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Protein and glycan mimicry in HIV vaccine design

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1 **Abstract**

2 Antigenic mimicry is a fundamental tenet of structure-based vaccinology. Vaccine strategies for the
3 human immunodeficiency virus type 1 (HIV-1) focus on the mimicry of its envelope spike (Env) due to
4 its exposed location on the viral membrane and role in mediating infection. However, the virus has
5 evolved to minimise the immunogenicity of conserved epitopes on the envelope spike. This principle
6 is starkly illustrated by the presence of an extensive array of host-derived glycans which act to shield
7 the underlying protein from antibody recognition. Despite these hurdles, a subset of HIV-infected
8 individuals eventually develop broadly neutralising antibodies that recognise these virally-presented
9 glycans. Effective HIV-1 immunogens are therefore likely to involve some degree of mimicry of both
10 the protein and glycan components of Env. As such, considerable efforts have been made to
11 characterise the structure of the envelope spike and its glycan shield. This review summarises the
12 recent progress made in this field, with an emphasis on our growing understanding of the factors
13 shaping the glycan shield of Env derived from both virus and soluble immunogens. We argue that
14 recombinant mimics of the envelope spike are currently capable of capturing many features of the
15 native viral glycan shield. Finally, we explore strategies through which the immunogenicity of Env
16 glycans may be enhanced in the development of future immunogens.

17 **Keywords:** human immunodeficiency virus, vaccinology, antibodies, glycosylation, structure

18 **Abbreviations/Glossary:** HIV-1, human immunodeficiency virus type 1; Env, envelope spike; AIDS,
19 acquired immune deficiency syndrome; bnAb(s), broadly neutralising antibody(ies); nAb(s),
20 neutralising antibody(ies); gl-bnAb(s), germline-bnAb(s); CD4bs, CD4 binding site; CCR5, C-C
21 chemokine receptor type 5; CXCR4, C-X-C chemokine receptor type 4; TF, transmitted/founder; EM,
22 electron microscopy; PNGS, potential N-glycosylation sites; BCR, B cell receptor, IMP, intrinsic
23 mannose patch; TAMP, trimer associated mannose patch; HCDR3, third heavy chain complementarity-
24 determining regions; CDR, complementarity-determining regions; LOS, lipooligosaccharides; SP, signal
25 peptide; MPER, membrane proximal external region; TM, transmembrane region; CT, cytoplasmic tail;
26 HR1/2, heptad repeat 1 or 2; NFL, native flexibly linked; SC, single-chain; UFO, uncleaved prefusion-
27 optimised; PBMC, peripheral blood mononuclear cell; PNS, peripheral nervous system; CHO, Chinese
28 hamster ovary; HEK, human embryonic kidney; cGMP, current good manufacturing practices; Glc,
29 glucose; Man, mannose; GlcNAc, N-acetylglucosamine; Gal, galactose; Fuc, fucose; Neu5Ac, N-
30 acetylneuraminic acid (sialic acid); GlcN, glucosamine; KDO, 2-keto-3-deoxy-D-manno-octulosonic
31 acid; ER, endoplasmic reticulum; α -man I and II, α -mannosidase I and II; GnT I, N-
32 acetylglucosaminyltransferase I.

33

34 **1. Challenges facing HIV-1 vaccine design**

35 Vaccines typically contain or mimic parts or all of a pathogen, such as an attenuated strain or
36 recombinant soluble surface protein, to prime the immune system to produce an effective response
37 upon future exposure to that pathogen. This strategy has proved to be very successful in the past,
38 famously resulting in the complete eradication of the smallpox virus [1], and more recently in a
39 protective vaccine against Ebola virus [2]. Despite significant efforts, a vaccine capable of eliciting a
40 protective response against the human immunodeficiency virus type 1 (HIV-1) has proved elusive [3].

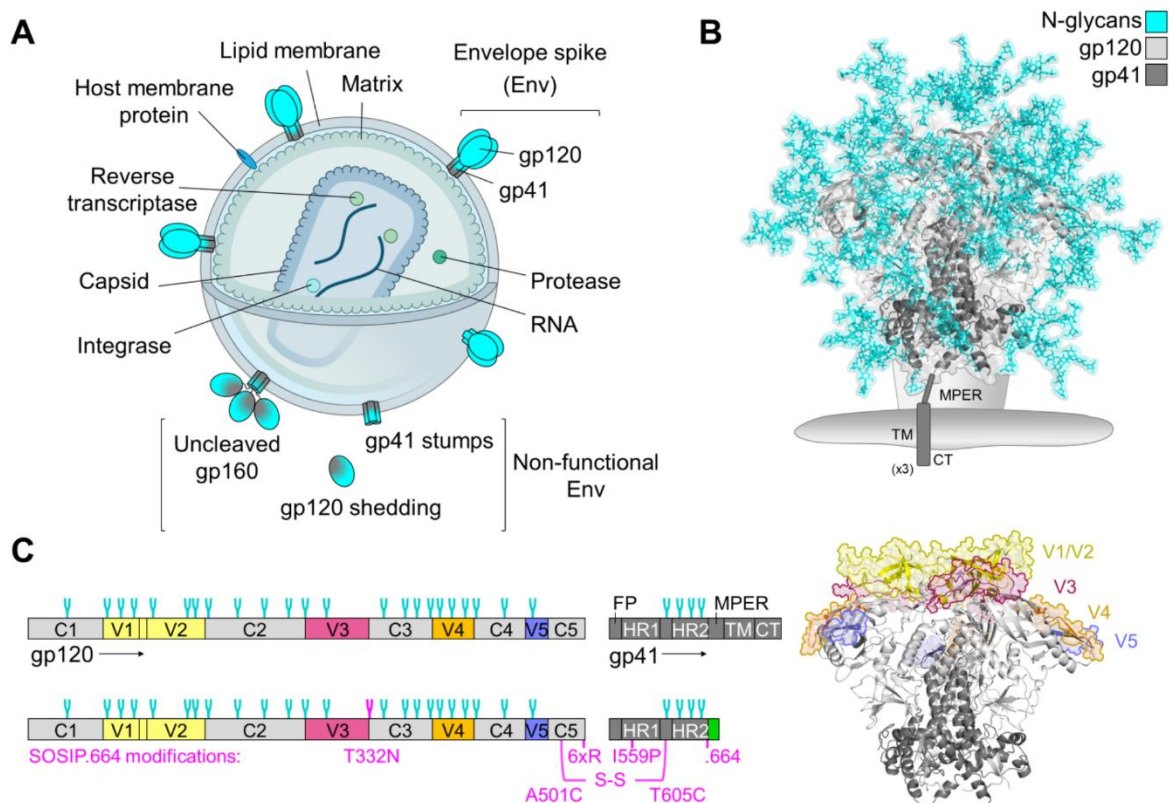
41 Both antibodies and cytotoxic T lymphocytes are produced upon infection with HIV-1.
42 However, the virus has evolved several features that undermine immunological control and
43 eradication of infection, most notably, very high antigenic diversity and the establishment of a latent
44 viral reservoir. While treatment with antiretroviral drugs can extend the life expectancy of infected
45 individuals to near-normal [4, 5], drug-resistance has been documented for every class of
46 antiretroviral currently in use [6], and treatment regimens are often accompanied by adverse side-
47 effects and low levels of adherence. Furthermore, cessation of therapy results in rapid viral rebound
48 [7]. If left untreated, HIV-1 infection results in diminished numbers of CD4+ T cells (the major viral
49 target cell), causing acquired immune deficiency syndrome (AIDS) and death. While HIV-1 cure
50 strategies are an important and viable field of research [8], the development of an effective
51 prophylactic vaccine remains a primary goal in the effort to control the HIV-1 pandemic.

52 Analysis of the immune response of infected individuals has renewed optimism that a vaccine
53 may be a tractable goal [9, 10]. A subset of HIV-1 infected patients are able to generate antibodies of
54 sufficient breadth and potency to neutralise the vast majority of circulating HIV-1 isolates [11-13].
55 Although these broadly neutralising antibodies (bnAbs) are unable to clear the virus from the infected
56 individual, they are able to protect non-human primates [14-25] and humanised mice [26-32] from
57 viral challenge when passively administered. Importantly, these antibodies are protective at
58 concentrations achievable by vaccination in other settings [15, 17]. Taken together, these
59 observations provide some support for the hypothesis that a vaccine can be developed capable of
60 generating a protective antibody response against HIV-1.

61 All known bnAbs are directed against the envelope spike (Env) [33-35], the only viral protein
62 on the virus surface (Fig. 1A). Therefore, while the contribution of T cells in the development of an
63 antibody response is critical [36-38], considerable research efforts have been directed at the
64 development of stable, recombinant mimics of the envelope spike in order to elicit a B cell response
65 [39]. Central to this strategy is the hypothesis that antigenic mimicry of a vaccine candidate is essential
66 for the induction of an antibody response against that antigen [37, 40]. However, HIV-1 has evolved

67 under immense selection pressure by the humoral immune system and consequently many of the
 68 most valuable bnAb epitopes are inherently poor immunogens. One manifestation of this is an
 69 extensive array of host-derived N-glycans which surrounds the envelope spike to create a largely
 70 immunologically 'self' glycan shield (Fig. 1B). While originally thought to protect the underlying protein
 71 surface from immune recognition, the discovery that many bnAbs can develop that recognise glycan
 72 epitopes has exposed the glycan shield itself as a potential target for vaccine design [41]. Scanlan et
 73 al. previously highlighted the apparent contradiction in that HIV-1 glycans have evolved as an
 74 adaptation for virus survival and yet have emerged as targets for vaccine design [42]. It is therefore
 75 important that Env-based immunogens are able to mimic effectively both the protein and glycan
 76 components of the envelope spike, though strategies that tackle the poor immunogenicity of the
 77 glycan epitopes are likely to be required.

