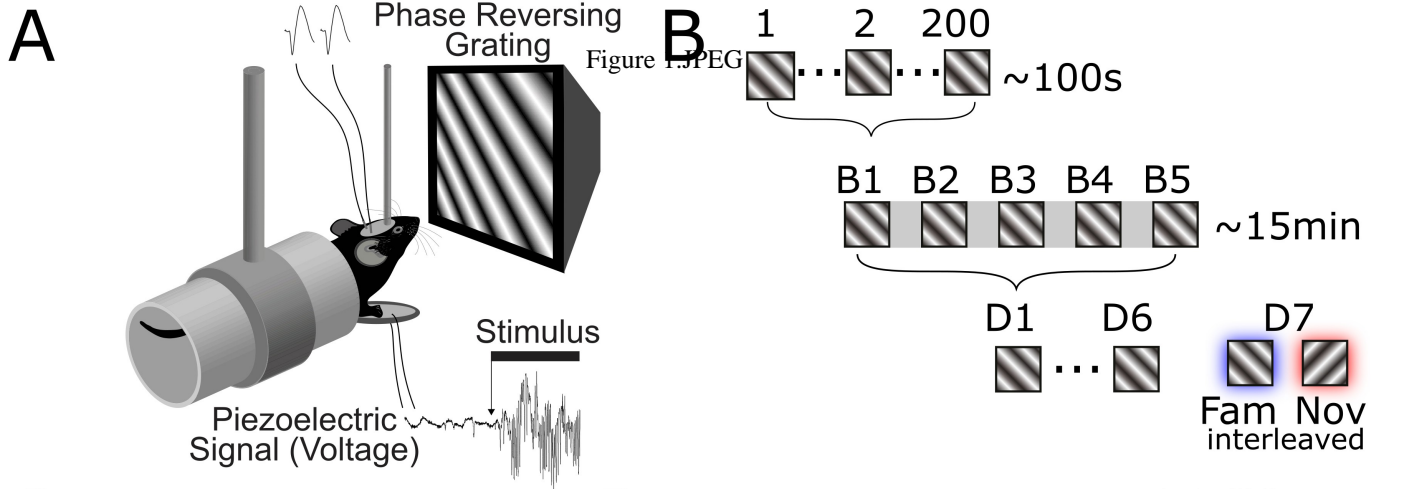


Figure 2.JPEG

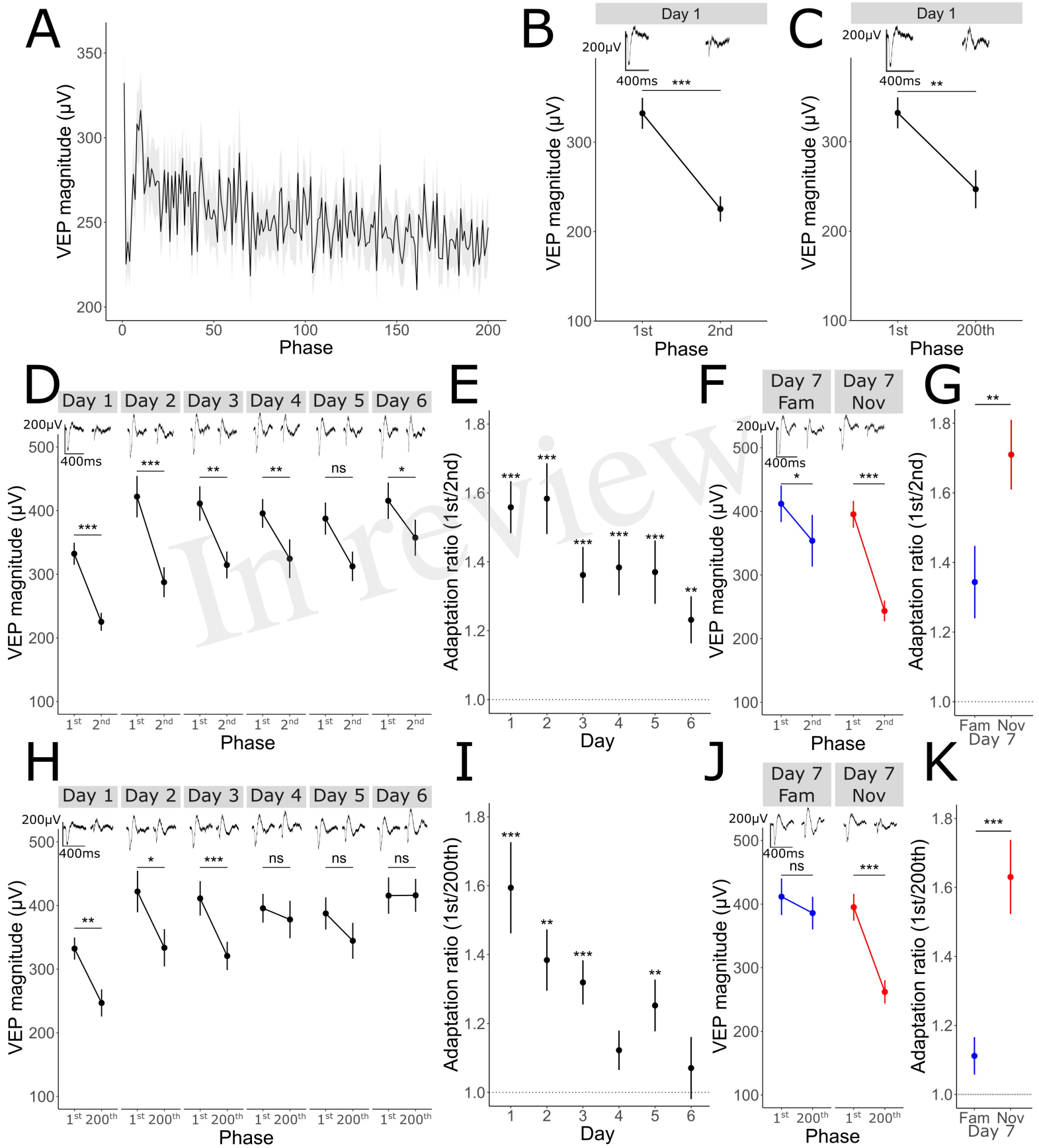


