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1 **Atypical neurogenesis in induced pluripotent stem cell (iPSC)**

2 **from autistic individuals**

3  
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30 **Short title:** Atypical neurogenesis in autism iPSC-derived neurons

31 **Keywords:**

32 Autism, neural precursors, neural progenitor cells, cortical differentiation, midbrain  
33 differentiation, neurodevelopment, functional genomics.

34

35

36 **Abstract**

37 **Background:** Autism is a heterogenous collection of disorders with a complex molecular  
38 underpinning. Evidence from *post-mortem* brain studies have indicated that early prenatal  
39 development may be altered in autism. Induced pluripotent stem cells (iPSCs) generated from  
40 autistic individuals with macrocephaly also indicate prenatal development as a critical period  
41 for this condition. But little is known about early altered cellular events during prenatal stages  
42 in autism.

43 **Methods:** iPSCs were generated from 9 unrelated autistic individuals without macrocephaly  
44 and with heterogeneous genetic backgrounds, and 6 typically developing, control, individuals.  
45 iPSCs were differentiated towards either cortical or midbrain fates. Gene expression and high  
46 throughput cellular phenotyping was used to characterise iPSCs at different stage of  
47 differentiation.

48 **Results:** A subset of autism-iPSC cortical neurons were RNA-sequenced to reveal autism-  
49 specific signatures similar to *post-mortem* brain studies, indicating a potential common  
50 biological mechanism. Autism-iPSCs differentiated towards a cortical fate displayed  
51 impairments in the ability to self-form into neural rosettes. In addition, autism-iPSCs  
52 demonstrated significant differences in rate of cell type assignment of cortical precursors, and  
53 dorsal and ventral forebrain precursors. These cellular phenotypes occurred in the absence of  
54 alterations in cell proliferation during cortical differentiation, differing from previous studies.  
55 Acquisition of cell fate during midbrain differentiation was not different between control- and  
56 autism-iPSCs.

57 **Conclusions:** Taken together, our data indicate that autism-iPSCs diverge from control-iPSCs  
58 at a cellular level during early stage of neurodevelopment. This suggests that unique  
59 developmental differences associated with autism may be established at early prenatal stages.

## 60 **Introduction**

61 Autism spectrum conditions (henceforth autism) are a genetically heterogeneous spectrum of  
62 neurodevelopmental conditions (1-3). Autism is characterised by impairments in social-  
63 communicative behaviours as well as repetitive behaviours. Symptoms of autism cannot be  
64 detected until twelve to eighteen months of age (4). However, there is debate surrounding the  
65 origins of autistic symptoms. It is now well recognised that genetic factors play a key role in  
66 the emergence of autism (1, 2). Increasing evidence indicate that perturbation during critical  
67 periods of prenatal development may be key for the emergence of autism (5). Consistent with  
68 this idea, autism *post-mortem* brain studies have identified dysregulation of putative prenatal  
69 gene expression pathways (6). Thus, early prenatal development may be a critical period for  
70 the emergence of cellular pathophysiology associated with autism (6).

71 The use of induced pluripotent stem cell (iPSC) has made it possible to study prenatal  
72 cellular behaviour in autism (7-11). iPSC-neurons contain the same genetic information as the  
73 individuals from whom they were derived, which influences cellular behaviour. iPSCs  
74 generated from autistic individuals, comorbid for macrocephaly, show significant  
75 cellular/molecular anomalies during prenatal-equivalent periods (12-14). These iPSCs  
76 demonstrated atypical neural differentiation when fated towards a cortical lineage, and an  
77 imbalance in excitatory and inhibitory receptor activity (12, 13). Using the same collection of  
78 iPSCs, an acceleration in neuronal maturation was found to be dependent on early cortical  
79 neural precursor (NPC) development and circumventing this stage did not recapitulate altered  
80 neuronal development. Alterations in gene expression network dynamics during early  
81 neurodevelopment also accompanied these effects (14). These studies highlight that the cellular  
82 and molecular phenotypes associated with autism may start during prenatal brain development.  
83 A critical aspect of this studies is that atypical neural differentiation was associated with higher

84 cell proliferation (12-14). However, as the autistic participants in these studies also had  
85 macrocephaly, it is unclear whether the observed abnormal development was in part due to this  
86 comorbidity. Moreover, as macrocephaly is present only in a subset of autistic individuals it is  
87 not known if atypical development can be generalised to autistic individuals without  
88 macrocephaly. Finally, as most studies have predominantly focused on the development of  
89 forebrain/cortical neurons, it is yet to be tested whether atypical development can also be  
90 observed in NPCs fated towards a different lineage.

91 In this study, we generated iPSCs from autistic individuals without macrocephaly from 3  
92 independent patient cohorts to capture a wider population of autistic individuals. Initial RNA-  
93 sequencing studies indicated that early neurodevelopment may be affected. To further  
94 investigate the source of atypical gene expression, we undertook extensive cellular  
95 phenotyping experiments. The goal of this study was to understand if there was a fundamental  
96 difference between typical and autistic prenatal neurodevelopment, focussing primarily on  
97 early neuroectodermal structures and cell types that constitute the developing cerebral cortex.

98

## 99 **Materials and Methods**

100 Further information can be found in Supplemental Information.

### 101 *Induced pluripotent stem cells*

102 Participants were recruited and methods carried out in accordance to the ‘Patient iPSCs for  
103 Neurodevelopmental Disorders (PiNDs) study’ (REC No 13/LO/1218). Informed consent was  
104 obtained from all subjects for participation in the PiNDs study. Ethical approval for the PiNDs  
105 study was provided by the NHS Research Ethics Committee at the South London and Maudsley  
106 (SLaM) NHS R&D Office. Autistic participants were selected based on ADOS, ADI-R scores,

107 while typical controls were selected from the population if they had no diagnosis of any  
108 psychiatric condition. Fifteen iPSC lines (autism: 9; control: 6) were generated from hair  
109 keratinocytes as previously described (15, 16). Details on all participants can be found in  
110 **Supplementary Results** and **Supplementary Tables S1, S2, S3**. Two clones per iPSCs were  
111 used in all experiments; pluripotency of all iPSCs was determined by immunocytochemistry  
112 (**Supplementary Table S4 and Supplementary Figure 1**).

### 113 *Neuronal differentiation*

114 iPSCs were differentiated cortical neurons using a dual SMAD inhibition protocol which  
115 recapitulates of key hallmarks of corticogenesis (10, 16). iPSCs were differentiated to midbrain  
116 floorplate precursors using established protocols (7, 8).

### 117 *RNA-sequencing*

118 RNA-sequencing was performed from a subset of our cohort, on 2 clones from each participant  
119 (ASDM1, 004ASM, 010ASM, CTRM1, CTRM2, CTRM3), and each clone had 2 technical  
120 replicates. Poly(A) containing mRNA was purified and libraries prepared using TruSeq  
121 Stranded mRNA kit (Illumina). Unstranded libraries with a mean fragment size of 150bp were  
122 constructed, and underwent 50bp single ended sequencing on an Illumina HiSeq 2500 machine.  
123 Bioinformatics analysis was performed using C++ and R based programs.

### 124 *Immunocytochemistry*

125 Differentiated iPSCs were fixed in 4% paraformaldehyde and processed as previously  
126 described (16). Briefly, fixed cells were permeabilized in 0.1% Triton-X-100/PBS, and blocked  
127 in 4% normal goat serum in PBS. Primary antibodies (**Supplementary Table S5**) were  
128 incubated overnight at 4°C. Nuclei were identified by staining with DAPI. High content  
129 screening (HCS) was performed using an Opera Phenix High-Content Screening System

130 (Perkin Elmer). Immunofluorescence was measured from known intracellular location of  
131 markers (e.g. nucleus or cytoplasm). Cell type analysis was performed using the Harmony  
132 Software (16). For Rate of Cell Type Assignment (deltaCTA), the percent positively stained  
133 cells appearing per day was estimated, which was then adjusted to the total number of positive  
134 cells appearing per day in one well of a 96-well plate, assuming each well had an average of  
135  $10^5$  cells.

### 136 *Statistics*

137 Quantification of cell types was performed using the Harmony High Content Imaging and  
138 Analysis Software. Percentage of cells positive for desired marker versus total number of live  
139 cells was calculated. Eight independent experimental replicates of 2 clones per individual was  
140 used at every stage to account for variability associated with iPSC differentiation. Independent  
141 2-group t-test was used to check significant difference between autism and control using p-  
142 value  $\leq 0.05$ . One way ANOVA was performed to investigate in-group variance. All statistical  
143 analysis was performed on R statistical software.

144

## 145 **Results**

### 146 *Neurodevelopmental gene expression signatures in autism-iPSC-derived neurons*

147 iPSC cells were generated from 9 autistic and 6 typical control individuals from 3 independent  
148 cohorts (**Supplementary Results; Supplementary Tables S1-3**). To understand if iPSCs  
149 derived from individuals diagnosed with autism but without macrocephaly also displayed  
150 atypical cortical differentiation with altered cell proliferation as previously reported (12, 13,  
151 17), cells were differentiated towards a cortical fate. We focused on three distinct  
152 developmental stages (**Figure 1A**): (1) Day 9: early neural precursor stage, when stem cells

153 form new precursor cells which self-organise into neural tube-like structures known as neural  
154 rosettes with a directional apical-basal arrangement; (2) Day 21: late neural precursor stage, a  
155 period during which neural progenitor cells begin forming layers from the apical surface and  
156 are primed for differentiation into neurons as they move outwards; and (3) Day 35: immature  
157 cortical neurons, a stage at which precursors become post-mitotic and adopt a deep layer  
158 neuronal identity (**Figure 1B**). We initially sought to confirm whether day 35 neurons from  
159 autism-iPSCs showed a similar transcriptomic profile as that seen in *post-mortem* brain studies  
160 (6, 9, 18). For this analysis, we chose participants with no familial history of autism or known  
161 deletions in autism-associated genes to reduce genetic bias. Analysis of differential gene  
162 expression (**Figure 1C; Supplementary Table S6**) confirmed distinct transcriptomic profiles  
163 of control- and autism-iPSCs, and a high enrichment for genes identified in autism *post-mortem*  
164 brain studies, but not schizophrenia or cancer (see **Supplemental Results**). These data  
165 suggested that differences between autism and typically controls may appear during prenatal  
166 development.

167

168 *Marked alteration in rosette structures in autism without proliferative differences in precursor*  
169 *pools*

170 Differentiation of iPSCs towards a neuronal fate first results in the generation of  
171 neuroepithelium cells, which self-organise into structures known as ‘neural rosettes’ (10).  
172 These structures display apical-basal polarity similar to neural tubes (10, 19). They are thought  
173 to play a key role in determining cortical neurogenesis and thus generation of distinct cell fates  
174 (10, 19, 20). As our RNASeq data indicated that early neurodevelopment maybe affected in  
175 autism, we reasoned that this may be reflected by an alteration in neural rosette formation. We  
176 examined rosette formation at day 9 in control- and autism-iPSCs. Control-iPSCs robustly

177 formed structures identifiable as neural rosettes, with an inner lumen identified by ZO-1  
178 staining. Neural progenitor cells self-organised radially around the inner lumen, typical of cells  
179 adopting an apical-basal polarity organisation (**Figure 1D**). Conversely, autism-iPSCs showed  
180 significant anomalies in lumen formation and establishment of apical-basal polarity (**Figure**  
181 **1D**). Using a high content screening (HCS) approach, we assessed rosette structure to identify  
182 consistent alterations in rosette morphology between iPSC lines. All six control-iPSC line  
183 formed rosettes similar in structure (average diameter: 0.066-0.091mm; **Figure 1E**,  
184 **Supplementary Table S7**). Conversely, of the 9 autism-iPSCs, 6 formed rosettes with a  
185 smaller diameter (0.05-0.06mm); 2 did not form any rosette structures at all (026ASM and  
186 004ASM; both clones); while 010ASM formed rosettes with diameters similar to controls  
187 (0.07mm) (**Figure 1E**, **Supplementary Table S7**). Autism-iPSC lines also formed more  
188 rosettes per 100 cells (**Figure 1F**, **Supplementary Table S7**). Anomalous formation of rosettes  
189 was recapitulated in day 30 3D cortical spheroids (**Supplementary Figure S4A**), with fewer  
190 complete rosettes observed in autism-iPSC spheroids (**Supplementary Figure S4B**). One  
191 explanation for these observed morphological differences could be that autism-iPSCs have  
192 altered levels of cell proliferation. All control- and autism-iPSCs had similar rates of cell  
193 proliferation at each developmental stage examined (**Figure 1G**). Together, these data show  
194 that autism-iPSCs form anomalous rosettes independent of alterations in cell proliferation.