78 In order to inform the rational design of Env immunogens a detailed understanding of both
 79 the composition of the glycan shield, and the structural rules governing its formation are required.
 80 This review will discuss recent contributions to the field of HIV-1 vaccine design, specifically the
 81 principles governing HIV-1 glycosylation and how this can be used to help select candidate
 82 immunogens. We also discuss the strategies being explored with the aim of boosting the
 83 immunogenicity of Env-based vaccines.



84

85 **Figure 1. Structure of the HIV-1 virion and the envelope spike. (A)** Graphic depicting the structure of
86 the HIV-1 virion. Approximately 14 envelope spikes are displayed on the surface of the virion (mean
87 for one HIV-1 isolate), embedded into the host cell-derived lipid membrane [43]. **(B)** Model of a fully
88 glycosylated envelope spike (glycans in cyan sticks) based on PDB: 5ACO [44]. Glycans were added
89 according to Behrens et al., 2016 [45]. The envelope spike is a trimer of non-covalently associated
90 gp120 (light grey) and gp41 (dark grey) heterodimers. The gp120 subunits contain the CD4 receptor
91 and CCR5 or CXCR4 co-receptor binding sites. Upon binding, the trimer undergoes substantial
92 conformational changes that enable the gp41 subunits to drive fusion of the viral and host cell
93 membranes. The membrane proximal external region (MPER), transmembrane domain (TM) and
94 cytoplasmic tail (CT) are not present on the structure and are shown for one protomer in cartoon along
95 with the lipid membrane for orientation. **(C)** Left: Schematic representation of the primary structure
96 of Env (top) and the soluble immunogen, BG505 SOSIP.664 (bottom). Variable regions (V1-5) are
97 shown in colour, constant regions (C1-5) are shown in light grey, and gp41 is shown in dark grey. The
98 envelope spike has approximately 25 potential N-glycosylation sites per gp120, and 4 per gp41 (cyan
99 forks; mean across many isolates) [46]. SOSIP.664 modifications are annotated in magenta, with
100 optional purification tag coloured green. FP = fusion peptide, HR1/2 = heptad repeat 1 and 2. Right:
101 Model of a de-glycosylated envelope spike (as in B), with variable loops coloured accordingly.

102

103 1.1 The antibody response

104 Neutralising antibodies (nAbs) typically work by binding an antigen on the viral surface to prevent the
105 virus from infecting the host cell, and correlate with protection in the majority of licensed vaccines
106 [47]. As the only viral protein on the virion surface, and playing a key role in mediating infection, the
107 envelope spike is the sole target for nAbs. However, several fundamental features of HIV-1 biology
108 hinder the development of nAbs in both a vaccine and infection setting. The functional envelope spike
109 is a trimer of non-covalently associated gp120-gp41 heterodimers (Fig. 1), generated by furin cleavage
110 of a gp160 precursor polypeptide. Host cell tropism and attachment is mediated by the gp120
111 subunits, which contain the CD4 receptor and co-receptor (CCR5 or CXCR4) binding sites. Once bound,
112 substantial conformational changes enable the gp41 subunits to drive fusion of the viral and host cell
113 membranes [48]. Antibodies capable of binding the spike in its functional conformation prevent this
114 occurring. However, functional Envs are few and far between, with only approximately 14 spikes per
115 viral particle (mean for one HIV-1 isolate) [43]. The wide spacing of the envelope spikes is
116 disadvantageous for the host antibody response as B cells are more effectively activated by repetitive
117 and organised structures [49].

118 The virus also produces an abundance of non-functional envelope spikes in the form of
119 uncleaved gp160, and non-functional monomeric subunits such as soluble gp120 and gp41 stumps.
120 These can arise either through the improper processing of the spike, or its later disintegration (Fig.
121 1A). This 'viral debris' displays immunodominant epitopes that are either occluded or absent on the
122 functional trimer (e.g. the inner surface of gp120), and acts to divert the host antibody response [50-

123 55]. Thus, the initial antibody response, arising over the first few weeks of infection, is incapable of
124 binding the functional envelope spike and is 'non-neutralising' [56].

125 A further nAb evasion feature of HIV-1 is the relatively poor accessibility of its conserved
126 epitopes. The CD4 binding site (CD4bs), for example, is a highly conserved region essential for
127 infectivity and thus represents a potentially vulnerable site for antibody neutralisation. However, its
128 recessed location within the trimer interface, surrounded by N-glycans, reduces the accessibility of
129 this valuable collection of epitopes for nAb recognition. Interestingly, llamas and cows are capable of
130 generating nAbs against the CD4bs region following immunisation with Env [57, 58]. Llamas naturally
131 produce heavy-chain only antibodies that are much smaller than conventional antibodies, while cow
132 antibodies contain very long third heavy chain complementarity-determining regions (HCDR3), some
133 over 70 amino acids in length. The unusual architectures of these antibodies enable them to easily
134 access the CD4bs, supporting a model of steric blocking for conventional IgG molecules.

135 In contrast, highly variable regions occupy the more accessible regions of the trimer, providing
136 yet another immunodominant diversion (Fig. 1C). Within a few months of infection patients readily
137 develop autologous nAbs (i.e. antibodies capable of neutralising only the strain they were raised
138 against), often directed at the variable loops 1, 2 and 3 (V1/V2 and V3) [59, 60]. Antibody-mediated
139 selection pressure, combined with an error-prone viral reverse transcriptase, rapidly drives viral
140 escape and results in extreme diversity [60]. Indeed, the genetic diversity of HIV-1 within an infected
141 individual is comparable to the global genetic diversity of influenza in one year [46]. Thus, an effective
142 vaccine against HIV-1 must not only induce nAbs, but antibodies with sufficient breadth of activity to
143 neutralise the majority of circulating strains (bnAbs).

144 The development of bnAbs is dependent on the activation of the appropriate naïve B cells by
145 engaging their B cell receptor (BCR), i.e. the precursor bnAb, prior to affinity maturation of the B cell
146 in the germinal centre by somatic hypermutation. The resulting bnAbs are often significantly mutated
147 from their germline-encoded BCR [33]. However, this process is hindered by the low affinity of so-
148 called "germline"-bnAbs (gl-bnAbs) to the envelope spike. Consequently, many recombinant Env
149 mimics also fail to bind gl-bnAbs [61-64], adding to the difficulties in eliciting bnAbs in a vaccine setting
150 (Section 6.3).

151 1.2 The glycan shield

152 A contributing factor to the immunodominance of many of the non-neutralising and autologous
153 neutralising epitopes, and the inability of Env to bind gl-bnAbs, is the presence of an array of N-glycans
154 that mask much of the surface of the envelope spike (Fig. 1B). Each Env can have upwards of 90
155 potential N-glycosylation sites (PNGS), with glycans comprising approximately half the trimer's mass

156 [65]. The extensive N-glycosylation presents additional challenges for the host antibody response to
157 overcome. The glycans are derived from the host's own glycosylation machinery during Env synthesis
158 and are therefore considered immunologically 'self'. Auto-reactive B cells undergo strong negative
159 selection during B cell development, constraining the development of potential anti-glycan antibodies
160 [66]. Furthermore, glycoproteins tend to exist as heterogeneous populations, with a multitude of
161 glycan structures decorating the same protein backbone, thereby potentially reducing the antigenicity
162 of each individual glycoform [67]. Lastly, protein-glycan interactions tend to have low binding affinities
163 and often require multivalent interactions to overcome this [68]. The heavily glycosylated outer
164 domain of gp120 has been dubbed the 'silent face' of HIV-1, due to the previous lack of antibodies
165 described against this region [69].

166 The role of glycans in protecting HIV-1 from neutralising antibody responses has been well
167 documented. The glycan shield constantly evolves to escape the host immune system, with the
168 addition and deletion of glycan sites frequently used by the virus to escape nAb responses [70-72].
169 The 'evolving glycan shield' escape response is typified by the N332 glycan, which has been reported
170 to shift from the N334 position and back again after the appearance of N332-dependent nAbs [70].
171 Furthermore, transmitted/founder (TF) viruses typically have fewer PNGS than chronic isolates [72-
172 75]. While this suggests there may be a fitness advantage to having fewer PNGS, a balance must then
173 be struck between maintaining viral fitness and protecting vulnerable epitopes from the emerging nAb
174 response. More recently a study by Wagh et al. reported that the addition of PNGS to fill holes in the
175 glycan shield *in vivo* resulted in increased resistance to autologous nAbs [76]. Indeed, many *in vitro*
176 studies have also reported the increased susceptibility of the virus to neutralisation upon removal of
177 PNGS [77-81], which can often be rationalised by clashes observed between nAbs and glycans in
178 structural studies [82, 83].

179 Despite the abovementioned challenges, approximately a third of infected individuals develop
180 some level of bnAbs after a few years of infection [11-13]. Many bnAbs are able to either penetrate
181 the glycan shield to bind protein surfaces or directly bind to Env glycans. Thus, while glycan shielding
182 remains a potent immune evasion strategy, the discovery of numerous glycan-binding bnAbs, has
183 highlighted the glycan shield as part of an attractive target for vaccine design [84]. The importance of
184 the glycan shield in HIV-1 vaccine design has recently been underlined by Wagh et al., who observed
185 that the development of bnAbs in infected individuals correlated with the completeness of the glycan
186 shield at transmission [76].

187 1.3 Instability of the viral spike

188 Although bnAbs are increasingly being isolated from infected individuals, we are yet to elicit them in
189 a vaccine setting in humans. Early vaccination strategies using recombinant, monomeric gp120 failed
190 to confer protection [85-87], presumably due to the elicitation of antibodies directed against the
191 aforementioned immunodominant non-neutralising epitopes (and/or the absence of gp41 and
192 quaternary epitopes) [88]. The focus of HIV-1 vaccine research has now shifted to include the
193 production of trimeric Env immunogens that display the majority of bnAb epitopes while minimising
194 non-neutralising epitopes as much as possible, with the hope that these will be better able to induce
195 a bnAb response [39]. However, the envelope spike is inherently unstable, reflecting its need to
196 undergo substantial conformational changes during viral and host cell fusion. This has made the design
197 of native-like immunogens particularly challenging (for an extensive review on the history and design
198 of native-like Env trimers, see Sanders and Moore, 2017 [39]).