Figure 3.JPEG

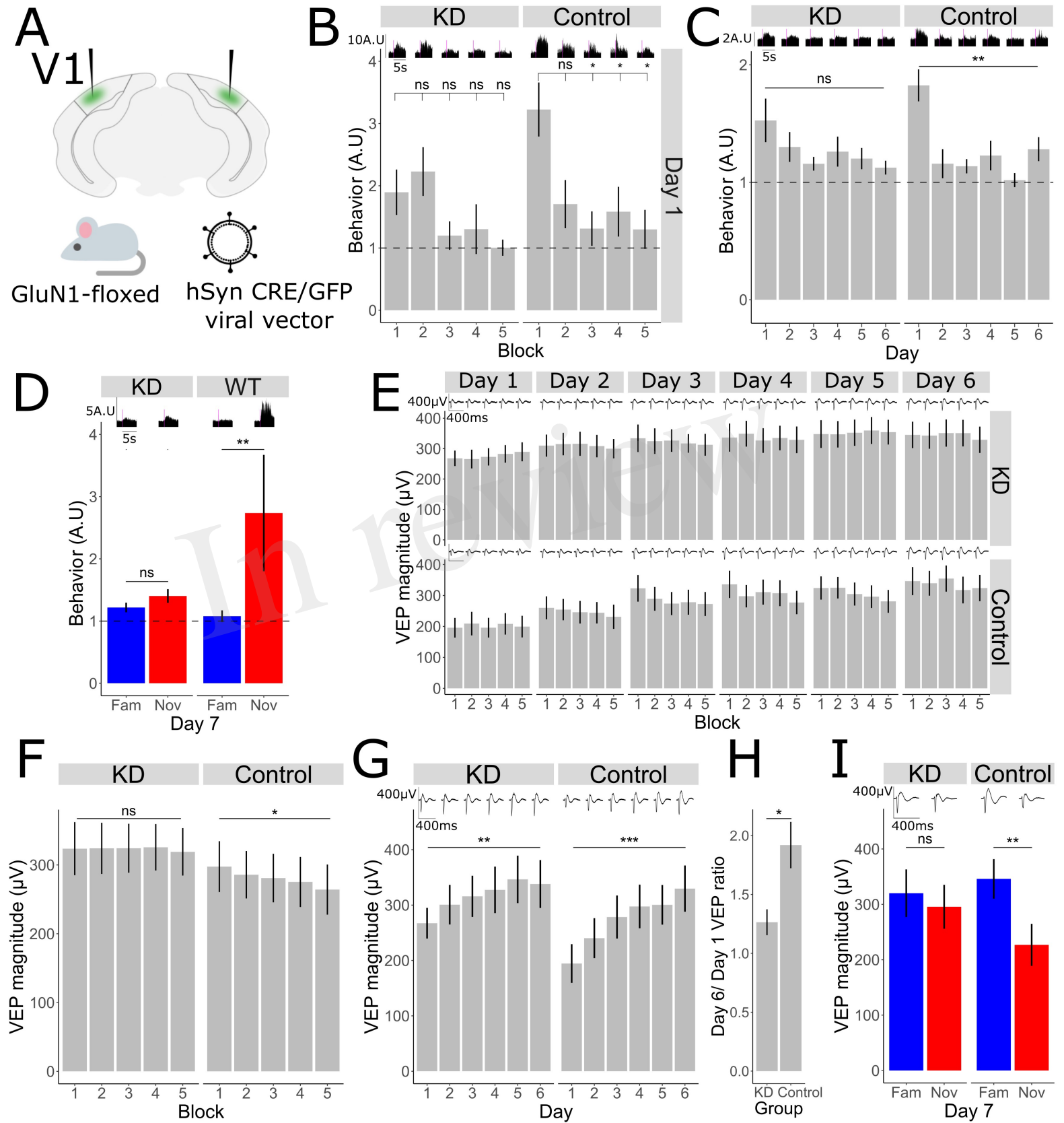