195

196 *Divergence from typical development in autism occurs at a precursor cell stages during*  
197 *cortical differentiation*

198 Abnormal rosette proliferation observed in autism-iPSCs could indicate premature or atypical  
199 neuronal differentiation in autism-iPSCs. To investigate this possibility, we assayed cortically  
200 differentiating iPSCs at critical stages of cortical differentiation - day 9, 21 and 35 (**Figure 1A**,

201 **B)** for fundamental developmental markers using a HCS based approach. First, we assessed  
202 the expression of Pax6 and Tuj1 in control- and autism-iPSCs (**Figure 2A**). Pax6 is a robust  
203 marker for neural precursors of cortical lineage (21), while Tuj1 is a robust pan-neuronal and  
204 neural precursor marker (22). Eight independent experimental replicates using 2 clones per line  
205 were assayed at every stage (**Figure 2B**). At day 9, Pax6 and Tuj1 was expressed in majority  
206 of control-iPSC cells (**Figure 2B, Table 1**). On day 21, both markers remained highly  
207 expressed (**Figure 2B, Table 1**). We also measure the Rate of Cell Type Assignment (dCTA)  
208 as an independent way to compare how quickly cell identity was being acquired or lost between  
209 developmental stages. In control-iPSCs, Pax6 dCTA was 13 cells/day between day 9 and day  
210 21, while for Tuj1, dCTA=159 cells/day (**Figure 2C**). In contrast in the autism group, Pax6  
211 and Tuj1 day 9 expression was lower than in controls (**Figure 2B, Table 1**). Assessment of  
212 cell identity acquisition in autism-iPSCs showed that Pax6 dCTA was 317 cells/day and Tuj1  
213 dCTA=368 cells/day. These values were higher than those observed following the  
214 differentiation of control-iPSCs. However, despite this increased rate of cell identity  
215 acquisition, Pax6 and Tuj1 expression was still significantly lower in autism-iPSCs at day 21  
216 compared to control-iPSCs (**Figure 2C, Table 1**). As expected, variability was observed  
217 throughout the differentiation protocol between experimental replicates. However, this  
218 variability was more pronounced in the autism-iPSCs. ANOVA revealed greater overall spread  
219 of data points and higher F-values in the majority of parameters assessed during differentiation  
220 of autism-iPSCs. Of note, individual clones from each line behaved in a similar manner  
221 indicating that the use of multiple clones was not the source of variability (**Supplementary**  
222 **Figure S5A, S5C, Supplementary Table S8**). Moreover, both non-syndromic and syndromic  
223 samples appeared to behave similarly (**Supplementary Figure S6A, C, D**). These data showed  
224 that control iPSC-derived precursors expressed Pax6 and Tuj1 early during differentiation,  
225 while autism-iPSCs display lower Pax6 and Tuj1 expression at the equivalent stage. Beyond

226 this stage the rate of acquisition of Pax6 and Tuj1 was higher in autism-iPSCs, and the  
227 difference between control and autism was substantially reduced at day 21.

228

229 *Altered development of forebrain precursor lineages in autism-iPSCs independent of cell*  
230 *proliferation*

231 Previous iPSC studies have linked an imbalance in GABA-glutamatergic progenitor cells and  
232 neuronal function with a macrocephaly associated cell proliferation phenotype (13, 17). Thus,  
233 we were interested in establishing whether a similar imbalance in the presence of GABA-  
234 glutamatergic progenitor cells could be observed in our autism-iPSCs. We investigated the  
235 development of precursors expressing Emx1, known to be expressed in dorsal forebrain  
236 (glutamatergic) neurons and precursors (23-25), and Gad67, the rate limiting enzyme in the  
237 GABA synthesis pathway and known to be expressed in GABAergic cells (26, 27) (**Figure**  
238 **3A**). At day 9, EMX1 expression was significantly higher in control compared to autism neural  
239 precursors (**Figure 3B, C, Table 1**). At day 21, EMX1 expression in both groups appeared to  
240 remain stable, with only minor reduction in control precursors (dCTA = -41 cells/day), as  
241 opposed to a minor increase (dCTA = +10 cells/day) in the autism group (**Figure 3C**). At this  
242 stage, control neural progenitors expressed EMX1 significantly higher than autism neural  
243 progenitors (**Figure 3B, C, Table 1**). In day 35 immature neurons, EMX1 expression in both  
244 control and autism neurons was reduced compared to day 9 and day 21 precursors; however  
245 the reduction was significantly more acute in the autism group (dCTA = -148 cells/day in  
246 control-iPSCs vs dCTA = -254 cells/day in autism-iPSCs) (**Figure 3C**). Gad67 expression in  
247 autism- and control-iPSCs followed an opposing trajectory. At day 9, Gad67 expression was  
248 significantly higher in the control precursors, while autism precursors displayed negligible  
249 expression (**Figure 3B, C, Table 1**). At day 21, Gad67 expression was reduced in the control

250 progenitors (dCTA = -68 cells/day), but significantly increased in autism neural progenitors  
251 (dCTA = +185 cells/day) (**Figure 3C**). Both control and autism progenitors had similar Gad67  
252 expression at this stage (**Figure 3B, C**). However by day 35, Gad67 expression in autism  
253 neurons was higher than that in control neuron (control dCTA = -76 cells/day, autism dCTA =  
254 +176 cells/day) (**Figure 3C, D**). Similar to what we observed with Pax6 and Tuj1 expressing  
255 cells, Emx1 and Gad67 expression also showed conspicuous variability. Again, ANOVA  
256 revealed greater variability in majority of the parameters in autism lines; with no contribution  
257 of clones to the observed variability (**Supplementary Figure S5B, S5C, Supplementary**  
258 **Table S8**). Non-syndromic and syndromic samples behaved in a similar manner  
259 (**Supplementary Figure S6B-D**). Lastly, we examined the expression of TBR1, a transcription  
260 factor expressed in early born excitatory neurons (10, 28), in day 35 neurons. This revealed  
261 that differentiated control-iPSCs had higher levels of TBR1 positive cells than differentiated  
262 autism-iPSCs (**Figure 3E**). Taken together, these data showed significant differences in the  
263 determination of neuronal subtype identity of cortical lineage, in control- and autism-iPSCs.

264

265 *Generation of midbrain floorplate progenitors reveal negligible differences between control-*  
266 *and autism-iPSCs*

267 The differences in cell fate acquisition observed between control- and autism-iPSCs could  
268 be due to genetic differences between control- and autism-iPSCs. Alternatively, this variation  
269 could be due to stochastic fluctuations in activation of key transcription factors during  
270 differentiation, as reported during iPSC differentiation towards a cortical fate (29). However,  
271 these differences could also be due to an inherent abnormality in the ability of our autism-  
272 iPSCs to undergo neural differentiation. Therefore, we sought to determine whether both  
273 control- and autism-iPSCs differentiated efficiently into neural progenitor cells specific for

274 another neuronal lineage; specifically mesencephalic dopamine (mDA) neurons. We chose this  
275 fate as mDAs are generated from midbrain floor plate progenitors (mFPPs) that arise from cells  
276 located on the ventral midline of the neural tube floor plate. The generation of mFPPs would,  
277 therefore, require a distinct set of factors compared to those needed for the generation of  
278 cortical precursor cells. While dysfunction in mDAs have been linked with Parkinson's disease  
279 and schizophrenia, there are fewer reports of dysfunction in these cells in autism. Therefore,  
280 we reasoned that generating mFPPs (7, 8), allow us to examine the differentiation capacity of  
281 our iPSCs . After 10 days of differentiation, nearly 100% of mFPPs from both control- and  
282 autism-iPSCs were positive for LMX1A, an essential transcription factor required for defining  
283 a midbrain identity (30) (**Figure 4A, B**). No difference was observed between control- and  
284 autism-iPSCs. Similarly, expression of the transcription factor FOXA2, which positively  
285 regulates neurogenic factors in dopaminergic precursor cells (31), did not differ between  
286 control and autism mFPPs (**Figure 4A, B**). Variability was also reduced in all the iPSC lines  
287 during midbrain differentiation (**Figure 4B**). Taken together, these data showed considerably  
288 reduced differences in midbrain lineage differentiation between control- and autism-iPSCs.

289

290 *Hierarchical clustering reveals sub-grouping of study participants based on cellular*  
291 *phenotypes alone*

292 The findings in this study indicates that there may be a link between autism and prenatal cortical  
293 development. Our HCS screening approach has allowed us to collect large data sets of multiple  
294 cellular readouts at a number of developmental time points for each iPSC line. Hierarchical  
295 clustering approaches allow for the identification of similar patterns between samples by  
296 placing them into cluster sets (32). Using this approach, we tested whether there was a  
297 relationship between atypical cortical neurogenesis and diagnosis, based on cellular

298 phenotypes from control- and autism-iPSCs. Data points from each iPSC-line was  
299 amalgamated into a heatmap (**Figure 5A**), and participants were ordered on the heatmap based  
300 on a mean linkage method. We then visualised the clustering in the form of an unrooted  
301 dendrogram (**Figure 5B**), as participant in this study were unrelated (33). We discovered notable  
302 relationships between samples. First, the control and autism participants grouped separately.  
303 Within the autism cluster, the participants with *NRXNI* deletions (109NXM and 092NXF)  
304 grouped on the same branch. Three syndromic autism participants (109NXM, 092NXM,  
305 245ASM) did not group together with the non-syndromic participants. Lastly, the two autism  
306 samples 004ASM and 010ASM seemed to group on the same branch based on not only the  
307 cellular data points but also gene expression patterns in **Figure 1C, S3B**. The individual  
308 patterns that emerged out of this unbiased analysis suggests that there is a potential that cellular  
309 phenotypes could reflect nature of autism diagnosis. Further studies using larger collections of  
310 deeply phenotype iPSCs as well as more detailed cellular readouts are needed in order to further  
311 understand whether such an association is robust over independent cohorts.

312

## 313 **Discussion**

314 In this study, we investigated whether iPSCs generated from autistic individuals display  
315 differences during the prenatal cortical development. Previous studies have indicated that  
316 prenatal development is a critical period for the emergence of phenotypes associated with  
317 autism (12-14). However, these studies utilized iPSCs generated from autistic individuals  
318 comorbid with macrocephaly, making it unclear whether the observed cellular effects were due  
319 to autism or altered brain size. In this study, we studied iPSCs generated from a heterogeneous  
320 group of autistic individuals without macrocephaly, recruited from three independent cohorts.  
321 Thus, we were able to test whether altered cellular identities occurred during differentiation of

322 autism-iPSCs towards at cortical fate, and if this was detectable from an early developmental  
323 stage. This collection included 4 autistic individuals with uncharacterised genetic background  
324 and 5 autistic individuals with CNVs in high risk autism loci.

325 First we found that autism-iPSCs generated atypical neural rosettes, indicating an alteration  
326 in neural differentiation. Consistent with this, autism-iPSCs showed significant differences in  
327 development of early neural progenitor cells. This effect persisted at a late precursor cell stage  
328 although to a lesser degree. No differences in proliferative capacities was observed between  
329 control- and autism-iPSCs indicating this this was not the cause of altered neurogenesis in  
330 autism-iPSCs. Examination of cortical neuron subtypes revealed a divergence in the  
331 development of dorsal forebrain or excitatory precursors and ventral forebrain or inhibitory  
332 precursors from an early stage of development. Conversely, control- and autism-iPSCs  
333 demonstrated the same ability to into mFFP cells. This indicates that atypical neurogenesis  
334 predominately impacts the development of cortical linages in autism-iPSCs. Finally, based on  
335 all the temporal cortical data points acquired in this study, the participants grouped separately  
336 into controls and autism, with further unbiased branching within the autism cohort. Together,  
337 these data suggests that unique developmental differences associated with autism may be  
338 established at early prenatal stages.

339 We were particularly interested in modelling divergent patterns of development in the  
340 autistic cortex. We used a cortical differentiation protocol that recapitulates cortical precursor  
341 development from iPSCs, and yielded primarily excitatory cortical neurons (10). This enabled  
342 us to study early stages of neural development, when neural rosette begin forming (day 9),  
343 equivalent to neural tube closure (approximately 4 weeks of gestation) (34). We found marked  
344 anomalies in rosette morphology in 3 out of 9 autism-iPSCs (004ASM, 026ASM, 245ASM)  
345 resulting in either malformation or negligible neural rosette formation. In 010ASM, neural

346 precursors were visibly dissociated from the rosette-structure, while in 092NXF, 109NXM,  
347 ASDM1, 132ASM and 289ASM cells appeared elongated and lumen formation was also  
348 affected. Further studies are required to elucidate the mechanisms responsible for the altered  
349 rosette structures and formation observed. Disruption of neural rosettes has been found to  
350 promote premature neurogenesis (35, 36). This may explain the high rate of Pax6+ and Tuj1+  
351 precursor generation between day 9 and day 21 in autism-iPSCs. It could also explain divergent  
352 precursor subtype assignment during early development, which we observed through opposing  
353 trajectory of Gad67 expressing cells in control- and autism-iPSCs. We noted that the  
354 appearance of Gad67+ cells in our cultures was surprising as SMAD inhibition is known to  
355 drive stem cells towards a dorsal forebrain lineage, while GABAergic neurons are known to be  
356 generated from a ventral forebrain lineage (37). However, low numbers of GABAergic cells  
357 are known to be generated using the SMAD inhibition protocol (38, 39), and appearance of  
358 Gad67+ cells and their dysregulation in our study may be a result of dysregulated molecular  
359 mechanisms associated with atypical precursor subtype assignment.