199 The desire to remove the transmembrane region of the protein in order to generate soluble
200 mimics often amplified trimer instability. Initial efforts to stabilise the trimer involved the removal or
201 inactivation of the furin cleavage site to prevent gp120-gp41 dissociation, and/or the introduction of
202 a trimerisation domain at the C-terminus to prevent separation of the three gp120-gp41 heterodimers
203 [89, 90]. Although these approaches usually generated trimers, there was often an abundance of
204 monomers, dimers, and higher-molecular weight aggregates, owing to the inappropriate formation of
205 intermolecular disulphide bonds [91, 92]. These trimer constructs often displayed aberrantly folded
206 gp120s due to intramolecular disulphide bond scrambling and non-native like quaternary structures
207 as judged by peptide mapping, negative-stain electron microscopy (EM), hydrogen-deuterium
208 exchange mass spectrometry and glycosylation analysis (Section 4.4). Perhaps most importantly, these
209 trimers display non-native-like antigenicity [91-95] and are commonly referred to as ‘pseudotrimers’
210 to reflect their various non-native properties [39]. With hindsight it was therefore unsurprising that
211 immunisation studies with pseudotrimers, not unlike monomeric gp120, failed to elicit nAbs with
212 sufficient breadth or potency [96-98].

213 2. Protein mimicry in vaccine design

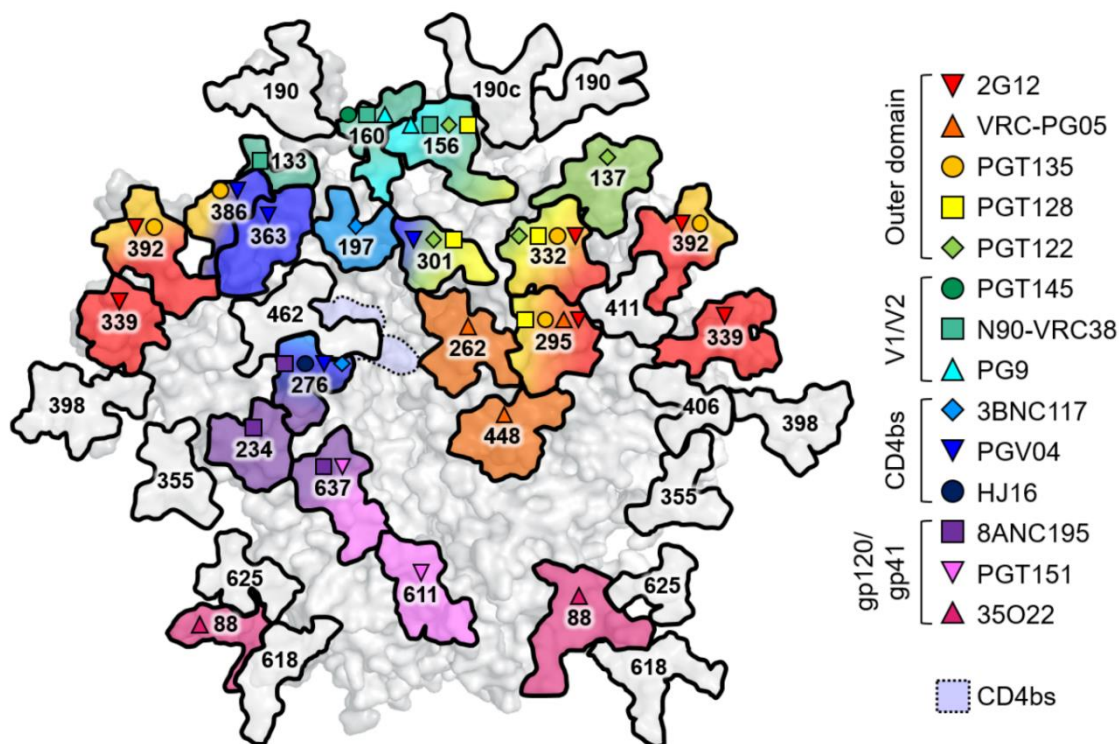
214 The development of the SOSIP.664 platform transformed the field of native-like HIV-1 trimer design
215 (Fig. 1C) [39]. These constructs retained the furin cleavage site, optimised for efficient cleavage, and
216 relied on the introduction of a disulphide bond (‘SOS’) to covalently link the gp120 and gp41 subunits
217 [99]. An additional point mutation in the gp41 subunits (I559P, ‘IP’) strengthened interactions
218 between the three heterodimers by trapping the trimer in its pre-fusion conformation [100, 101],
219 while truncation before the transmembrane region (‘.664’) ensured the solubility of the trimers and
220 reduced aggregate formation [102]. A further point mutation (T332N) introduced a glycan site that

221 contributes to the epitope of many bnAbs. This format was first successfully applied to a clade A strain,
222 BG505 [54], with the resulting 'BG505 SOSIP.664' trimers exhibiting both native-like structure [103]
223 and antigenicity [54].

224 In recent years, an arsenal of native-like trimers has been produced. The 'SOSIP' format has
225 since been applied to multiple strains, and mosaic and consensus sequences, though these often
226 required further stabilising point mutations and disulphide bonds (either between gp120-gp41 and/or
227 between protomers) [104-112]. Other native-like trimer formats focused on eliminating the
228 requirement for furin cleavage (and therefore the need to co-express Env immunogens with furin
229 encoding plasmids) in an attempt to simplify protein production strategies and DNA based vaccines.
230 Native flexibly linked (NFL) and single-chain (SC) trimers achieved this feat by replacing the furin
231 cleavage site with a flexible Gly-Ser linker [113, 114]. While the NFL and SC constructs both relied on
232 the I559P point mutation to maintain the pre-fusion conformation, UFO (uncleaved prefusion-
233 optimised) constructs achieved this through a computational redesign of the HR1 (heptad repeat 1)
234 region [115]. The immunogenicity of many of the above mentioned native-like trimers have now been
235 investigated in animal models [104, 107, 116-121], and have been reviewed by Sanders and Moore,
236 2017 [39].

237 **3. Display of bnAb epitopes by viral spike mimetics**

238 Native-like trimers are often assessed by their ability to bind bnAbs and not non-neutralising
239 antibodies. The development of native-like soluble trimers enabled the characterisation of many bnAb
240 epitopes through various biophysical techniques, such as X-ray crystallography and EM [122]. Broadly
241 neutralising antibodies are generally categorised by their recognition of five distinct and largely
242 conserved epitopes on the envelope spike: the CD4 binding site (CD4bs), the membrane proximal
243 external region (MPER), and the N-glycans located at the gp120-gp41 interface, the outer domain of
244 gp120, and on the V1/V2 loops at the trimer apex. However, recent advances in high throughput B cell
245 screening have led to a dramatic increase in the identification of new bnAbs, and have subsequently
246 revealed a continuum of epitopes spanning the entire surface of the trimer [34], including the majority
247 of N-glycans (Fig. 2). The recent characterisation of bnAb VRC-PG05, which recognises glycans at
248 positions N262, N295 and N448, could conceivably be the last class of bnAb to be identified as its
249 discovery filled one of the few remaining gaps on the trimer surface [123].



250

251 **Figure 2. Broadly neutralising antibodies recognise protein-glycan epitopes.** Model of a fully
 252 glycosylated BG505 SOSIP.664 trimer depicting the N-glycans that have been implicated in binding a
 253 variety of bnAbs (inset key). Glycans not present on the BG505 strain have been omitted, e.g. 35O22
 254 also recognises N-glycans at positions 230 and 241. Model based on PDB: 5ACO as in Figure 1,
 255 numbering according to the HXB2 reference sequence. CD4bs = CD4 binding site.

256

257 The extent to which individual bnAbs depend on glycans for binding and neutralisation varies.
 258 At one extreme, 2G12 recognises an epitope comprised exclusively of N-glycans [124-130]. On the
 259 other hand, bnAbs against the CD4bs, for example, have evolved to either avoid or accommodate
 260 glycans that would otherwise occlude the underlying protein epitope [131]. Most bnAb epitopes
 261 occupy a midway point, with binding dependent on both protein and glycan components.

262 The abundance of glycan-targeting bnAbs has earmarked N-glycans as important components
 263 of a future HIV-1 vaccine. Thus, there is a need for a detailed definition of the precise composition of
 264 the glycan shield on both viral Env and candidate immunogens. Additionally, an understanding of the
 265 principles controlling glycosylation will help guide the design of immunogens that are able to
 266 effectively mimic viral Env glycosylation, and, hopefully, elicit a broadly neutralising anti-glycan
 267 response.

268 3.1 Structure and development of broadly neutralising antibodies

269 The development of bnAbs requires repeated rounds of viral escape and antibody maturation and can
270 therefore take several years of infection to arise [70, 132, 133]. Their slow development may reflect
271 the fact that bnAbs often depend on unusual antibody features in order to overcome the
272 aforementioned challenges associated with the development of glycan recognition and neutralisation
273 breadth.

274 The glycan-targeting antibody, 2G12, overcomes low affinity protein-glycan interactions by
275 exhibiting a unique domain-exchanged structure. Here, two heavy chain variable regions are
276 exchanged to create a single Fab₂ [127]. The resulting structure has an additional antigen binding site
277 at the interface of the two arms, which allows for the binding of four Env glycans with high avidity
278 [126, 127].