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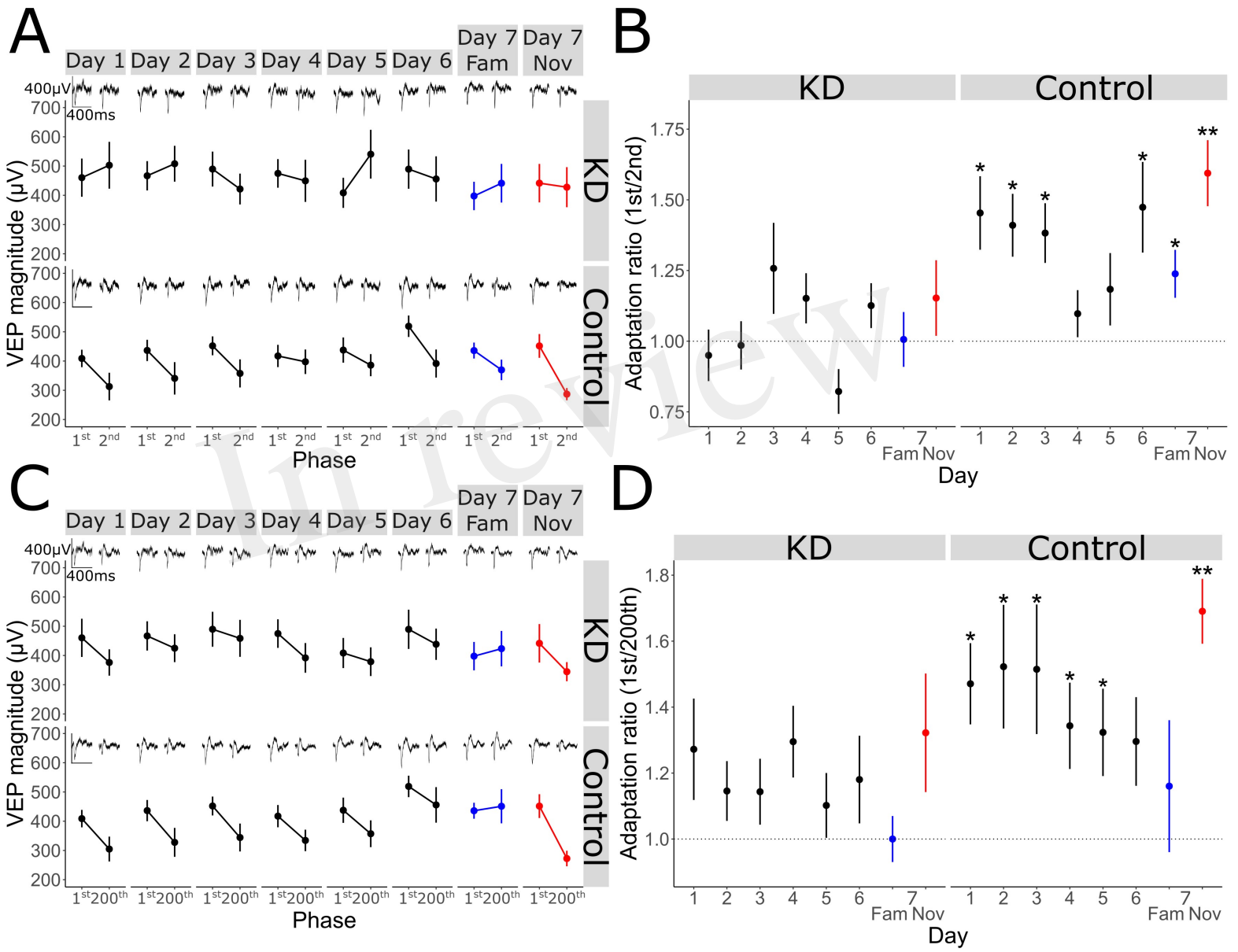