360 It is of interest that in the current study the phenotypic changes occurred without the  
361 presence of proliferative differences between control- and autism-iPSCs. This suggested that  
362 cell type and structural anomalies previously reported using autism iPSCs (12, 13) may be  
363 independent of macrocephaly associated cell proliferation alterations. Alterations in rosette  
364 formation may also contribute to the switching of precursor identity seen during development  
365 in autism-iPSCs. Further investigation into temporal precursor cell type specification will be  
366 needed to understand the mechanisms and types of cells involved. Notably, iPSC studies of  
367 non-syndromic autism remain underpowered. Nevertheless, the reports of neurodevelopmental  
368 differences between autism- and control-iPSCs are robust (13, 14, 40). Although our cohort  
369 size would be considered inadequate for a study into non-syndromic autism, it is comparable  
370 to recent iPSC-based psychiatric studies (12-14, 41). To achieve effect size in our study, we

371 have used multiple clones for each iPSC-line. In addition, we utilized a HCS screening of  
372 ‘cellomic’ cell-based phenotyping approach (15, 16, 42), recording thousands of data points  
373 from each iPSC-line.

374 Another consideration we faced during cellular phenotyping of iPSCs being differentiated  
375 towards a cortical fate was the high degree of variability between experimental replicates. This  
376 variability is due in part to stochastic fluctuations in transcription factor activation during  
377 cortical differentiation (29, 43). We observed that out of the 10 temporal data points recorded,  
378 7 showed a greater degree of variability in autism-iPSCs. To rule out whether this variability  
379 was due to an iPSC-related abnormal artefact, we differentiated both control- and autism-iPSCs  
380 towards a mesencephalic fate. Following this protocol iPSCs from either control or autistic  
381 individuals behaved similarly and demonstrated reduced variability. This suggests that the  
382 variability observed in this study is specific to the cortical differentiation rather than an iPSC-  
383 related artefact. Moreover, these data indicate that alteration during early stage of development  
384 associated with autism may occur in a region specific manner.

385 In this study, we used iPSCs generated from independent cohorts and from individuals with  
386 autism but without macrocephaly. Using unbiased methods, we identify that differentiation of  
387 autism-iPSCs towards a cortical but not a mesencephalic fate, results in atypical neurogenesis  
388 characterised by premature maturation and abnormal specification of neural progenitor cells.  
389 These effects occur in the absence of altered proliferative activity between control- and autism-  
390 iPSCs. Identification of these cellular/molecular phenotypes enabled us to find common  
391 cellular pathways in a cohort having heterogeneous genetic background. In future, similarly  
392 designed studies will help identify which cellular pathways underlie these phenotypes, and may  
393 help to improve diagnosis and develop a greater understanding of the origins of autism.

394

395 **Disclosures:** The authors report no biomedical financial interests or potential conflicts of  
396 interest.

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424 the pre-print server BioRxiv: doi.org/10.1101/349415.

425

#### 426 **Ethics, consent and permissions**

427 Informed consent from participants have been taken before recruitment: Patient iPSCs for  
428 Neurodevelopmental Disorders (PiNDs) study' (REC No 13/LO/1218).

429

#### 430 **Consent to publish**

431 We have obtained consent to publish from the participant to report individual patient data.

432

#### 433 **Availability of data and materials**

434 Sequence data have been uploaded on synapse.org. Synapse ID: syn8118403, DOI:  
435 doi:10.7303/syn8118403

436

#### 437 **Authors' contribution**

438 DA, JP, JC, DPS, SBC conceived the study and wrote the first draft. VS, DHG conceived and  
439 developed bioinformatics analysis framework and analysis. DA, PN, CS, KJ responsible for  
440 sample preparation. GM was responsible for ethics application. GM, MAZ, JH, IL, DS and  
441 DM responsible for recruiting and collecting hair samples from individuals with autism and  
442 controls. All co-authors contributed to study concept, design, and writing of the manuscript.  
443 All authors read and approved the final manuscript.

444

## 445 **Figure Legends**

446 **Figure 1: Differentiation of iPSCs into cortical lineage reveals gene expression and neural**  
447 **rosette formation differences between control and autism. (A)** Study design and  
448 differentiation time points used in this study. **(B)** Differentiation of control and autism iPSCs  
449 generate precursor markers Ki67, Nestin and Pax6 and neuronal markers TBR1 and MAP2.  
450 **(C)** Differential gene expression and hierarchical clustering reveals significant differences  
451 between control and autism samples (biological replicates for each sample labelled 1 and 2).  
452 **(D)** Day 9 neural rosette morphology from all participants in this study. **(E)** Rosette diameter  
453 violin plot (horizontal lines show mean rosette diameter, blue: control, red-dashed: autism). **(F)**  
454 Number of rosettes per 100 cells (horizontal lines show mean rosette number, blue: control,  
455 red-dashed: autism). **(G)** Proliferation during cortical differentiation at day 0, day 9, day 21,  
456 day 35 (dashed lines are control samples, colour key on top right corner).

457

458 **Figure 2: Atypical cortical differentiation of autism iPSCs. (A)** At day 9 and day 21  
459 precursor cell stages, both control and autism iPSCs expressed Pax6 and Tuj1. **(B)**  
460 Quantification of Pax6+ and Tuj1+ cells of individual participants (% cells positive per

461 experimental replicate) showed significant differences between autism and control. (C) Mean  
462 values of % positive cells over time show significant difference between control and autism at  
463 both day 9 and day 21, as well as significant difference in rate of appearance of markers.  
464 Histogram shows normal distribution of experimental data points, and demonstrates variability  
465 between control and autism. (LV: Lentivirus reprogramming method used for generating these  
466 iPSCs; s: Participants with syndromic autism)

467

468 **Figure 3: Atypical differentiation into dorsal and ventral forebrain precursors in autism.**

469 (A) EMX1 was expressed at day 9, day 21 and day 35 in both control and autism groups. Gad67  
470 expression in both groups was time dependant, it decreased over time in in controls, while  
471 increased over time in autism. (B) Quantification of EMX1+ and Gad67+ cells (% cells positive  
472 per experimental replicate) showed significant differences between autism and control. (C)  
473 Mean values of % positive cells over time show significant difference between control and  
474 autism at every time point, except for Gad67 at day 21 precursor stage. (D) Histogram shows  
475 normal distribution of experimental data points and clear difference in distribution of data  
476 points between groups. (E) Control and autism iPSCs also expressed TBR1 at day 35 of cortical  
477 differentiation, and TBR1 expression was marginally higher in control vs autism. (LV:  
478 Lentivirus reprogramming method used for generating these iPSCs; s: Participants with  
479 syndromic autism)

480

481 **Figure 4: Efficient differentiation of control and autism iPSCs towards a midbrain fate.**

482 (A) Both control and autism iPSCs expressed LMX1A and FOXA2 when differentiated into a  
483 midbrain floor plate precursor (mFPP) cells. (B) Differences between control and autism iPSCs  
484 expressing LMX1A or FOXA2 was near negligible.

485

486 **Figure 5: Hierarchical clustering of cellular data using mean linkage method.** (A) All  
487 controls and autism iPSC lines were grouped based on % positive values for Pax6, Tuj1,  
488 EMX1, Gad67 at day 9, 21, 35 cortical differentiation, and LMX1A and FOXA2 at day 11 of  
489 midbrain differentiation. Controls and autism participants were grouped separately using this  
490 unsupervised learning method. (B) Unrooted phylogenetic tree showing relatedness of  
491 individual participants based on cellular phenotypes. Syndromic samples branched separately  
492 to non-syndromic samples\*. NRXN1 deletion samples grouped together on the same branch\*†.  
493 004ASM and 010ASM which grouped on the same branch (shown with dashed lines) also  
494 grouped similarly based on gene expression data shown in Fig 1C, S3B.

495

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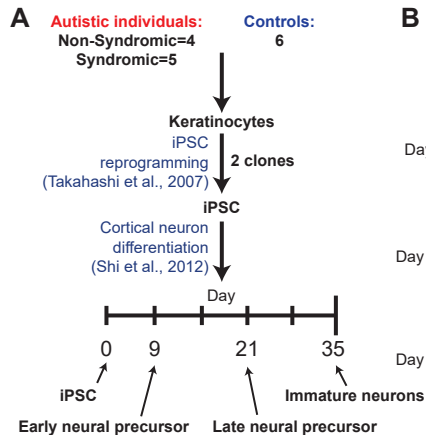
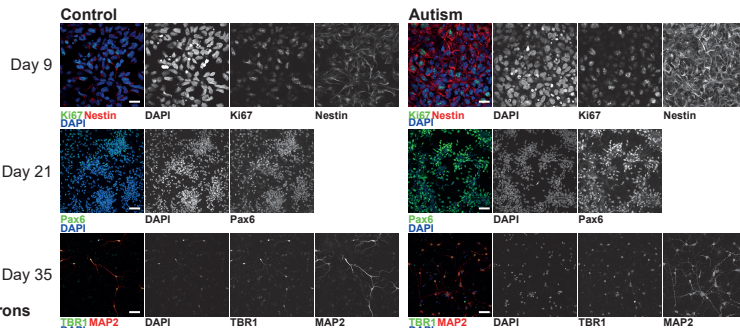
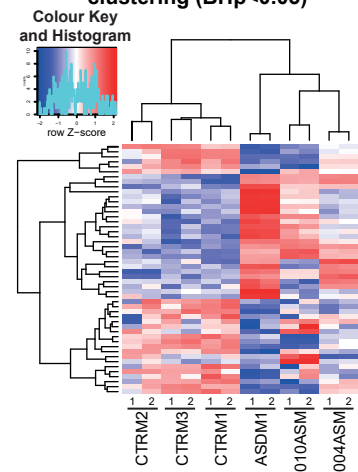
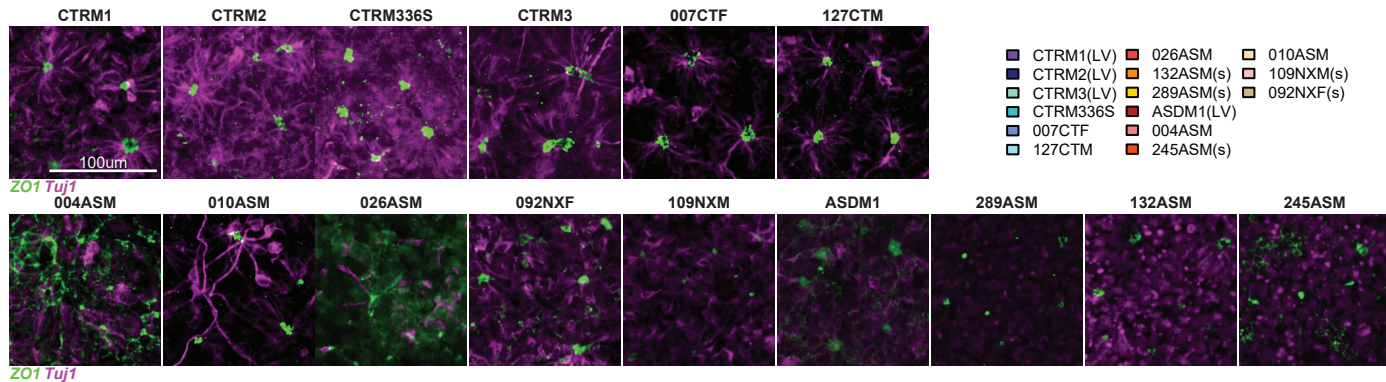
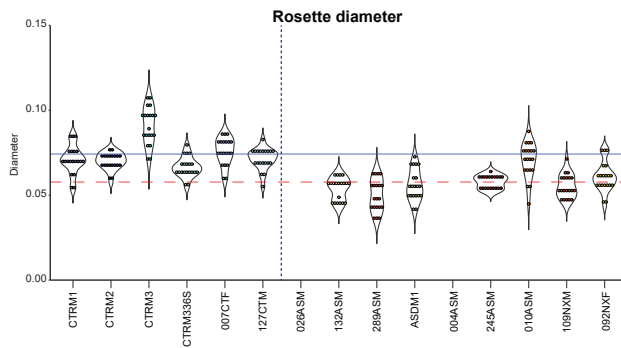
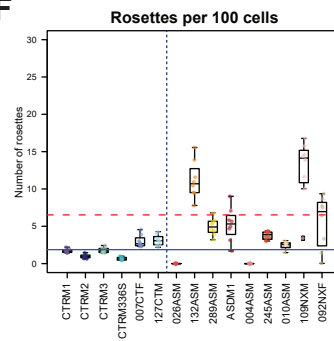
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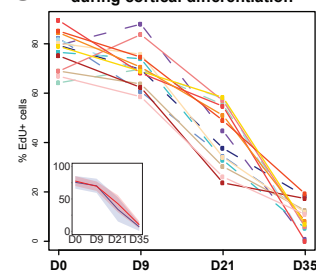
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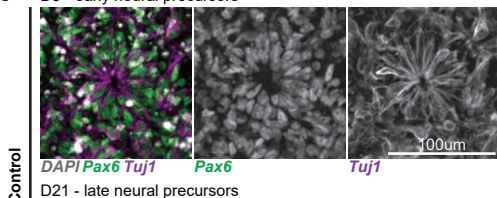
**Figure 1****B** Cortical differentiation of iPSCs**C** Day 35 gene expression clustering (BHP<0.05)**D****E****F****G**