279 Many bnAbs, particularly those targeting the V1/V2 and outer domain glycans, contain very
280 long HCDR3 sequences [134-139]. While the average length of human HCDR3 is 13 residues long [140],
281 bnAb HCDR3s can contain upwards of 30 residues. This is particularly true of bnAbs targeting the
282 glycans of the outer domain and V1/V2 loops, where long HCDR3 sequences allow the antibody to
283 penetrate the glycan shield and make contact with the underlying protein surface. The HCDR3 of
284 PGT145, for example, contains 33 residues, and penetrates the N160 glycan triad at the apex of the
285 trimer to contact underlying protein residues from all three protomers [134]. For outer domain-
286 targeting bnAbs (e.g. PGT128 and PGT135), long HCDR3s allow penetration of the glycan shield to
287 access the base of the V3 loop [136, 137]. In both examples, at least one glycan makes extensive
288 interactions with the bnAb binding site. A similar phenomenon has been observed for bnAbs targeting
289 the MPER, namely 4E10, where a long (20 residue), hydrophobic HCDR3 allows for contact with the
290 lipid membrane as well as gp41 protein surface [139]. Often long HCDR3s are accompanied by post-
291 translational modifications, such as tyrosine sulphation, which have also been reported to contribute
292 to binding and neutralisation [135].

293 Another feature present in many bnAbs is extensive somatic hypermutation [33]. Classically,
294 antibodies accumulate such mutations in the antigen-contacting complementarity-determining
295 regions (CDRs). However, bnAbs often require somatic mutations to the more conserved framework
296 regions [141]. Although there is evidence that higher levels of somatic hypermutation correlate with
297 increased breadth and potency in some bnAb lineages [142], this may be a consequence of the length
298 of time of development rather than a necessity of bnAb activity. For instance, partially germline
299 reverted forms of VRC01 and 10E8 bnAbs are still broad and potent neutralisers [143].

300 Poly- and auto-reactivity are also frequently associated with bnAbs [144-146]. These
301 observations are somewhat unusual as both characteristics are negatively selected for during B cell
302 development [66]. It has been hypothesised that conserved HIV-1 epitopes may mimic host proteins
303 in order to avoid host antibody responses through the down-regulation of relevant B cells by host
304 tolerance mechanisms [144, 145, 147]. A similar host immune evasion strategy has been reported for
305 the pathogen *Campylobacter jejuni*, whose lipooligosaccharide (LOS) mimics the gangliosides of the
306 host's peripheral nervous system [148]. Despite these barriers, poly- and auto-reactive bnAbs are not
307 uncommon, though their development may be dependent on prolonged exposure to the antigen, as
308 several B cell tolerance checkpoints may need to be overcome. The elicitation of bnAbs through typical
309 vaccination strategies may therefore prove challenging.

310 **4. Glycan mimicry in vaccine design**

311 The observation that glycan-dependent bnAbs can bind to soluble mimetics of the viral spike indicates
312 that the glycans displayed by such immunogens are largely tolerated within the bnAb glycan specificity
313 profile. However, given the nature of bnAbs, which have evolved to tolerate microheterogeneity of
314 glycans, cross-reactivity does not necessarily indicate that the target glycans are precisely conserved
315 between immunogen and virus. For example, some glycan specific bnAbs recognise the largely
316 invariant base of the glycan [134, 149]. Furthermore, failure of an immunogen to bind a bnAb could
317 be symptomatic of either a failure of protein mimicry, glycan mimicry, or both. For these reasons,
318 there is significant interest in defining the glycosylation of both target viruses and candidate
319 immunogens. Finally, detailed information about glycosylation will also help define the immunological
320 ramifications of particular immunogen and viral glycoforms that may go beyond simply the display of
321 particular glycan structures at a particular site. For example, are some glycoforms more inflammatory
322 or immunogenic than others [150]?

323 **4.1 Understanding Env glycosylation processing**

324 The analysis of HIV-1 glycosylation is particularly challenging given the extensive heterogeneity
325 displayed by glycoproteins, combined with the large number of PNGS on Env. Furthermore, isolating
326 virally-derived Env in sufficient quantities for glycan analysis has proved difficult. Early glycan analyses
327 were therefore generally performed on recombinant, monomeric gp120 [151-157], or trimeric Env
328 constructs derived from pseudovirions [151, 158-160], membrane-associated trimers [161, 162], and
329 recombinant, soluble trimers [45, 94, 121, 162-170]. These analyses soon revealed key aspects of Env
330 glycosylation processing. Firstly, the Env glycan shield is heterogeneous. The gp120 subunit alone can
331 contain upwards of 50 different glycan structures [45], attributed to the large number of glycan
332 processing enzymes possessed by mammalian cells. Despite this heterogeneity, there always exists a

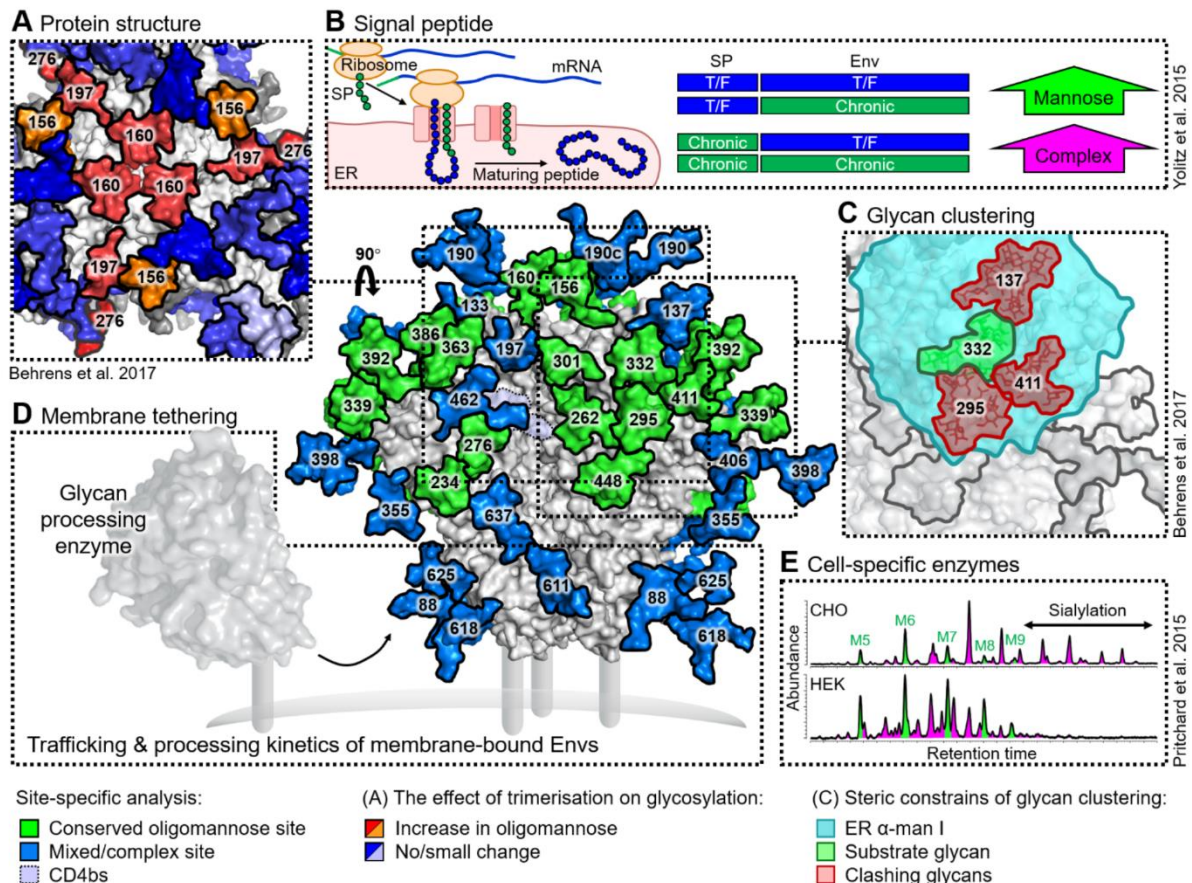
333 substantial population of under-processed, oligomannose-type glycans ($\text{Man}_{5-9}\text{GlcNAc}_2$). This glycan
334 signature arises through steric constraints within the Env glycan shield that impede the actions of
335 some of the host glycosylation enzymes (Section 4.3 and 4.4) [156, 158, 159, 171].

336 Many of the above glycan analyses focused on the analysis of enzymatically released N-
337 glycans. While this provides a useful readout of the overall glycosylation profile, no site-specific
338 information can be gleaned. Such information, which involves the analysis of protease-digested
339 glycopeptides, is valuable in order to elucidate the precise composition of bnAb epitopes. The
340 development of the SOSIP.664 platform, combined with an advancement of mass spectrometric and
341 chromatographic technologies enabled more in-depth, site-specific glycan analyses.

342 We have previously published a quantitative, site-specific glycan analysis of the recombinant
343 BG505 SOSIP.664 trimers [45]. This revealed that the oligomannose signature observed on Env was
344 largely accounted for by several PNGS containing solely under-processed, oligomannose-type glycans,
345 usually dominated by $\text{Man}_9\text{GlcNAc}_2$. The remaining sites contained either processed, complex-type
346 glycans, or a mixed population. Mapping this information onto the structure of BG505 SOSIP.664
347 illustrated how large regions of oligomannose-type glycans span across the outer domain of gp120,
348 while complex-type glycans occupied sites at the periphery of the trimer, particularly on the gp41
349 subunits (Fig. 3).