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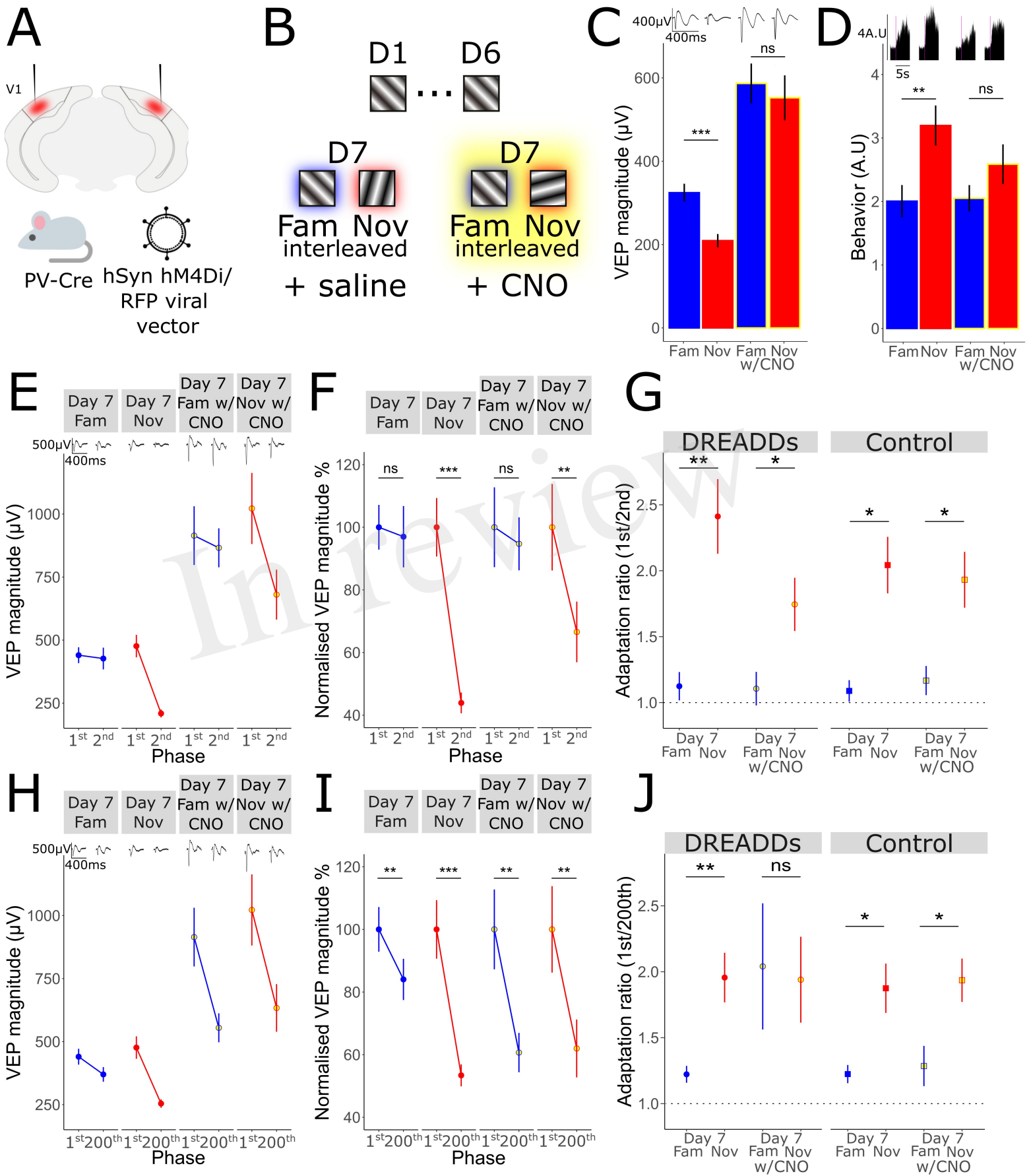
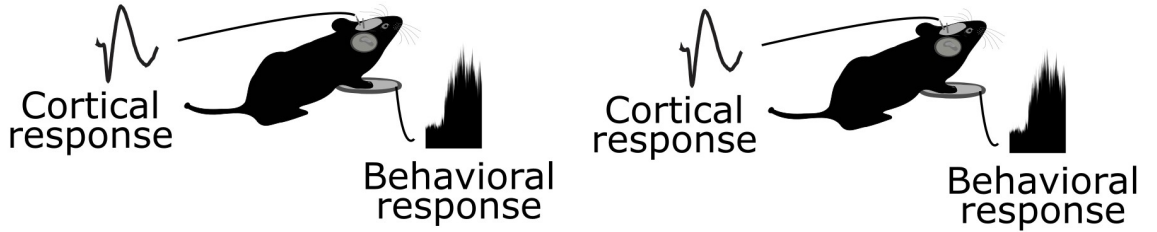


Figure 6.JPEG

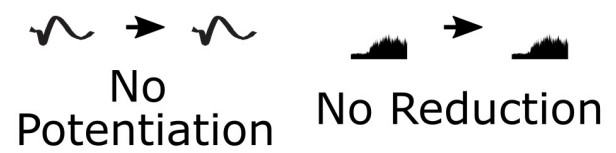
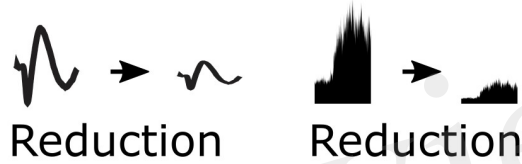
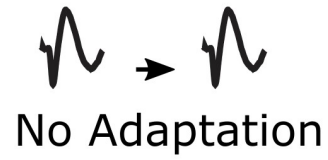
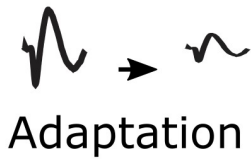
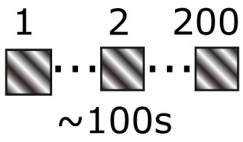
A



V1 NMDAR intact



V1 NMDAR KO



B

Normal PV+ activity



PV+ inactivation