Proliferation during cortical differentiation

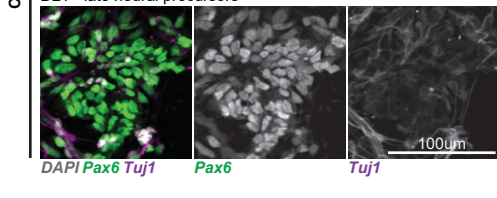


**Figure 2****A**

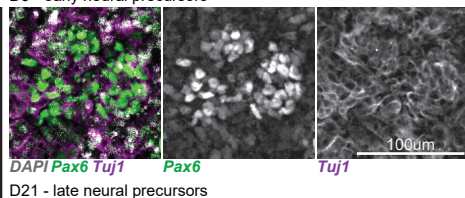
D9 - early neural precursors



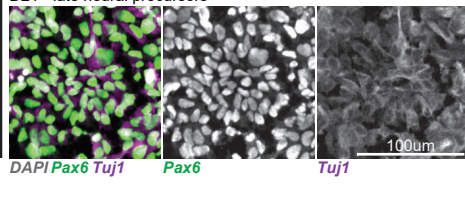
D21 - late neural precursors



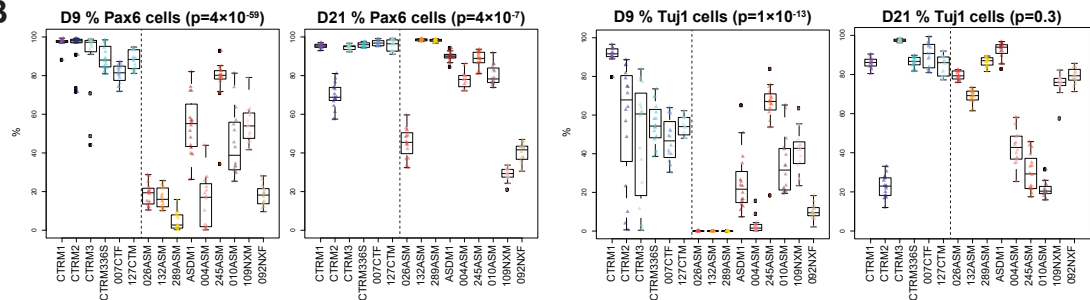
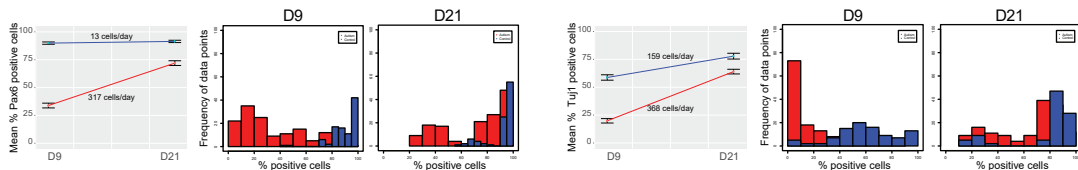
D9 - early neural precursors



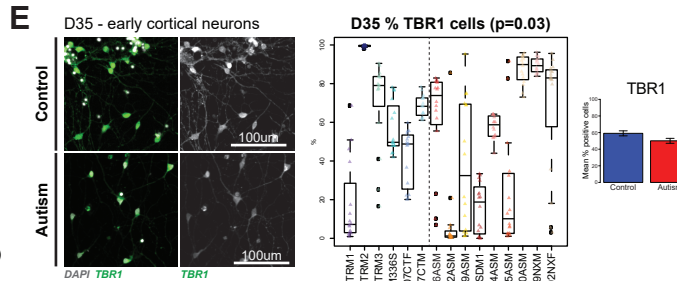
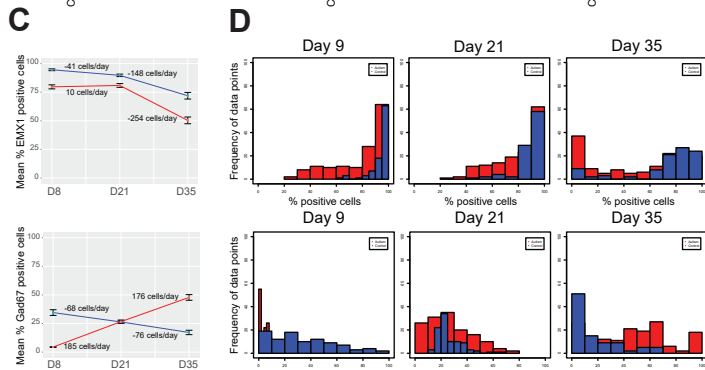
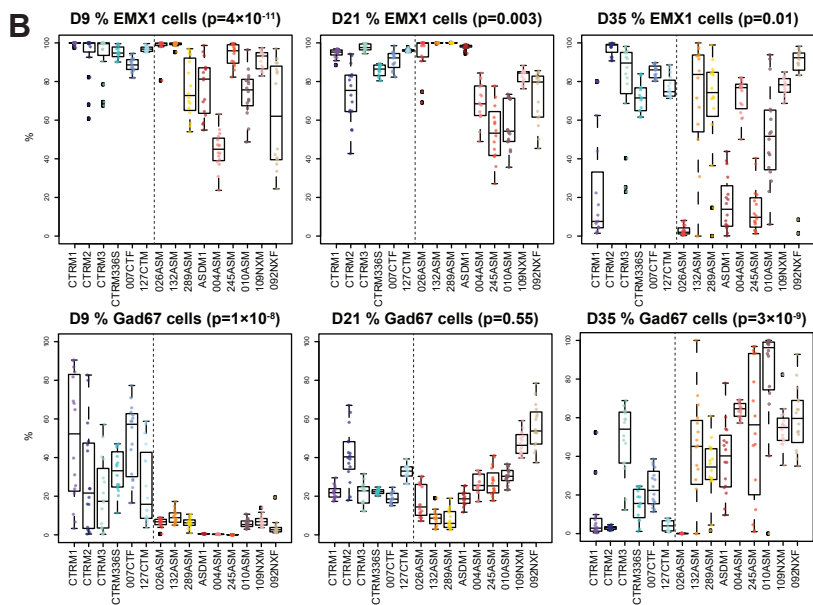
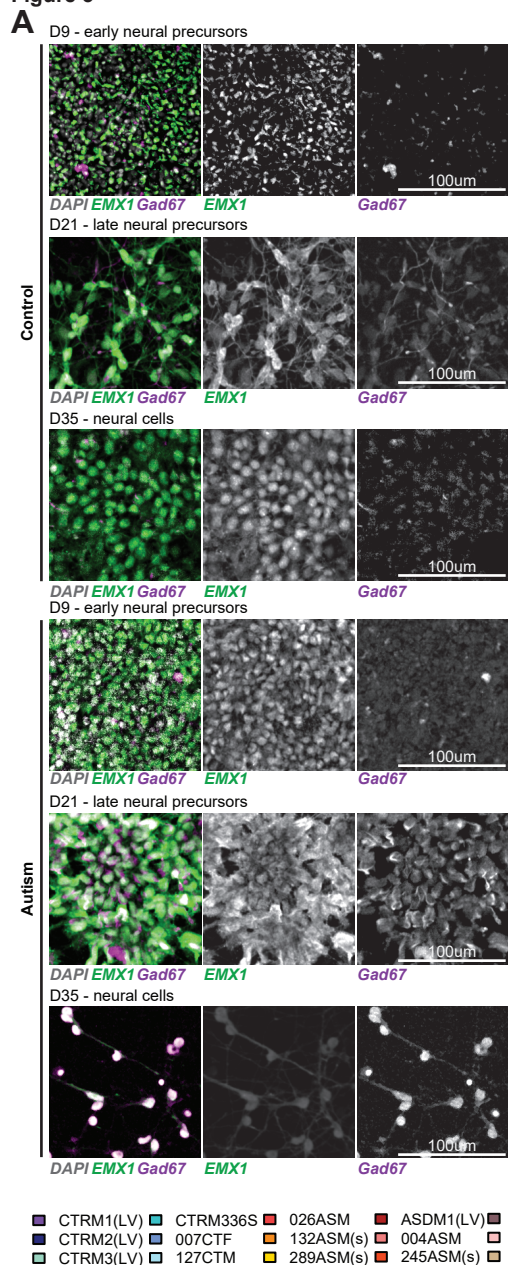
D21 - late neural precursors



- CTRM1(LV)
- CTRM2(LV)
- CTRM3(LV)
- CTRM336S
- 007CTF
- 127CTM
- 026ASM
- 132ASM(s)
- 289ASM(s)
- ASDM1(LV)
- 004ASM
- 245ASM(s)
- 010ASM
- 109NXM(s)
- 092NXF(s)

**B****C**

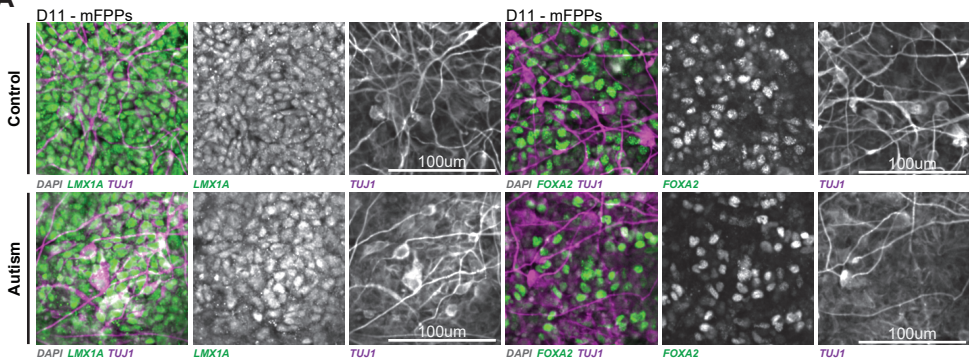
**Figure 3**



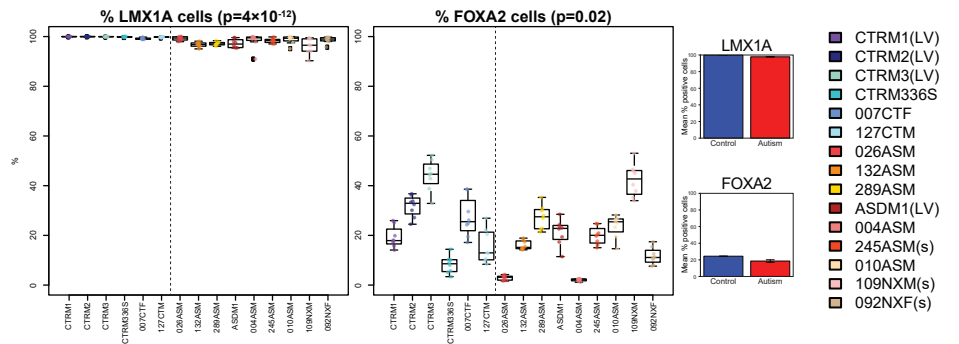
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 ■ CTRM2(LV) ■ 007CTF ■ 132ASM(s) ■ 004ASM ■ 109NXM(s)  
 ■ CTRM3(LV) ■ 127CTM ■ 289ASM(s) ■ 245ASM(s) ■ 092NXF(s)

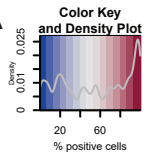
**Figure 4**

**A**

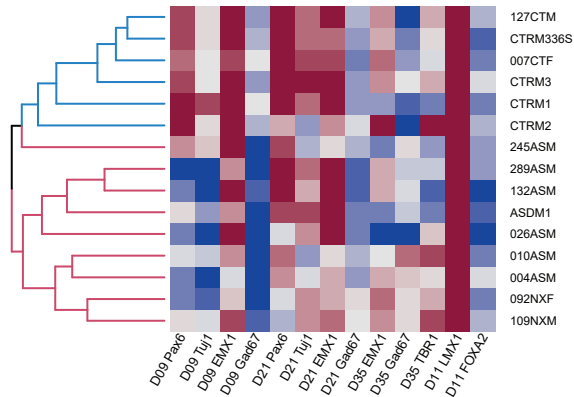
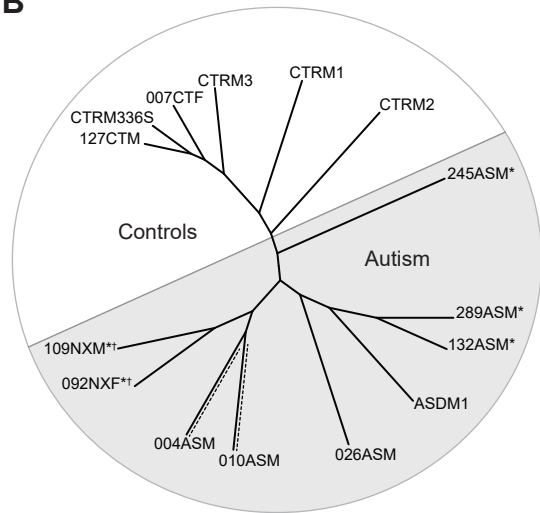


**B**



**Figure 5****A**

**Cell types in study participants  
(clustered using mean linkage method)**

**B**

# **Atypical neurogenesis in induced pluripotent stem cell (iPSC) from autistic individuals**

Dwaipayan Adhya<sup>1,3,\*</sup>, Vivek Swarup<sup>2,\*</sup>, Roland Nagy<sup>3</sup>, Lucia Dutan<sup>3</sup>, Carole Shum<sup>3</sup>, Eva P. Valencia-Alarcón<sup>3</sup>, Kamila Maria Jozwik<sup>4</sup>, Maria Andreina Mendez<sup>5</sup>, Jamie Horder<sup>5</sup>, Eva Loth<sup>5</sup>, Paulina Nowosiad<sup>3</sup>, Irene Lee<sup>6</sup>, David Skuse<sup>6</sup>, Frances A. Flinter<sup>7</sup>, Declan Murphy<sup>5</sup>, Grainne McAlonan<sup>5</sup>, Daniel H. Geschwind<sup>2,9</sup>, Jack Price<sup>3,8</sup>, Jason Carroll<sup>4</sup>, Deepak P. Srivastava<sup>3,8</sup>§†, & Simon Baron-Cohen<sup>1</sup>§