350

351



352

353 **Figure 3. Principles controlling Env glycosylation.** Site-specific glycan analysis of recombinant BG505
 354 SOSIP.664 [45, 163, 168, 172] and virally-derived BG505 Env [173, 174] has revealed clusters of glycans
 355 displaying under-processed, oligomannose-type glycans (green). These are largely located on the
 356 outer domain of gp120 (forming the intrinsic mannose patch) and at the trimer apex and protomer
 357 interfaces (forming the trimer associated mannose patch). Model according to Figure 1. (A) The
 358 quaternary protein structure of native-like trimers imposes steric constraints on the host's
 359 glycosylation enzymes, resulting in an increase in the amount of oligomannose-type glycans at sites
 360 near the protomer interfaces (red/orange), compared to that of monomeric or non-native trimeric
 361 Env [168]. (B) Irrespective of the mature Env peptide sequence, the presence of a signal peptide (SP)
 362 from a transmitted/founder (TF) viral isolate results in an increase in oligomannose-type glycosylation,
 363 while a chronic-stage signal peptide results in increased complex-type glycosylation. The signal
 364 peptide influences Env trafficking, folding and retention through the ER [175]. (C) Modelling the ER α -
 365 mannosidase I (cyan, PDB: 5KIJ) on to its substrate glycan (green) reveals extensive clashes with
 366 neighbouring glycans (red) sufficient to explain the formation of the intrinsic mannose patch [171].
 367 (D) It is hypothesised that membrane-bound Env constructs display elevated glycosylation processing
 368 as they exhibit a different topology relative to the membrane-bound enzymes compared to soluble
 369 constructs, which are released into the lumen of the ER [173, 174]. Schematic of a membrane-bound
 370 glycan processing enzyme, based on the structure of a sialyltransferase (PDB: 6APL). (E) While the
 371 processing of many Env glycans is limited by protein-directed steric constraints, the fate of others is
 372 dependent on the glycosylation enzymes possessed by the host cell. The gp41 from BG505 SOSIP.664
 373 expressed in Chinese hamster ovary (CHO) cells displays increased sialylation compared to the same
 374 protein expressed in human embryonic kidney (HEK) cells [94].

375

376 The Paulson laboratory employed a complementary site-specific workflow to analyse BG505
377 SOSIP.664 trimers. By sequential digestion of glycopeptides with Endoglycosidase H (to cleave
378 oligomannose-/hybrid-type glycans, leaving a GlcNAc residue) and Peptide-N-Glycosidase F in the
379 presence of ^{18}O -water (to cleave the remaining complex-type glycans and convert the Asn to an ^{18}O -
380 labelled Asp), they were able to generate novel mass signatures for each category of glycan: +203 Da
381 for oligomannose-/hybrid-type, +3 Da for complex-type glycans, and +0 for unoccupied peptides. Their
382 data were in very close agreement to our earlier analysis. This classification method is very powerful
383 for low abundance samples (as heterogeneous glycopeptides become grouped as a single peptide),
384 although information on the exact composition of glycan sites is not captured.

385 In some instances, the detail obtained from intact glycopeptide analysis may explain < 100%
386 neutralisation plateaus observed by some bnAbs [176, 177]. For instance, PGT135 is only able to
387 neutralise around 85% of BaL pseudoviruses [176]. This bnAb recognises predominantly glycans at
388 N332, N386, and N392 (Fig. 2) [137]. Site-specific analysis of the N392 PNGS on recombinant gp120_{BaL}
389 (subscript denotes strain) revealed the majority of glycans at this site to be Man₈GlcNAc₂ structures,
390 with a secondary population of Man₉GlcNAc₂ [176]. In line with this, a crystal structure of the PGT135
391 Fab bound to a gp120_{JR-FL} core shows the N392 site to be occupied by a Man₈GlcNAc₂ structure [137].
392 Modelling an additional mannose residue to this glycan (to give Man₉GlcNAc₂) revealed steric clashes
393 with the bnAb [137]. Furthermore, it has been shown that PGT135 is unable to neutralise pseudovirus
394 displaying predominantly Man₉GlcNAc₂ structures [137]. Thus, Man₉GlcNAc₂ at the N392 site seems
395 unoptimal for PGT135 binding, and the site-specific presence of this structure may account for the
396 observed neutralisation plateaus.

397 4.2 Immunogen mimicry of viral glycosylation

398 Recent progress in the production and purification of HIV-1 virions has enabled the glycosylation
399 analysis of virally-derived Env. The Dell laboratory presented a qualitative analysis of gp120_{BaL}
400 glycosylation derived from virions produced in a human lymphoid cell line [178]. In line with analysis
401 of SOSIP.664 trimers, 15 of the 24 PNGS contained solely oligomannose-type structures, although the
402 relative abundances of each glycoform were not determined. The remaining nine contained either
403 complex-type structures or mixed populations [178].

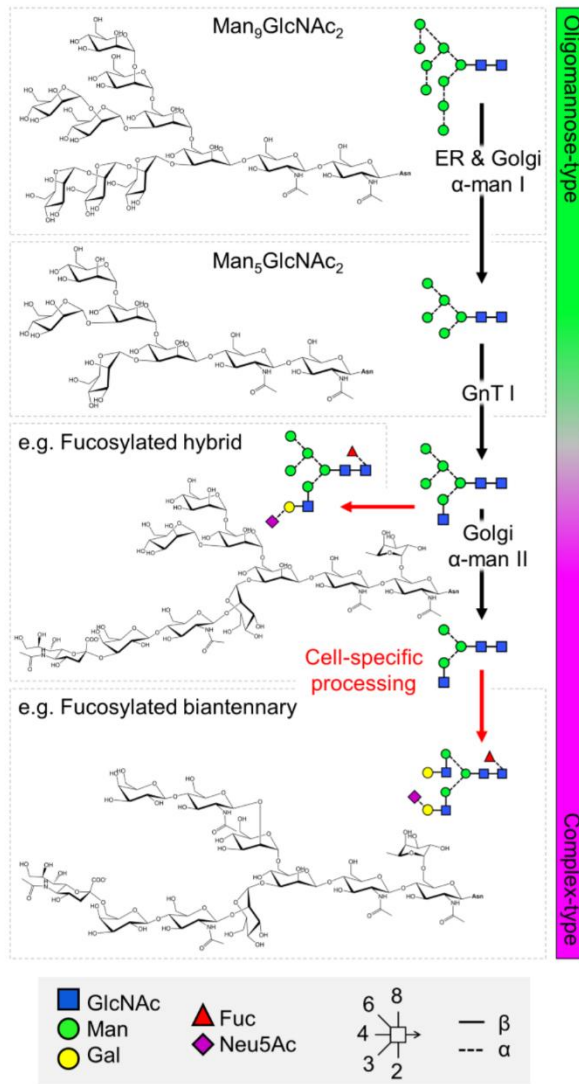
404 We have since performed site-specific glycan analysis on gp120_{BG505} isolated from virions
405 expressed in a similar lymphoid cell line [173]. This allowed for a comparison with BG505 SOSIP.664
406 trimers expressed in both human embryonic kidney (HEK) cells (as per previous analyses), and under
407 equivalent conditions to current Good Manufacturing Practices (cGMP) in a Chinese hamster ovary
408 (CHO) cell line. The analysis revealed that sites occupied by exclusively oligomannose-type glycans on

409 virally-derived Env were largely conserved on recombinant SOSIP.664s. This is reassuring considering
410 a large proportion of glycan-targeting bnAbs recognise the oligomannose-type glycans at these sites
411 (Fig. 2). However, there were some key differences between virally-derived and recombinant Envs.
412 Namely, virally-derived Envs displayed increased levels of glycosylation processing, both in terms of
413 the relative amount of complex-type glycosylation, and also the structures present (i.e. complex-type
414 glycans on virally-derived Envs were more branched). This discrepancy was generally attributed to
415 several complex-type PNGS on virally-derived Env that contained mixed populations of glycans on the
416 SOSIP.664 trimers. We propose this is due to the membrane-bound nature of virally-derived Envs and
417 will discuss this further in Section 4.6.

418 The Paulson laboratory have also compared the glycosylation of virally-derived Env from three
419 strains (JR-FL, BG505, and B41), produced in peripheral blood mononuclear cells (PBMCs), with their
420 corresponding SOSIP.664 trimers, produced in HEK cells, using their aforementioned site-specific
421 classification method [174]. Their results were in general agreement with our observations that mixed
422 sites on SOSIP.664 trimers tended to be fully processed on the virally-derived Env. Thus, while there
423 is some differential processing between viral and recombinant Env, many key bnAb epitopes are
424 conserved. In terms of immunogen design, it is currently unknown whether absolute mimicry of viral
425 glycosylation is required. This is likely to depend upon the specific epitope targeted, for example if the
426 epitope mainly comprises the conserved base of the glycan.

427 4.3 Glycan clustering and the intrinsic mannose patch

428 This abundance of oligomannose-type glycans on Env is somewhat unusual given the virus derives its
429 glycan shield from the host cell glycosylation machinery. This typically follows a highly ordered
430 pathway whereby oligomannose-type precursors are trimmed and rebuilt as complex- and/or hybrid-
431 type glycans (Fig. 4). Two hypotheses existed as to why Env glycosylation diverges from that typically
432 observed on mammalian glycoproteins. Either a proportion of Env glycoproteins are exiting the
433 glycosylation pathway before encountering the later enzymes, or steric constraints exist within Env
434 that are preventing complete processing by the earlier enzymes, or a combination of the two. Several
435 pieces of evidence support the model of steric hindrance.



436

437 **Figure 4. Overview of the mammalian N-glycosylation pathway.** The envelope spike is extensively
 438 glycosylated by the host cell, which typically follows a highly ordered pathway. As the protein is
 439 translated, a $\text{Glc}_3\text{Man}_9\text{GlcNAc}_2$ (Glc = glucose, Man = mannose, GlcNAc = N-acetylglucosamine)
 440 precursor is transferred en bloc to Asn residues within the N-glycan consensus sequence Asn-X-Thr/Ser
 441 (where X is any amino acid except Pro). As the protein is folded the three terminal glucose residues are
 442 removed to give rise to a glycoprotein displaying homogenous $\text{Man}_9\text{GlcNAc}_2$ structures. This is then
 443 further trimmed by endoplasmic reticulum (ER)- and Golgi apparatus-resident α -mannosidases to give
 444 rise to $\text{Man}_5\text{GlcNAc}_2$. Steric constraints within Env limit the actions of these early enzymes resulting in
 445 a population of under-processed oligomannose-type glycans. The addition of a β 1-2-linked GlcNAc
 446 residue to $\text{Man}_5\text{GlcNAc}_2$ structures initiates cell-specific diversification to a variety of hybrid- and
 447 complex-type structures, through additional processing and/or trimming. α -man I and II = α -
 448 mannosidase I and II, GnT I = N-acetylglucosaminyltransferase I, Gal = galactose, Fuc = fucose, Neu5Ac
 449 = N-acetylneuraminic acid (sialic acid). Glycan structures are depicted in symbols according to the
 450 Consortium for Functional Glycomics nomenclature, with linkage information according to Oxford
 451 nomenclature, as shown in the key.