## **Supplementary Info**

1. Extended experimental procedures
2. Supplementary Results
3. Supplementary Figure S1
4. Supplementary Figure S2
5. Supplementary Figure S3
6. Supplementary Figure S4
7. Supplementary table S1
8. Supplementary table S2
9. Supplementary table S3
10. Supplementary table S4
11. Supplementary table S5
12. Supplementary table S7
13. Supplementary table S8

## **Extended experimental procedures**

### *Study participants and neuronal differentiation*

Keratinocytes were collected from autistic participants, and typical controls without an autism diagnosis (Ethics approved, 13/LO/1218) as part of a larger European studies (EU-AIMS, STEMBANCC). All participants were Caucasian, while controls were selected if they did not have diagnosis of any psychiatric conditions. These were reprogrammed into iPSCs using previously described methods<sup>1, 2</sup>. IPS cells were cultured in E8 medium (Life Technologies) with E8 supplement (Life Technologies). Cell type quantification and proliferation assays were set up on 96-well plates. 2 clones were selected from each participant, and each clone had 8 technical replicates. RNA-sequencing was performed on 2 clones from each participant, and each clone had 2 technical replicates. This design was maintained at all stages of neural differentiation recorded. Induction of neurons of cortical lineage was established using a modified dual SMADi protocol<sup>3</sup>. Once the cell culture reached 95% confluence, neural induction was initiated by changing the culture medium to support neural induction, neurogenesis and neuronal differentiation. A combination of N2- and B27-containing media with additives was used, henceforth called ‘neuralising medium’. N2 medium consisted of DMEM/F12 (Sigma), N2 (Gibco). B27 medium consisted of Neurobasal (Invitrogen), B27 (Gibco). Neuralising medium was supplemented with ‘dual SMADi’ 1  $\mu$ M Dorsomorphin (Tocris), 500 ng/ml human Noggin-CF chimera (R&D Systems) – inhibitors of WNT pathway, BMPs and SMAD, and 10  $\mu$ M SB431542 (Tocris) – inhibitor of TGF $\beta$  signaling. Noggin and dorsomorphin suppresses embryonic development thereby inducing neural differentiation pathways, while SB431542 mediates loss of pluripotency. Midbrain floorplate precursors were differentiated from all participants till day 11 using previously established protocols<sup>4, 5</sup>. To generate cortical spheroids, iPSCs were first treated with KOSR-

based hiPSC media to form embryoid bodies. Neural induction was performed using dorsomorphin and SB431542. After 6 days of neural induction, spheroids were transferred into neural maintenance media till day 30, as per established protocols<sup>6</sup>.

### *Cortical Spheroids*

Cortical spheroids were generated using methods published by Pasca *et al.* (2015). Human iPSCs were passaged at high density (80 % confluent) as previously described. The cells were then suspended using a cell lifter in KOSR media, 10  $\mu$ M ROCKi and SMAD inhibitors: 5  $\mu$ M Dorsomorphin and 10  $\mu$ M SB431542. The cultures were incubated in a 5% CO<sub>2</sub> incubator and left undisturbed for 48-hours period to promote formation of embryoid bodies. Starting the second day and until the fourth day media is changed daily with fresh KOSR media supplemented with 5  $\mu$ M Dorsomorphin and 10  $\mu$ M SB431542. By the fifth day embryo bodies were clearly visible. At this stage, till 25th day the culture media used was B27 minus Vitamin A media plus 20 ng/mL of recombinant human EGF and recombinant human bFGF. From day 25, B27 minus Vitamin A media with 20 ng/mL of recombinant human BDNF and recombinant human NT3 was used. Media was changed every 24 hours during the first 15 days and once every 48 hours thereafter. The spheroids were harvested at day 30.

### *Immunocytochemistry*

Cultures were fixed in 4% formaldehyde followed by ice-cold 100% methanol and processed for immunofluorescence staining, confocal microscopy and high throughput imaging. Secondary antibodies used for primary antibody detection were species-specific Alexa-dye conjugates (Invitrogen). We used the following primary antibodies to Ki67 (Thermo Fisher PA5-16785), Nestin (Millipore MAB5326), Pax6 (BioLegend 901301), TBR1 (Abcam ab31940), MAP2 (Abcam ab92434), Emx1 (ThermoFisher PA5-35373), Gad67 (Abcam ab26116), Tuj1 (BioLegend 801201), CD44 (R&D Systems MAB7045), LMX1A (Abcam

ab139726), FOXA2 (Invitrogen 701698). Quantification was performed on the Perkin Elmer Harmony Software v4.9, which is based on the CellProfiler high throughput image analysis system<sup>7</sup>. Cell nuclei were first identified based on DAPI staining. For nuclear proteins, only the nuclear area was selected. For cytoplasmic protein, the area around the nucleus was selected. Thresholds of fluorescent intensity was selected after background subtraction. Threshold for each probe remained unchanged for every sample imaged. Antibodies, dilutions used and fluorescence threshold information in **Supplementary Table S5**.

Cortical spheroids were first washed in PBS and then fixed in 4% formaldehyde in 4% sucrose-PBS for 45 minutes. Following fixation, spheroids were washed in PBS and stored in sucrose 30% (weight/volume); sucrose sinking improves their preservation. After sucrose sinking, spheroids were permeabilised in 2% normal goat serum (NGS) in 0.1% Triton X100 in PBS for 60 minutes. Spheroids were incubated in a solution of permeabilization-blocking solution containing the primary antibodies for 48 hours (**Supplementary Table S5**). The samples were washed three times for three minutes in PBS and incubated with permeabilisation-blocking solution containing the secondary antibodies and HOECHST (nuclear staining) for two hours and kept in darkness to prevent bleaching of the fluorophores. Cortical spheroids were mounted as whole tissues (without sectioning), due to their small size (1-5 mm). Cortical spheroids were imaged using a Lecia SP5 confocal microscope using a 40x oil immersion objective. Images were acquired as Z stacks at resolution 1024x1024px and employing multiple channels: 405 (DAPI/HOECHST, blue), 488nm (green), 561nm (red) and 633nm (far-red). A line average of three was used in each channel during point scanning to prevent random background signal due to light scattering.

#### *EdU labelling*

IPSCs at specific stages of differentiation were labelled with EdU (5-ethynyl-2'-deoxyuridine) using the Click-iT EdU Assay (Invitrogen). Cells were incubated with EdU for 4 hours at 37°C, then an additional 4 hours with EdU-free media. After incubation, labelled cells were fixed and prepared for detection using the Click-iT reaction cocktail. Nuclei were labelled using Hoechst 33342. Number of EdU-labelled cells were recorded as a percentage over total number of live nuclei. Imaging and analysis were done using the Opera Phenix HCS and Harmony Analysis Software.

### *RNA isolation and sequencing*

RNA from 2 technical replicates was extracted using 2 clones from each participant (total: 4 samples per participant). TRIzol (Thermo Fischer) method was used, replacing chloroform with 1-bromo-3-chloropropane (BCP; Sigma). To remove genomic DNA during processing, turbo DNase (Thermo Fischer) was used. RNA concentration was quantified using Ribogreen assay (Invitrogen).

Starting with 500ng of total RNA, poly(A) containing mRNA was purified and libraries were prepared using TruSeq Stranded mRNA kit (Illumina). Unstranded libraries were constructed and underwent 50bp single ended sequencing on an Illumina HiSeq 2500 machine. To analyse iPSC mRNA-seq data, the raw reads were mapped to the human genome GRCh37.75 (UCSC version hg19) using STAR: RNA-seq aligner<sup>8</sup>. Aligned reads were sorted using samtools<sup>9</sup>, while biases were removed using Picard tools (Broad Institute). Quality control was performed using Picard tools (Broad Institute) and QoRTs<sup>10</sup>. Gene expression levels were quantified using an union exon model with HTSeq<sup>11</sup>, which uses uniquely aligned reads. Only the genes with >10 reads and expressed in 80% of the samples, were kept. The resulting read counts were log2 transformed and GC content, gene length, and library size normalised using the cqn package<sup>12</sup> in R.

### *mRNA weighted co-expression network analysis*

Co-expression network analysis was performed using the R library, WGCNA<sup>13</sup>. We wanted to investigate autism-specific iPSC-neuronal culture co-expressed genes (or modules). Biweighted mid-correlations were calculated for all pairs of genes, then a signed similarity matrix was created. In the signed network, the similarity between genes reflects the sign of the correlation of their expression profiles. The signed similarity matrix was then raised to power  $\beta$  to emphasize strong correlations on an exponential scale. The resulting matrix (known as adjacency matrix) was then transformed into a topological overlap matrix. Since we are primarily interested in exploring co-expressed genes conserved across our cohort, we created consensus networks correlated to autism as previously published<sup>14, 15</sup>. After scaling for each individual network (consensus scaling quantile = 0.2), a soft thresholding power of 14 was chosen (as it was the smallest threshold that resulted in a scale-free  $R^2$  fit of 0.8) (Fig S5). The consensus network was created by using a topological overlap matrix (TOM) to calculate the component-wise minimum values for topological overlap. Using  $\text{dissTOM} = 1 - \text{TOM}$  as distance measure, genes were hierarchically clustered. Modules were then assigned using a dynamic tree-cutting algorithm (cutreeHybrid, using default parameters except  $\text{deepSplit} = 4$ ,  $\text{cutHeight} = 0.999$ ,  $\text{minModuleSize} = 100$ ,  $\text{dthresh} = 0.1$  and  $\text{pamStage} = \text{FALSE}$ ).

Resulting modules of co-expressed genes were used to calculate module eigengenes (MEs; or 1<sup>st</sup> principal component of the module). MEs were correlated to biological traits, in this case autism, to find disease-specific modules. Module hubs were defined by calculating module membership (kME) values which are the Pearson correlation between each gene and corresponding ME, and genes with  $\text{kME} < 0.7$  were removed from the module. Network visualisation was done using iGraph package in R<sup>16</sup>. Differentially expressed genes, and gene module assignments in **Supplementary Table S6**.

### *Enrichment analysis for gene sets*

Two types of gene set enrichments were performed. For autism-correlated module enrichment, logistic regression was performed using already published gene modules<sup>14, 15, 17</sup> to control for gene length and gene expression level. A two-sided Fisher exact test with 95% confidence interval was performed for cell-type enrichment analysis using published human brain dataset<sup>18</sup>.

Module genes were characterised using GO Elite (version 1.2.5)<sup>19</sup> using total expressed genes as background. GO Elite uses a Z-score approximation of hypergeometric distribution to assess term enrichment, and removes redundant GO or KEGG terms to give a concise output. 10,000 permutations were used, and required at least 10 genes to be enriched in a given pathway at a Z-score of at least 2. Only biological process and molecular function categories are reported. Pipeline schematic in **Supplementary Figure S2**.

## **Supplementary Results**

### *Participant overview*

Participants were recruited from the Longitudinal European Autism Project (LEAP)<sup>17</sup>; Brain and Body Genetic Recourse Exchange (BBGRE) studies<sup>18</sup>; or the Social Communication Disorders Clinic at Great Ormond Street Institute of Child Health (GOS-ICH). Of the autistic participants, eight were male and one was female (**Supplementary Table S1**). The four participants from the LEAP cohort were diagnosed with non-syndromic, while participants from BBRGE and GOS-ICH cohorts were diagnosed with syndromic autism (**Supplementary Table S2**). Syndromic participants from GOS-ICI had deletions type CNVs in the 1p21.3 and 8q21.12 regions, with *DYPD* and *PTBP2* and the *AXL* genes of note in each region respectively.

Of the syndromic participants from BBGRE, two syndromic participants had deletion type CNVs in the 2p16.3 region (*NRXNI*), while the third carried a duplication in the 3p chromosomal region<sup>19</sup> (**Supplementary Table S3**).

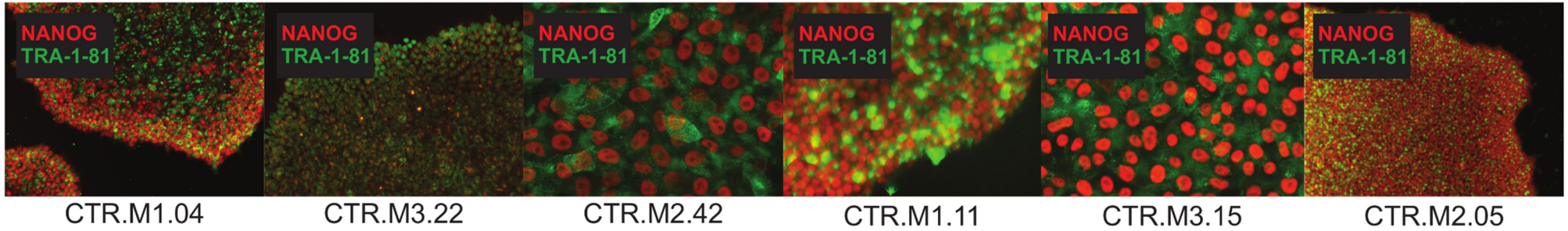
### *Transcriptomic analysis of iPSCs reveal enrichment of gene modules associated with autism*

As *post-mortem* brain studies of adult brains have identified prenatal gene expression pathways as being altered in autism<sup>6,21,22</sup>, we were interested in determining if we could observe similar altered gene expression networks in our cohort of iPSCs. Day 35 neurons were generated from three control and three non-syndromic autism-iPSCs. We chose participants with no familial history of autism or known deletions in autism-associated genes to reduce genetic bias that could drive atypical gene expression. Using an adapted bioinformatics pipeline<sup>6, 22</sup>, we analysed gene expression pathways and assess its relatedness to autism (**Supplementary Figure S2**). Principle component analysis revealed distinct separation between the control- and autism-iPSC neurons (**Supplementary Figure S3A**). Differential gene expression (DEG) and hierarchical clustering grouped control- and autism-iPSC neurons on different branches (**Supplementary Figure S3B, Figure 1C; Supplementary Table S6**). Weighted gene co-expression analysis (WGCNA) revealed 11 gene modules significantly altered in autism-iPSC neurons (**Supplementary Figure S3C; Supplementary Table S6**). The three most upregulated and three most downregulated gene modules were strongly enriched respectively in autism *post-mortem* brain gene modules (**Supplementary Figure S3D**). These gene modules showed little to no enrichment in schizophrenia or cancer gene modules (**Supplementary Figure S3E**) indicating that the gene expression patterns in our samples were autism-specific. From this we concluded that altered gene expression in adult autism brains

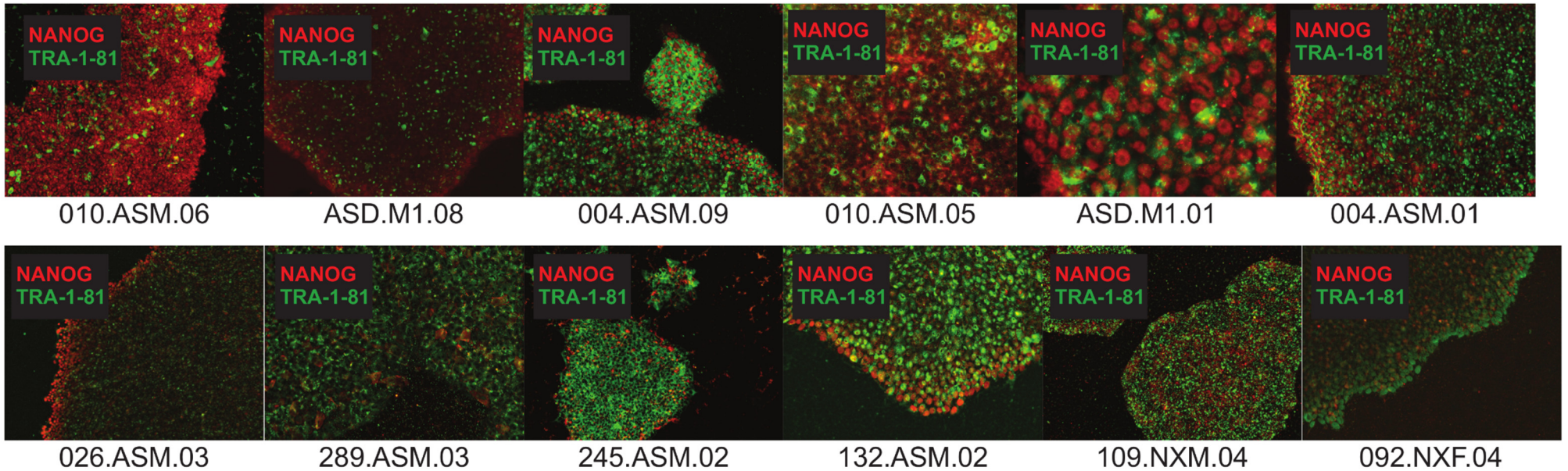
was also found in prenatal neurons generated from iPSCs, and that gene expression patterns were specific to autism.

Supplementary Figures

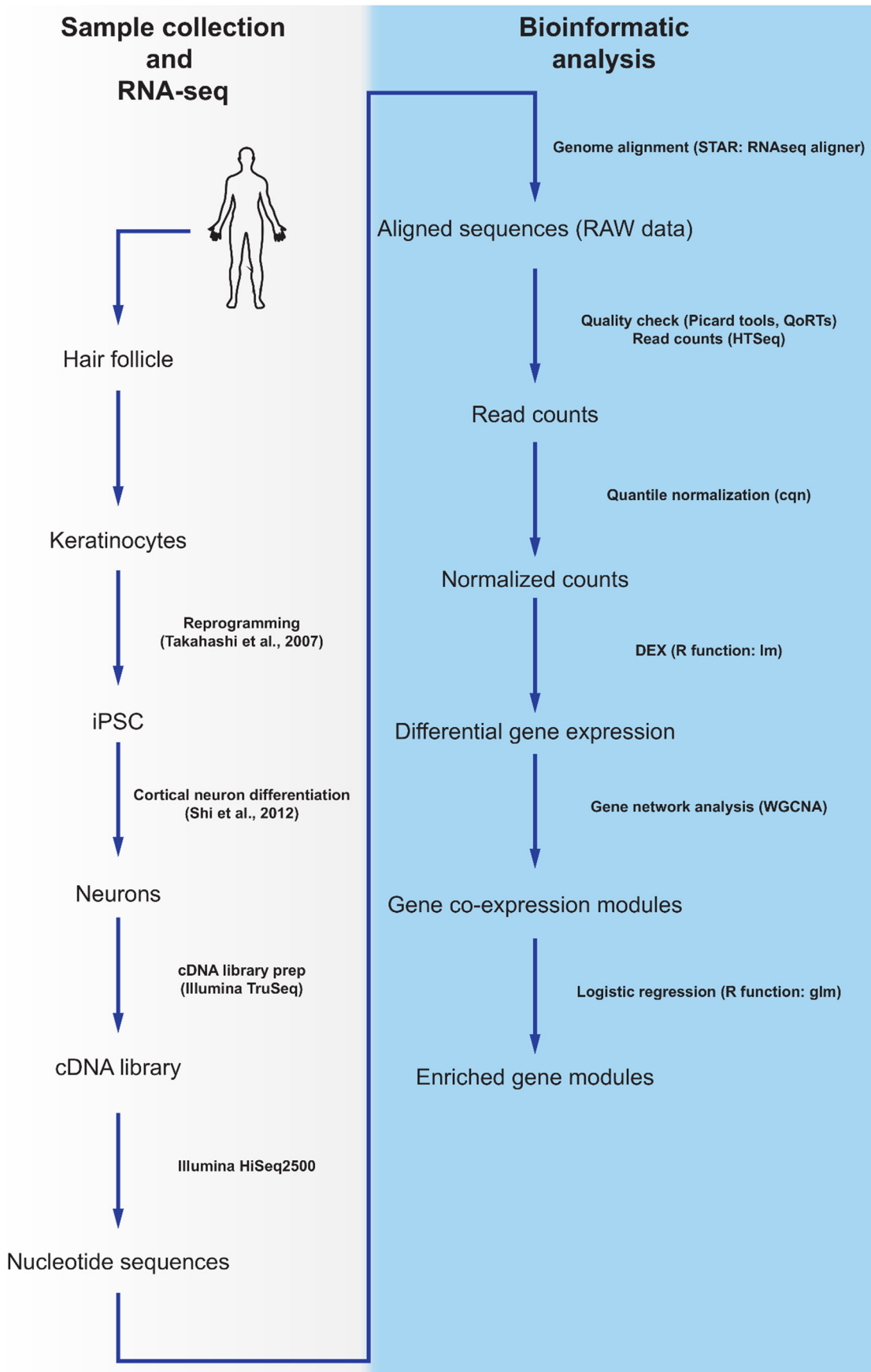
A Control iPSC lines



B Autism iPSC lines

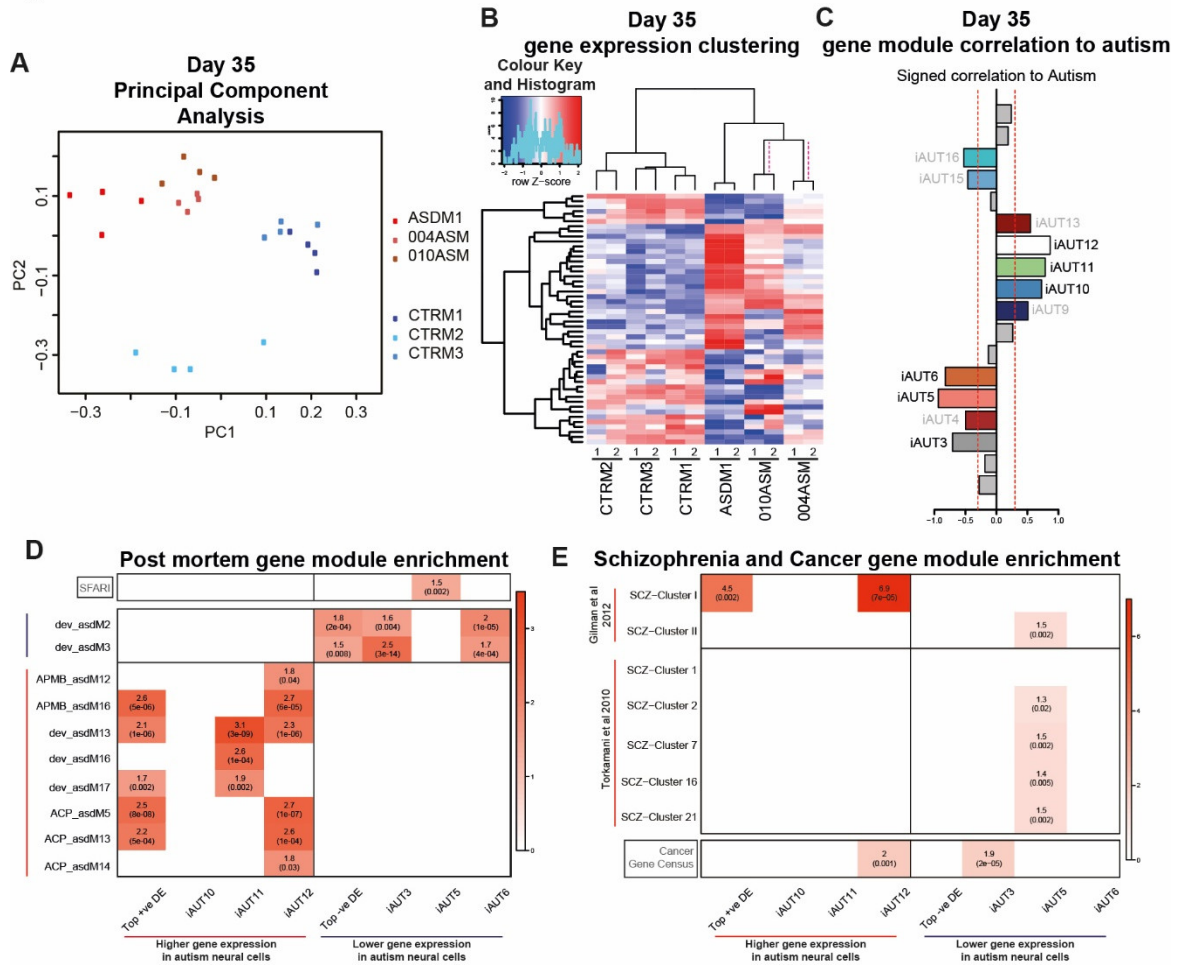


**Supplementary Figure S1: Quality control images of iPSC lines.** Pluripotency of all iPSC lines were determined by positive staining for stem cell markers NANOG and TRA-1-81.