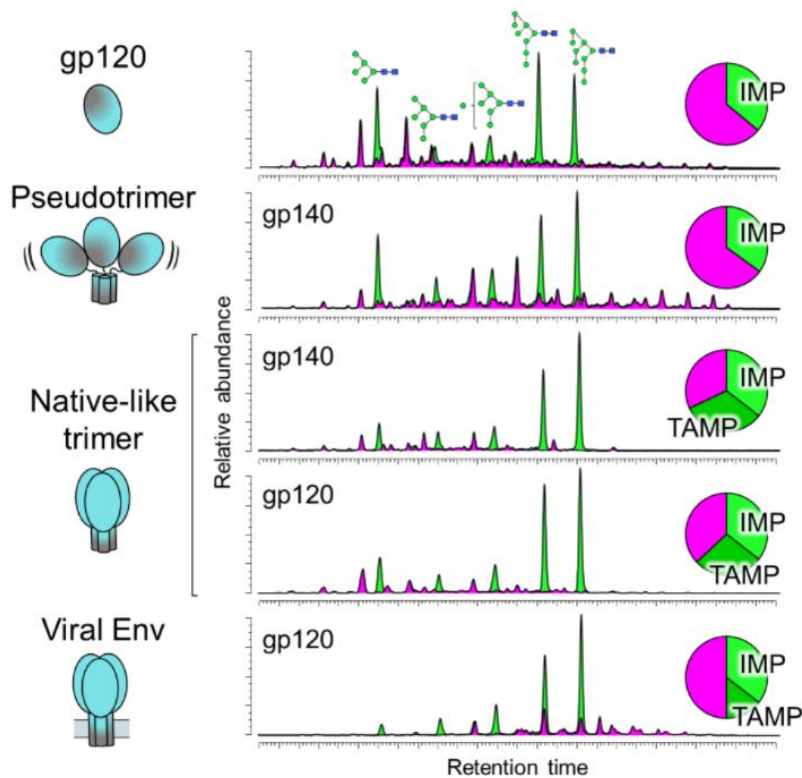
452

453 Firstly, a proportion of oligomannose-type glycans are resistant to endoplasmic reticulum (ER)
454 α -mannosidase I (the enzyme responsible for trimming Man₉GlcNAc₂ to Man₈GlcNAc₂, Fig. 4) digestion
455 *in vitro* [159]. Expressing gp120 with kifunensine (an α -mannosidase I inhibitor) generates a
456 glycoprotein bearing almost exclusively Man₉GlcNAc₂ structures, replicating the immature
457 glycoprotein found in the early ER. Kinetic analysis of the hydrolysis of Man₉GlcNAc₂ to Man₈GlcNAc₂
458 revealed approximately half of the Man₉GlcNAc₂ to be rapidly trimmed to Man₈GlcNAc₂, with the
459 remaining Man₉GlcNAc₂ processed at a much slower rate. However, there remained a proportion of
460 Man₉GlcNAc₂ (~ 30%) that could not be hydrolysed, even after exhaustive digestion. The resistance of
461 these glycans to digestion *in vitro* supports the model of steric hindrance, likely caused by the high
462 density of glycans on gp120 preventing α -mannosidases accessing their substrate glycans.

463 Each gp120 subunit contains between 18 and 33 (median 25) PNGS [46]. To elucidate whether
464 glycan density is the limiting factor preventing complete processing of the glycan shield, the number
465 of PNGS on the outer domain of gp120 were correlated with the abundance of oligomannose-type
466 glycans on sequences isolated from an individual over the course of infection, and on a cross-clade
467 panel of 29 strains [157]. In both instances, a strong correlation was observed. This correlation was
468 not seen when comparing the abundance of oligomannose-type glycans with the total number of
469 PNGS on gp120 for the cross-clade panel (though it was for the infected patient sequences) suggesting
470 that the incomplete processing observed on gp120 is driven by local glycan density, rather than overall
471 glycan number. Similarly, Stewart-Jones et al. observed the number of glycan processing steps to be
472 significantly lower for 'crowded' glycans (those with more than 15 PNGS within a 50Å radius) than for
473 'dispersed' glycans (those with fewer than 15 PNGS within a 50Å radius) [149].

474 The extent to which individual glycans contribute to the steric hindrance of glycosylation
475 enzymes was investigated by the systematic site-directed mutagenesis of all the PNGS on gp120_{BaL}, by
476 mutating each Asn within the glycosylation sequon to Ala [156]. Although the removal of individual
477 sites did not have a severe effect on the abundance of oligomannose-type glycans, several site
478 deletions resulted in a larger than expected loss of Man₉GlcNAc₂, often accompanied by a
479 compensatory increase in the lower oligomannose species, Man₅₋₈GlcNAc₂. The glycan sites
480 accounting for the largest decrease in Man₉GlcNAc₂ generally mapped to the outer domain of gp120,
481 further supporting a model whereby localised glycan clustering is sterically hindering early
482 glycosylation processing. Thus, the loss of a PNGS within such clusters would increase the accessibility
483 of neighbouring glycans so that multiple nearby glycans exhibit increased processing. In further
484 support of this hypothesis, it has recently been confirmed by site-specific glycan analysis that the
485 disruption in glycan processing upon the loss of a PNGS is largely limited to those sites adjacent to the
486 missing glycans [179].

487 Thus, while the glycan structures present on most glycoproteins are determined by the host
488 cell, HIV-1 is able to partly modulate its glycosylation processing through the number and position of
489 PNGS, as determined by the viral sequence. The number of PNGS on Env are conserved both across
490 clades and longitudinally throughout infection [157, 158]. The resulting high glycan density on Env
491 (and gp120 in particular) limits the actions of α -mannosidases and results in a large population of
492 oligomannose-type glycans. This principle is illustrated in a model of the ER α -mannosidase I enzyme
493 binding a substrate Man₉GlcNAc₂ glycan on the outer domain of gp120, which reveals extensive
494 clashes with the surrounding glycans [171]. A similar phenomenon has been observed on the
495 glycoprotein complex of Lassa virus, which also exhibits high localised glycan density resulting in under
496 processed oligomannose-type clusters [180]. The oligomannose-type glycans of gp120 are therefore
497 an inherent feature of the glycoprotein, known as the 'intrinsic mannose patch' (IMP), and to some
498 extent all Env constructs will display this glycan signature (Fig. 5). Encouragingly, many of the
499 aforementioned glycan-targeting bnAbs recognise the oligomannose-type glycans of the IMP. The
500 overall resilience of the oligomannose population to sequence variation, and its presence on both
501 immunogens and viral Env, supports this feature as a conserved target for vaccine design.



502

503 **Figure 5. Glycan clustering and protein structure limit glycosylation processing on HIV-1.**
 504 Quantitative glycan analysis of monomeric gp120, pseudotrimers, native-like trimers and virally-
 505 derived Env. For comparison, data for both gp120 and gp140 (gp120 + truncated gp41) from native-
 506 like trimers have been included, only data for virally-derived gp120 was available [168, 173]. Each
 507 construct is based on the BG505 sequence containing the T332N mutation. Glycans were
 508 enzymatically released, fluorescently labelled, and analysed by hydrophilic interaction liquid
 509 chromatography-ultra performance liquid chromatography. Oligomannose-type glycans were
 510 quantified by their susceptibility to digestion with Endoglycosidase H. The chromatograms reveal a
 511 population of oligomannose-type glycans (green) intrinsic to all Env constructs, termed the intrinsic
 512 mannose patch (IMP). Only native-like trimers and virally-derived Envs display the additional trimer-
 513 associated mannose patch (TAMP) signature, attributed to additional steric protection from
 514 processing.

515

516 **4.4 Protein structure and the trimer associated mannose patch**

517 As the focus of immunogen design shifted from monomeric gp120 toward trimeric Env, so did the
 518 requirement to categorise glycans from native-like immunogens. It had been observed that trimeric
 519 Envs often displayed even higher levels of under-processed oligomannose-type glycosylation [94, 158,
 520 159, 168]. The discrepancy in the abundance of oligomannose-type structures between monomeric
 521 and trimeric Env suggests that these structures are derived from an additional mechanism to the one
 522 driving the formation of the IMP. It was hypothesised that the 'trimer associated mannose patch'
 523 (TAMP) arose due to glycan-glycan and glycan-protein interactions at the protomer interface further
 524 sterically restricting processing enzymes [41, 158, 159].

525 Quantitative glycan analysis soon revealed that the TAMP glycan signature was only present
526 on native-like trimers, with non-native pseudotrimers often displaying glycosylation patterns similar
527 to that of monomeric gp120 (Fig. 5) [92, 94, 168]. Negative-stain EM revealed that non-native
528 pseudotrimers often form open, irregular structures, in stark contrast to the well-ordered, propeller
529 shaped format of native-like trimers [92, 94, 171]. This structural difference is sufficient to explain the
530 discrepancy in glycosylation, as open, irregular structures lack the additional steric protection of
531 native-like trimers and allow for more complete glycan processing. Thus, although most Env proteins
532 have a population of oligomannose-type glycans atypical of mammalian glycosylation, only native-like
533 trimers display the additional TAMP signature. As such, glycosylation profiling is becoming a widely-
534 adopted tool that can be used to readily distinguish between native-like and misfolded trimers and
535 guide the selection of immunogens [181].

536 Site-specific glycan analysis was applied to sequence-matched gp120_{BG505}, uncleaved
537 pseudotrimers, and native-like, BG505 SOSIP.664 trimers. The results revealed for the first time the
538 individual PNGS that contribute to the TAMP. As hypothesised, sites located at the protomer
539 interfaces on the native-like BG505 SOSIP.664 trimers displayed restricted glycan processing
540 compared to both the pseudotrimers and monomeric gp120 (Fig. 3A). This was most significant at sites
541 N156, N160, N197 and N276 which had > 40 percentage point increase in oligomannose-type glycans
542 on BG505 SOSIP.664 compared to gp120 [168].