**Supplementary Figure S2: Bioinformatics pipeline.** Analysis pipeline for RNASeq.

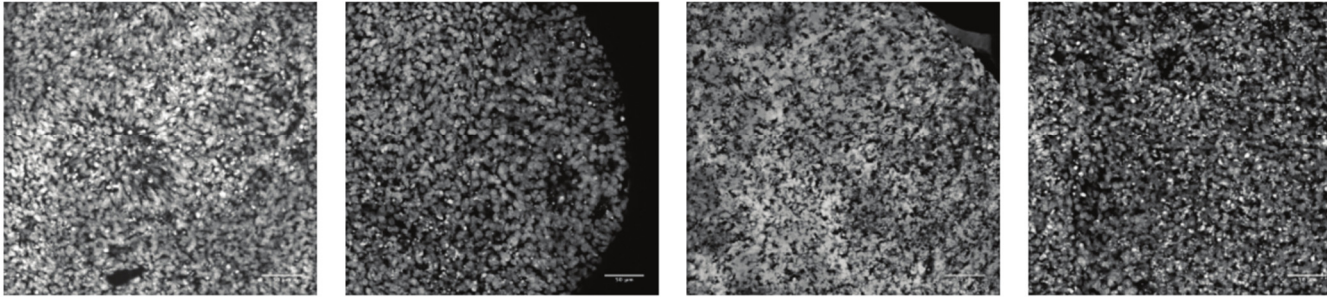
Figure S3



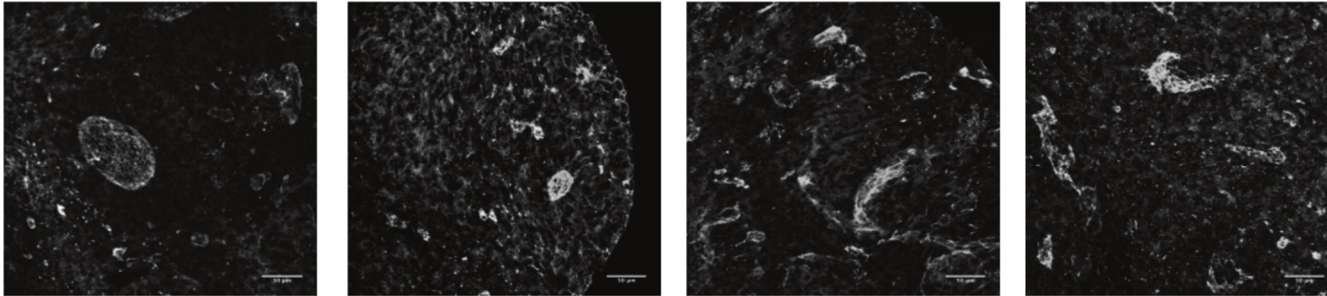
**Supplementary Figure S3: RNA-sequencing analysis of day 35 cortical neurons.** (A) Principal component analysis showing distinct transcriptomic profiles of autism and typical day35 cells. (B) Gene count from iPSC biological replicates (1, 2) of individual participants and clustering based on Z-scores of top 50 differentially expressed genes. Clustering of 010ASM, 004ASM indicated using magenta dashed lines. (C) WGCNA reveals 11 gene modules significantly correlated to autism (top 3 positively correlated and top 3 negatively correlated modules enrichment shown; greyed module enrichment not shown). (D) Gene module enrichment reveals positively correlated (red) modules are enriched in corresponding positively correlated *post-mortem* gene modules, while negatively correlated (blue) modules

are enriched in negatively correlated *post-mortem* gene modules. (E) Gene modules do not show sufficient enrichment in *post-mortem* gene modules from schizophrenia studies or cancer gene sets.

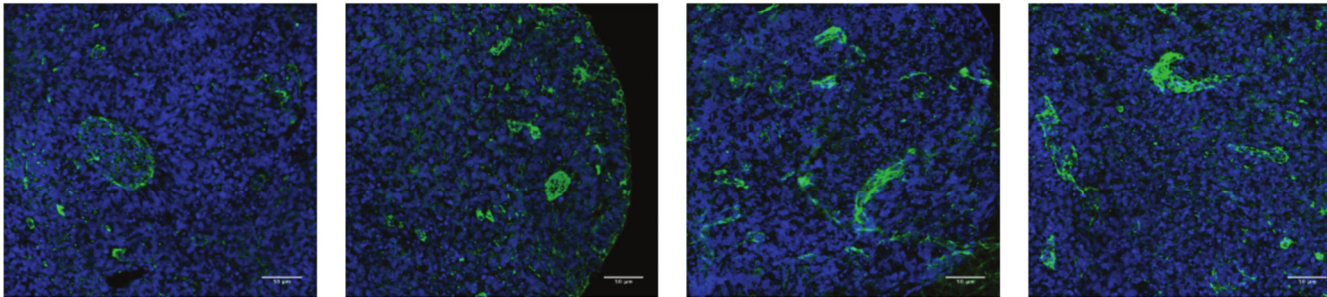
## A HOECHST



ZO-1



HOECHST ZO-1



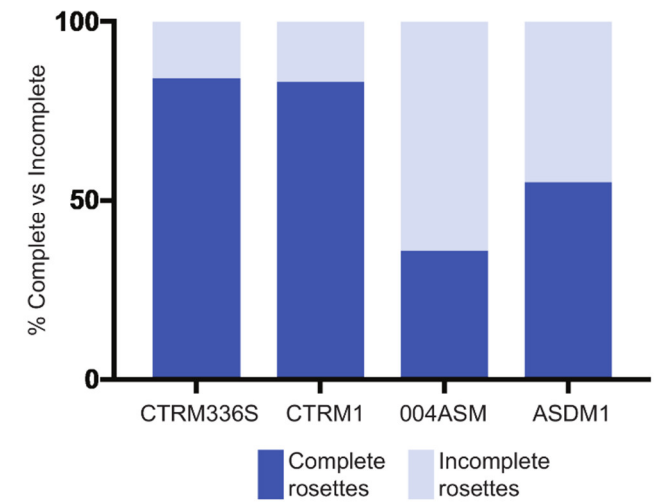
CTRM336S

CTRM1

004ASM

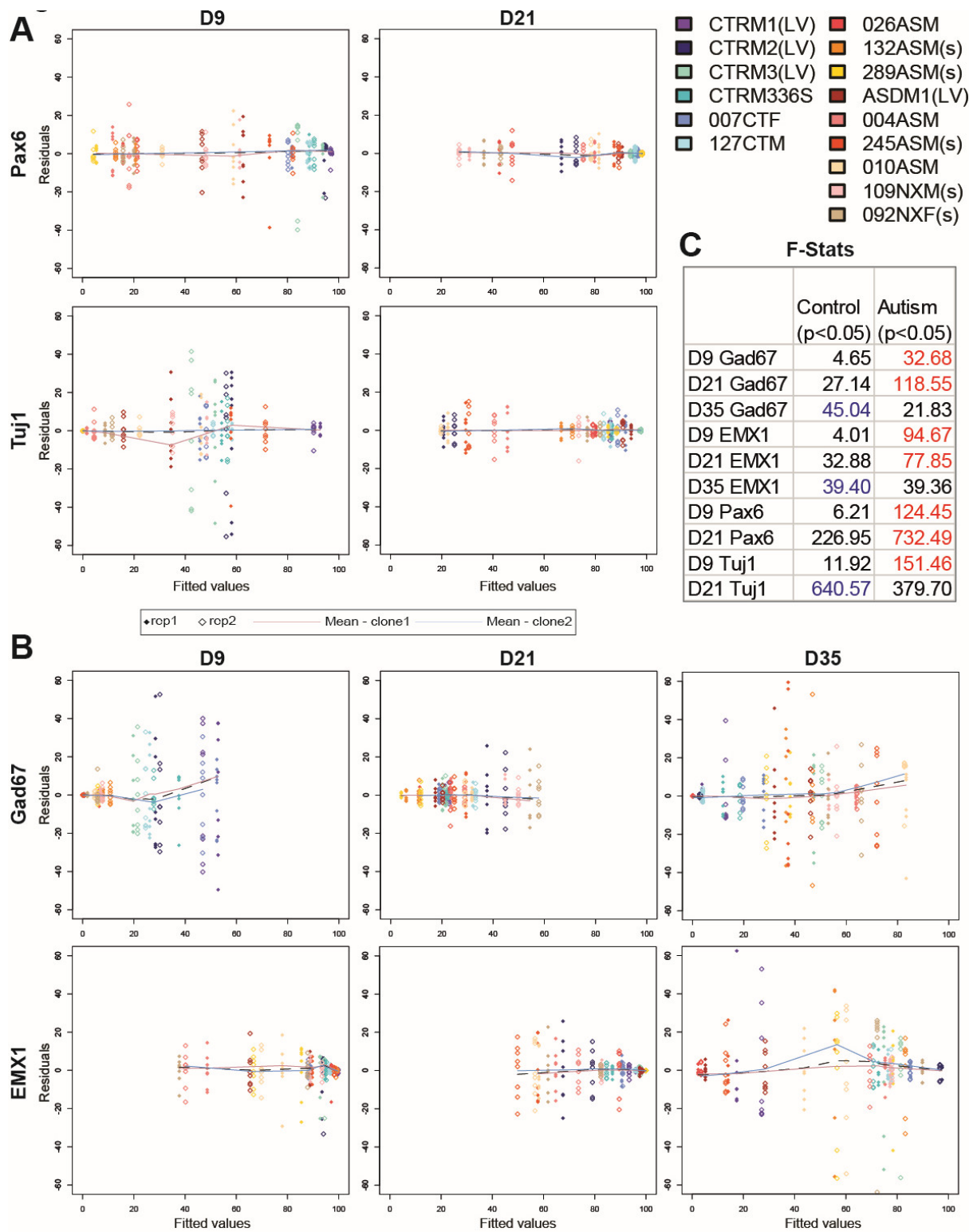
ASDM1

## B



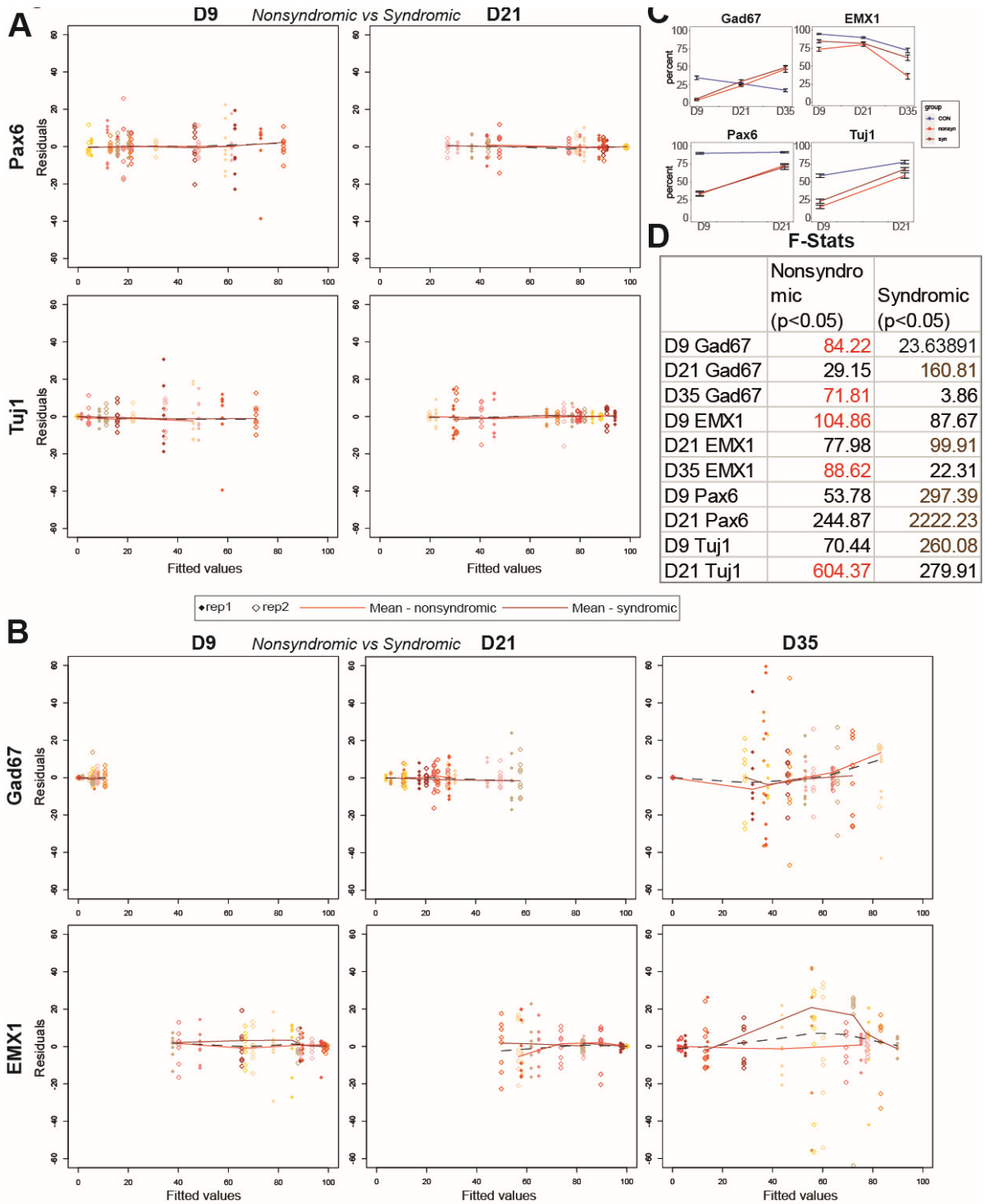
**Supplementary Figure S4: Day 7 3D spheroids from contro-l and autism-iPSCs demonstrate deficiency in neural rosette formation. (A)**

Day 30 spheroids were immunostained for ZO1 (green) and Hoescht (Blue). **(B)** Percent complete and incomplete rosettes.



**Supplementary Figure S5: ANOVA plots to demonstrate changes in variance of data points due to clones from individual participants. (A) Two-way ANOVA (Lines~Cells+Clones) Residuals vs Fitted values plots when observing cortical differentiation. (B) Two-way ANOVA (Lines~Cells+Clones) Residuals vs Fitted values plots demonstrate**

spread of values of individual data points across all samples when observing dorsal vs ventral forebrain differentiation. (C) F-values show degree of variance within the control and autism groups. All parameters measured show significant variance ( $p < 0.05$ ) across both groups, and 7 out of 10 parameters show greater variance in the autism group.



**Supplementary Figure S6: ANOVA plots to demonstrate changes in variance of data points due to type of diagnosis (non-syndromic vs syndromic) from individual participants. (A) Two-way ANOVA (Lines~Cells+Syndromic) Residuals vs Fitted values plots when observing non-syndromic vs syndromic cortical differentiation in autistic samples.**

**(B)** Two-way ANOVA (Lines~Cells+Syndromic) Residuals vs Fitted values plots demonstrate spread of values of individual data points across all autistic samples when observing non-syndromic vs syndromic dorsal vs ventral forebrain differentiation. **(C)** Mean values of % positive cells in controls, nonsyndromic and syndromic autism plotted over time. **(D)** F-values show degree of variance within the non-syndromic and syndromic autism groups. All parameters measured show significant variance ( $p < 0.05$ ) across both groups, and each group shows greater variance compared to the other in equal number of parameters, 5 out of 10.

<b>Table S1: General information on participants</b>									
<u>Donor No.</u>	<u>Cohort</u>	<u>Ethics</u>	<u>iPSC ID</u>	<u>Autism Diagnosis</u>	<u>Comorbidity</u>	<u>Head size</u>	<u>Sex</u>	<u>Age (years)</u>	<u>Ethnicity</u>
1	EU-AIMS LEAP	PINDS	ASDM1	Yes		Normal	M	34	Caucasion
2	EU-AIMS LEAP	PINDS	004ASM	Yes		Normal	M	26	Caucasion
3	EU-AIMS LEAP	PINDS	010ASM	Yes	ADHD/Depression	Normal	M	27	Caucasion
4	EU-AIMS LEAP	PINDS	026ASM	Yes		Normal	M	16	Caucasion
5	GOS-ICH	PINDS	289ASM	Yes		Normal	M	19	Caucasion
6	GOS-ICH	PINDS	132ASM	Yes	ADHD	Normal	M	8	Caucasion
7	BBGRE	PINDS	245ASM	Yes		Normal	M	15	Caucasion
8	BBGRE	PINDS	092NXF	Yes		Normal	F	10	Caucasion
9	BBGRE	PINDS	109NXM	Yes		Microcephaly	M	9	Caucasion
1	StemBANCC	PINDS	CTRM1	No		Normal	M	58	Caucasion
2	StemBANCC	PINDS	CTRM2	No		Normal	M	33	Caucasion
3	StemBANCC	PINDS	CTRM3	No		Normal	M	37	Caucasion
4	StemBANCC	PINDS	CTRM336S	No		Normal	M	37	Caucasion
5	EU-AIMS LEAP	PINDS	127CTM	No		Normal	M	56	Caucasion
6	EU-AIMS LEAP	PINDS	007CTF	No		Normal	F	27	Caucasion

<b>Table S2: Diagnosis details of autistic participants</b>												
<u>Donor no.</u>	<u>Cohort</u>	<u>iPSC ID</u>	<u>Autism Diagnosis</u>	<u>ADOS</u>				<u>ADI-R</u>				
				Social Interaction	Communication	Imagination	Stereotypic behaviour	PD_B	PD_C	PD_CS	PD_D	BDI
1	EU-AIMS LEAP	ASDM1	Yes	4	4	1	3					22
2	EU-AIMS LEAP	004ASM	Yes	8	4	1	2	25	19	NA	4	3
3	EU-AIMS LEAP	010ASM	Yes	5	5	1	2	3	3	NA	3	25
4	EU-AIMS LEAP	026ASM	Yes	9	10	NA	9	23	22	NA	1	
5	GOS-ICH	289ASM	Yes; syndromic	3	3	0	1	9	5.7	2.3	1.3	
6	GOS-ICH	132ASM	Yes; syndromic	10	9	NA	9	15.8	14	7.5	5	
7	BBGRE*	245ASM	Yes; syndromic									
8	BBGRE*	092NXF	Yes; syndromic									
9	BBGRE*	109NXM	Yes; syndromic									

\*Autism diagnosis was confirmed by referring physicians for BBGRE cohort participants. No further information available.

<b>Table S3: Genetic data of autistic participants</b>			
<u>Donor no.</u>	<u>Cohort</u>	<u>iPSC ID</u>	<u>Known Deletions/Duplications/SNVs</u>
1	EU-AIMS LEAP	ASDM1	
2	EU-AIMS LEAP	004ASM	
3	EU-AIMS LEAP	010ASM	
4	EU-AIMS LEAP	026ASM	
5	GOS-ICH	289ASM	SNV- Chr19:41759516; C->T; AXL gene; STOP_GAINED; LoF, Synaptic transmission (Schizophrenia). CNV VOUS: 8q21.12 to q21.13 del - 8;79,886,962- 80,149,513.
6	GOS-ICH	132ASM	CNV Clinical abnormality - 1p21.3 del - 1:96,953,361-97,711,563 (758,201bp, DPYD, PTBP2). VOUS: 3q25.33 to q26.1 del- 3:160,629,302- 160,727,203 (97,900bp, PPM1L); 8p11.23 Dup- 8:37,124,969- 37,186,580 (61,611bp); 15q26.1 dup- 15:92,818,328- 92,875,717 (57,388bp); 17q24.1 del- 17:63,288,669- 63,375,080 (86,410bp);
7	BBGRE	245ASM	Complete duplication of CNTN6; paternal x3 chr3:4,354,703-4,532,449 (partail dup SETMAR; complete duplication of SUMF1)
8	BBGRE	092NXF	Paternally inherited duplication in long arms of chromosome 1 – likely to be benign – 1q21.1 (144,679,874 – 145,747,269) x3. De novo deletion of ~200kb in short arm of chromosome 2 – 2p16.3 (50,806,991 – 51,013,685) x1
9	BBGRE	109NXM	Maternally inherited deletion ~60kb in short arm of chromosome 2 – 2p16.3 (50,888,852 – 50,947,729) x1

<b>Table S4: Reprogramming method and iPSC validation</b>					
<b>Donor no.</b>	<b>iPSC ID</b>	<b>Reprogramming method</b>	<b>hiPSC validation</b>	<b>Diagnoses</b>	<b>Diagnosis type</b>
1	ASDM101	Constitutive Polycistronic Lentivirus Reprogramming Kit*	ICC; cytoSNP	Autism	Nonsyndromic
	ASDM108	Constitutive Polycistronic Lentivirus Reprogramming Kit*	ICC; cytoSNP	Autism	Nonsyndromic
2	004ASM01	CytoTune-iPS Sendai Reprogramming Kit	ICC; cytoSNP	Autism	Nonsyndromic
	004ASM09	CytoTune-iPS Sendai Reprogramming Kit	ICC; cytoSNP	Autism	Nonsyndromic
3	010ASM05	CytoTune-iPS Sendai Reprogramming Kit	ICC; cytoSNP	Autism	Nonsyndromic
	010ASM06	CytoTune-iPS Sendai Reprogramming Kit	ICC; cytoSNP	Autism	Nonsyndromic
4	026ASM03	CytoTune-iPS Sendai Reprogramming Kit	ICC; cytoSNP	Autism	Nonsyndromic
	026ASM02	CytoTune-iPS Sendai Reprogramming Kit	ICC; cytoSNP	Autism	Nonsyndromic
5	132ASM02	CytoTune-iPS Sendai Reprogramming Kit	ICC; cytoSNP	Autism	Nonsyndromic
	132ASM01	CytoTune-iPS Sendai Reprogramming Kit	ICC; cytoSNP	Autism	Nonsyndromic
6	289ASM03	CytoTune-iPS Sendai Reprogramming Kit	ICC; cytoSNP	Autism	Nonsyndromic
	289ASM01	CytoTune-iPS Sendai Reprogramming Kit	ICC; cytoSNP	Autism	Nonsyndromic
7	245ASM02	CytoTune-iPS Sendai Reprogramming Kit	ICC; cytoSNP	Autism	Syndromic
	245ASM04	CytoTune-iPS Sendai Reprogramming Kit	ICC; cytoSNP	Autism	Syndromic

8	109NXM04	CytoTune-iPS Sendai Reprogramming Kit	ICC; cytoSNP	Autism	Syndromic
	109NXM03	CytoTune-iPS Sendai Reprogramming Kit	ICC; cytoSNP	Autism	Syndromic
9	092NXF04	CytoTune-iPS Sendai Reprogramming Kit	ICC; cytoSNP	Autism	Syndromic
	092NXF09	CytoTune-iPS Sendai Reprogramming Kit	ICC; cytoSNP	Autism	Syndromic
<b>Controls iPSCs</b>					
1	CTRM104	Constitutive Polycistronic Lentivirus Reprogramming Kit*	ICC; cytoSNP		
	CTRM111	Constitutive Polycistronic Lentivirus Reprogramming Kit*	ICC; cytoSNP		
2	CTRM205	Constitutive Polycistronic Lentivirus Reprogramming Kit*	ICC; cytoSNP		
	CTRM242	Constitutive Polycistronic Lentivirus Reprogramming Kit*	ICC; cytoSNP		
3	CTRM315	Constitutive Polycistronic Lentivirus Reprogramming Kit*	ICC; cytoSNP		
	CTRM322	Constitutive Polycistronic Lentivirus Reprogramming Kit*	ICC; cytoSNP		
4	CTRM336 S	CytoTune-iPS Sendai Reprogramming Kit	ICC; cytoSNP		

	CTRM337 S	CytoTune-iPS Sendai Reprogramming Kit	ICC; cytoSNP		
5	127CTM04	CytoTune-iPS Sendai Reprogramming Kit	ICC; cytoSNP		
	127CTM10	CytoTune-iPS Sendai Reprogramming Kit	ICC; cytoSNP		
6	007CTF10	CytoTune-iPS Sendai Reprogramming Kit	ICC; cytoSNP		
	007CTF01	CytoTune-iPS Sendai Reprogramming Kit	ICC; cytoSNP		

\*Note: The constitutive polycistronic lentivirus kit uses a single vector which has a negligible risk of insertional mutagenesis and viral reactivation

<b>Table S5: Fluorescent thresholds for antibodies used in cell type analysis</b>				
<b>Antibody</b>	<b>Supplier</b>	<b>Cat. No.</b>	<b>Dilution</b>	<b>Fluorescent intensity threshold (a.u.)</b>
Pax6	BioLegend	901301	1:300	3500
Tuj1	BioLegend	801201	1:500	2500
Emx1	ThermoFisher	PA5-35373	1:100	4000
Gad67	Abcam	ab26116	1:1000	600
TBR1	Abcam	ab31940	1:200	1000
CD44	R&D Systems	MAB7045	1:50	600
LMX1A	Abcam	ab139726	1:100	1000
FOXA2	Invitrogen	701698	1:300	3000

<b>Table S7: Morphological details of Neural Rosettes</b>						
<b>Participant ID</b>	<b>Average diameter (mm)</b>	<b>T-test (<math>p=1 \times 10^{-22}</math>)</b>	<b>(mm)</b>	<b>Mean rosettes number (%)</b>	<b>T-test (<math>p=6 \times 10^{-11}</math>)</b>	<b>(%)</b>
CTRM1	0.070855781	<b>Mean (control)</b>	0.0742113	1.714263246	<b>Mean (control)</b>	1.87176
CTRM2	0.070123668			0.980711145		
CTRM3	0.090845642			1.785184347		
CTRM336S	0.066494935			0.65878026		
007CTF	0.07566226			2.981324875		
127CTM	0.071285499			3.110279078		
026ASM	0	<b>Mean (autism)</b>	0.04042801	0	<b>Mean (autism)</b>	6.52623
132ASM	0.053815315			11.14960454		
289ASM	0.049887732			4.940428375		
ASDM1	0.055942962			5.255250823		
004ASM	0			0		
245ASM	0.057726738			3.7669185		
010ASM	0.070457893			2.453844441		
109NXM	0.055274512			12.55877341		
092NXF	0.060892681			5.558788098		

**Supplementary Table S8:** Variability in data points due to clones. Data points showing significant variation due to clones highlighted in red.

	Clones F-stat					
	Combined	<i>p-val</i>	Control	<i>p-val</i>	Autism	<i>p-val</i>
D9 Gad67	0.279	<i>0.598</i>	0.478	<i>0.4912</i>	1.568	<i>0.2129</i>
D21 Gad67	13.355	<i>0.0003</i>	1.554	<i>0.216</i>	14.505	<i>0.0002</i>
D35 Gad67	5.435	<i>0.0207</i>	4.817	<i>0.03</i>	0.634	<i>0.428</i>
D9 EMX1	0.269	<i>0.605</i>	0.025	<i>0.8751</i>	0.291	<i>0.591</i>
D21 EMX1	1.635	<i>0.202</i>	1.239	<i>0.2688</i>	0.663	<i>0.417</i>
D35 EMX1	1.095	<i>0.2965</i>	0.515	<i>0.475</i>	0.603	<i>0.4388</i>
D9 Pax6	11.116	<i>0.001</i>	0.598	<i>0.441</i>	13.65	<i>0.0003</i>
D21 Pax6	0.683	<i>0.41</i>	1.473	<i>0.2283</i>	0.062	<i>0.8035</i>
D9 Tuj1	4.19	<i>0.0419</i>	0.496	<i>0.483</i>	10.69	<i>0.0014</i>
D21 Tuj1	0.099	<i>0.7538</i>	0.04	<i>0.842</i>	0.06	<i>0.8072</i>
D35 TBR1	0.091	<i>0.763</i>	1.459	<i>0.231</i>	1.244	<i>0.267</i>

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