543 Crucially, in support of the native-like configuration of SOSIP.664 trimers, the TAMP signature
544 is present on both virally-derived Envs and native-like trimers (Fig. 5), though it is somewhat smaller
545 on viral Env [173]. This is likely due to ‘TAMP sites’ N197 and N276 displaying predominantly complex-
546 type glycosylation on viral Env [173, 174]. The N156 and N160 ‘TAMP sites’ are, however, conserved
547 oligomannose-type sites in most instances [173, 174]. The N160 site has been reported as a complex-
548 type site in JR-FL virions, highlighting potential strain-specific differences [174].

549 4.5 Cell-specific glycosylation

550 While the abundant population of oligomannose-type glycans observed on Env are attributed to steric
551 constraints imposed by the protein itself, the more accessible regions of the glycoprotein are subject
552 to processing by the host cell’s glycosylation pathway. Such processing is cell type-specific, dependent
553 on the repertoire of glycosidases and glycosyltransferases expressed by the host cell [182].

554 A comparative analysis of BG505 SOSIP.664 derived from CHO cells and HEK cells illustrates
555 the nature of protein- and cell-directed Envelope glycosylation [94]. Both CHO and HEK-derived
556 material display similar levels of protein-directed glycosylation: oligomannose-type glycans account
557 for 55 and 56% of the total glycan pool, respectively, with individual oligomannose species exhibiting

558 similar distributions [94]. In contrast, the remaining complex-type glycans present considerable cell-
559 specific differences. This is particularly evident on the gp41 subunit, with CHO-derived material
560 containing substantially more sialylated structures than HEK-derived BG505 SOSIP.664 (Fig. 3E) [94].
561 The abundance of sialic acid is known to impact the antigenicity and immunogenicity of Env. Kong et
562 al. observed that gp120 expressed in an insect cell line modified to impart asialylated mammalian-
563 type glycans was significantly more immunogenic than sialylated gp120 expressed in HEK cells [150].

564 A comparison of virally-derived gp120 produced in PBMCs and gp120 from pseudovirus
565 produced in HEK cells revealed similar cell-specific differences [183]. Namely, sialylated glycans from
566 material produced in PBMCs displayed primarily α 2-6-linkages, whereas HEK-derived material
567 displayed only α 2-3-linked structures [183]. It can be noted that α 2-6-linked sialylated glycans have
568 previously been associated with anti-inflammatory effects, thus there may be potential immunological
569 consequences of these structures [184].

570 Like oligomannose-type glycans, complex-type glycans have emerged as important in regard
571 to the formation of bnAb epitopes. Some bnAbs, such as PGT121 display promiscuous recognition of
572 both oligomannose- and complex-type glycans in glycan arrays [185]. Antibodies such as PGT151 are
573 dependent on tri- and tetra-antennary structures at the gp120-gp41 interface [186], while α 2-6-linked
574 sialylated hybrid- and complex-type glycans have been implicated in the development and binding of
575 apex-targeting bnAbs such as PG16 and the CAP256-VRC26 lineage [187-189]. Interactions between
576 the sialic acid residues of Env and Siglecs (sialic acid-binding immunoglobulin-like lectins) have also
577 been observed to play an important role in the infection of macrophages, which express low levels of
578 cell-surface CD4 [190]. Thus, cell-directed glycosylation can play a role in both viral infectivity and
579 bnAb binding, and understanding the factors influencing complex-type glycosylation may have
580 implications in guiding immunogen design, for instance when choosing expression cell lines.

581 4.6 Membrane tethering

582 The majority of glycan analyses have been performed on soluble Env constructs due to the difficulties
583 associated with expressing and purifying full-length, membrane-bound Env. Until recently, the impact
584 of membrane tethering on Env glycosylation had remained largely unaddressed. Analyses of virally-
585 derived Envs, while performed on membrane-bound material, generally did not control for expression
586 cell-line, known to influence glycosylation processing [173, 174]. However, recent studies by
587 Rantalainen et al. and Cao et al. directly compare the glycosylation of membrane-bound Envs with
588 their corresponding soluble SOSIP.664 trimers expressed in the same cell lines. The differences
589 observed between membrane-bound Env and SOSIP.664 are reminiscent of the differences observed
590 between viral Env and SOSIP.664, in that sites containing mixed populations of glycans on the soluble

591 SOSIP.664 trimer typically displayed only fully processed, complex-type glycan structures on the full-
592 length construct [191]. It was hypothesised that the more complete processing observed on full-length
593 constructs is due to membrane-bound Env being kept in closer proximity to the membrane-bound
594 glycosylation enzymes throughout the ER and Golgi (Fig. 3D), as opposed to soluble Env which is
595 released into the lumen [173, 174]. In line with this hypothesis, membrane-bound CD59 also displays
596 increased processing compared to its soluble counterpart [192].

597 Alternatively, it has been hypothesised that the closer proximity of the membrane to full-
598 length constructs, particularly to gp41, would pose additional steric constraints on Env glycosylation
599 processing [171]. In support of this hypothesis, Panico et al. observed exclusively oligomannose-type
600 glycans at the membrane-proximal N88 site on virally-derived Env [178]. A caveat of all the above
601 studies is that full-length constructs do not contain the SOSIP.664 stabilising mutations, which may
602 influence glycosylation via a separate mechanism, for example, the speed at which Env transits
603 through the ER.

604 4.7 Signal peptide

605 Like many membrane proteins, immature Env contains a signal peptide at the N terminus responsible
606 for directing the nascent peptide to the ER, which is subsequently cleaved off prior to transport of the
607 maturing peptide through the ER and Golgi (Fig. 3B). The signal peptide strongly influences the
608 processing of Env as it transits through the ER, impacting factors such as trafficking to the ER, the rate
609 of signal peptide cleavage, and the retention time of Env within the ER [193-198]. Natural variation
610 exists within the signal peptides of Env. For example, TF viral isolates often over represent His at
611 position 12 of the signal peptide, a signature not usually observed in chronic phase viral isolates [198].
612 Given the influence of the signal peptide on the molecular biology of Env, the laboratory of Fauci
613 sought to determine the impact of the natural variation observed between the signal peptides isolated
614 from TF viruses and from a chronically infected patients on the glycosylation, structure and
615 antigenicity of Env. By creating four constructs containing either the gp120 sequence isolated from a
616 TF or chronic virus, in combination with either their natural signal peptide, or that of the other virus
617 (Fig. 3B), Yolitz et al. assessed glycosylation and antigenicity by binding to various lectins and bnAbs
618 [175]. They found that despite gp120 sequences encoding for the same mature protein, the presence
619 of a signal peptide from the TF viral isolate resulted in an increase in oligomannose-type glycosylation
620 (as judged by binding to the *Narcissus pseudonarcissus* lectin and bnAb 2G12, specific to α -linked
621 mannose residues), while the presence of a signal peptide from chronic-stage virus increased the
622 amount of complex-type glycosylation (as judged by binding to *Ricinus communis* lectin which
623 preferentially binds structures terminating in galactose).

624 The results of Yolitz et al. are in line with the glycan analyses of Go et al., who observed higher
625 levels of oligomannose-type glycosylation on TF viruses than those isolated from chronically infected
626 patients [165]. However, a similar comparison of SOSIP.664 trimers isolated from early and late time
627 points by the Paulson laboratory showed very similar glycan processing [191]. Nevertheless, the
628 findings of Yolitz et al., implicate the signal peptide, a domain that does not appear in the mature
629 protein, as a regulator of glycosylation processing.

630 4.8 Occupancy

631 Potential N-glycosylation site occupancy has recently emerged as an important aspect of Env
632 glycosylation. The Paulson laboratory employed site-specific glycan classification analysis to BG505
633 SOSIP.664 trimers to assess PNGS occupancy [163]. They found that overall occupancy was very high:
634 all but four of the 28 PNGS (V1/V2 and gp41 sites N190, N197, N618, and N625) were > 90% occupied
635 and none were < 50% occupied [163]. Extension of their method to native-like trimers derived from
636 different strains (JR-FL, B41, CRF02_AG_250, 327c, PC64) confirmed that the V1/V2 and gp41 sites
637 were most susceptible to under-occupancy [174, 191]. The reports concerning occupancy of gp41
638 sites, particularly N625, seem to vary, perhaps due to methodological or sample variation. For
639 example, Guttman et al. have reported occupancy < 20% at this site in BG505 and KNH1144 SOSIP.664
640 trimers [199] which is also compatible with the known epitope of the 35O22 bnAb [82].

641 Interestingly, virally-derived and membrane-bound Env trimers are generally more occupied
642 than their SOSIP.664 counterparts [173, 174, 191]. Although the mechanism through which PNGS
643 under-occupancy arises is not yet understood, we hypothesise that the codon-optimisation process,
644 used to increase the yield of recombinant SOSIP.664 trimers, may increase the rate of protein
645 translation and folding and reduce the chance of an N-glycan being attached [173]. This may be
646 particularly true of glycans in the V1/V2 region where several PNGS are in close proximity.

647 4.9 Considerations for immunogen manufacture

648 All of the above factors influence the glycosylation processing of Env to varying degrees. Although the
649 extent to which Env immunogens must mimic the native viral envelope spike is not yet known, there
650 are nevertheless important considerations for immunogen design and manufacture. In particular,
651 expression cell-type and PNGS under-occupancy may yet emerge as crucial aspects in the cGMP
652 production of Env immunogens, as under-occupancy may introduce unfavourable, immunodominant
653 autologous nAb epitopes. This is particularly relevant in light of Wagh et al., observing increased
654 neutralisation breadth in individuals infected with TF viruses with more intact glycan shields [76].

655 **5. Understanding the nature of glycan-dependent bnAb epitopes**

656 The structural characterisation of multiple bnAbs in complex with Env by both X-ray crystallography
 657 and EM has enabled a detailed description of the epitopes of many bnAb (Table 1) [200, 201].
 658 Furthermore, it has revealed many of the unusual antibody features required for broad neutralisation.
 659 Nevertheless, there remained many unknowns about the precise nature of glycan epitopes. This is, in
 660 part, due to difficulties in resolving extremely heterogeneous glycans with structural techniques that
 661 rely on the averaging of many molecules to reveal the consensus structure [122]. Glycan arrays are
 662 also a useful tool in assessing the glycan-binding properties of bnAbs, though these too have
 663 limitations (e.g. if the epitope comprises protein components). Site-specific glycan analysis has gone
 664 some way to bridging these knowledge gaps. While there is generally good agreement of the precise
 665 structures occupying glycan epitopes between glycan analyses and structural studies/arrays, a few
 666 discrepancies remain. For example, there are several reports on the preference of apex-targeting
 667 bnAbs PG9 and PG16 for complex- or hybrid-type glycans (specifically α 2-6-linked sialylated
 668 structures) at the N156 site [187, 188, 202]. However, these structures are seldom seen at this site in
 669 glycan analyses of recombinant, soluble trimers or virally-derived Env [45, 163, 173, 174].

670 **Table 1. Examples of glycan-targeting broadly neutralising antibodies and their glycan epitopes.**

Epitope	bnAb (and key to Fig. 2)	PNGS	Glycan type	Refs
Outer domain glycans	PGT130	N301, N332/N334	Oligomannose	[203, 204]
	2G12	N295, N332, N339, N392	Oligomannose, specifically α 1-2-linked motifs on Man ₈₋₉ GlcNAc ₂ structures	[124-130]
	VRC-PG05	N262, N295, N448	Oligomannose	[123]
	PGT135	N295, N332, N386, N392	Oligomannose	[137, 176, 204]
	PGT128	N156, N295, N301, N332/N334	Oligomannose	[44, 136, 203, 204]
	PGT122	N137, N156, N301, N332	Oligomannose	[149, 200, 204]

	PGT121	N137, N156, N301, N332/N334	Oligomannose or complex	[142, 185, 203, 204]
	BG18	N156, N332, N386, N392	Oligomannose, possibly complex at N156	[205]
	10-1074	N156, N301, N332	Oligomannose, possibly complex at N156	[185, 206]
V1/V2 glycans	PGT145	N160	Oligomannose	[134, 204]
	CH04	N160	Kifunensine abrogates neutralisation (can't tolerate Man ₉ GlcNAc ₂)	[207]
	PGDM1400	N160	Kifunensine reduces/abrogates neutralisation (can't tolerate Man ₉ GlcNAc ₂)	[208]
	VRC38.01	N133, N156, N160	<i>N</i> -acetylglucosamine core of N133	[209]
	PG9 and PG16	N173 (N156), N160	Oligomannose at N160, hybrid or bi-antennary structures at N156/N173, specifically containing α2-6-linked terminal sialic acids	[187, 188, 202, 210- 212]
	CAP256-VRC26 (lineage)	N156, N160	Oligomannose at N160, hybrid at N156, or bi-, tri-, tetra-antennary structures containing α2-6-linked terminal sialic acids	[133, 189]
	PCT64 (lineage)	N156, N160	Oligomannose, preferentially Man ₅ GlcNAc ₂	[213]
CD4bs proximal	3BNC117	N197, N276	<i>N</i> -acetylglucosamine cores	[134, 149]
	PGV04 (VRC-PG04)	N276, N301, N363, N386	Enzymatic de-glycosylation doesn't affect binding (no strong glycan-dependence)	[201, 214]
	HJ16	N276	<i>N</i> -acetylglucosamine core	[215- 217]
	IOMA	N197, N276, N363	Complex, minor contact with oligomannose glycan at N363	[206]
	179NC75	N276	Oligomannose or hybrid [†]	[218]
	VRC01	N276	<i>N</i> -acetylglucosamine core	[131, 149]
gp120/gp41 interface	8ANC195	N234, N276, N637	Kifunensine did not affect neutralisation (can tolerate Man ₉ GlcNAc ₂)	[219, 220]

PGT151	N611, N637	Complex, specifically tri- and tetra-antennary structures	[186, 221, 222]
VRC34.01	N88	Kifunensine, swainsonine or GnTI ^{-/-} cells [†] minimally affect neutralisation (not dependent on complex structures)	[223]
ACS202	N88	Kifunensine, or GnTI ^{-/-} cells minimally affect neutralisation (not dependent on complex structures)	[224]
35O22	N88, N230, N241	Oligomannose	[149, 225]

671 **Footnotes:**

672 † In Freund et al., 2015, the loss of binding of 179NC75 following expression of BG505 SOSIP.664
673 trimers with kifunensine was used to argue a dependency on complex-type glycans. However, they
674 also report a loss of binding to gp120 after digestion with Endoglycosidase H (which cleaves
675 oligomannose- and hybrid-type glycans). This suggests that 179NC75 recognises Man₈₋₅GlcNAc₂ or
676 hybrid-type structures. In line with this, site-specific glycan analysis of BG505 SOSIP.664 trimers
677 reports predominantly Man₈₋₅GlcNAc₂ glycans at the N276 site, with a small population of hybrid-type
678 structures [45].

679 ‡ Treatment with swainsonine (α -mannosidase II inhibitor) results in oligomannose- and hybrid-type
680 glycosylation. Expression in GnTI^{-/-} cells (deficient in N-acetylglucosaminyltransferase I) results in
681 Man₅₋₉GlcNAc₂ structures only.

682

683 **6. Beyond antigenic mimicry**

684 Native-like trimers are excellent structural mimics of viral Env, display similar glycosylation profiles,
685 and are capable of binding bnAbs. Yet immunogenicity studies with native-like trimers have only
686 routinely elicited autologous Tier-2 nAbs and heterologous Tier-1 nAbs [107, 116-121]. Weakly
687 neutralising heterologous Tier-2 antibodies have also been reported in rabbits [104]. With the
688 exception of cows (whose antibodies naturally contain very long HCDR3), the potent bnAbs required
689 for a protective vaccine have not yet been generated via immunisation with native-like trimers [58].
690 The elicitation of bnAbs against the envelope spike will inevitably be challenging. Broadly neutralising
691 epitopes are broad due to their conserved nature, though conservation generally correlates with poor
692 immunogenicity as otherwise the epitope would have been selected against by immune pressure. In
693 this section, we will address some of the strategies aimed at increasing the immunogenicity of this
694 target.

695 **6.1 Mimicry of glycan epitopes: chemical approaches**

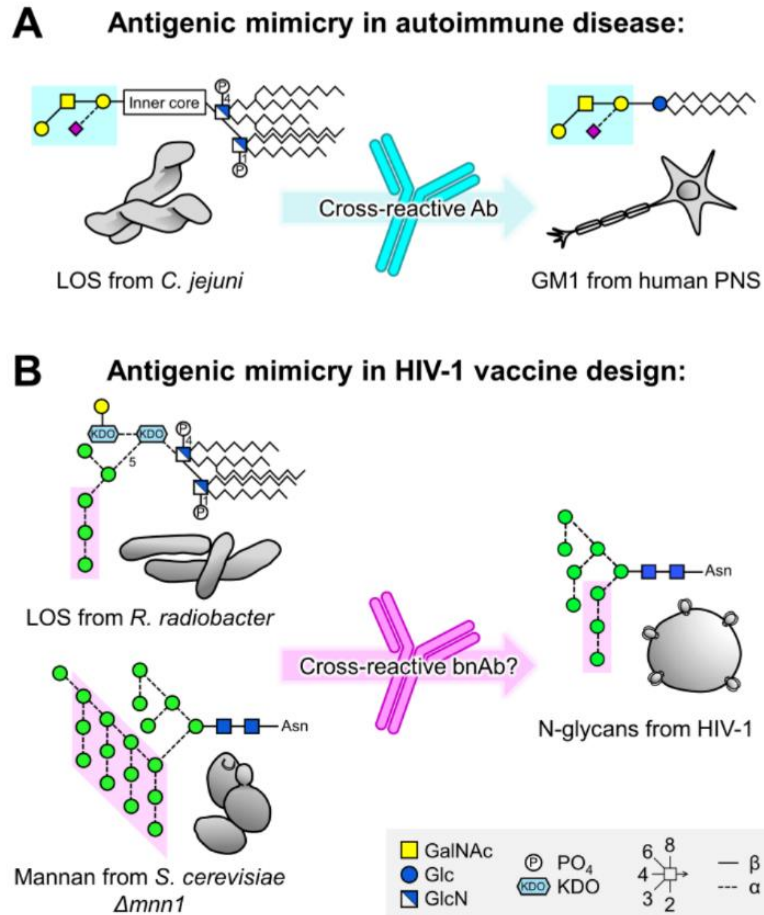
696 The first of many glycan-binding bnAbs to be discovered was 2G12. To date, this the only bnAb
697 identified to exclusively bind glycans. The 2G12 antibody recognises clusters of α 1-2-linked mannose
698 motifs present on the Man₈₋₉GlcNAc₂ structures of the IMP (Fig. 2) [124-130]. Glycan based vaccine

699 strategies have thus far centred on the design of immunogens that mimic the 2G12 epitope. Several
700 groups have created immunogens displaying multivalent, chemically synthesised oligomannose-type
701 glycans attached to various scaffolds, including: carbohydrate, cholic acid, cyclic peptide, dendrimer,
702 DNA, and gold (reviewed in Wang, 2013 [226]). Although many of these constructs are able to bind
703 2G12, and could elicit antibodies with specificity to oligomannose-type glycans, none have induced
704 antibodies capable of neutralising HIV-1. This may be due to the inability of these antibodies to
705 recognise clusters of oligomannose-type glycans as they are presented on Env, perhaps due to their
706 non-domain-exchanged structures. Domain-exchange (at least for 2G12) is a requirement for HIV-1
707 neutralisation [227].

708 The mimicry of other glycan-targeting bnAb epitopes has been explored with the design of
709 peptide-based immunogens. Glycopeptides based on the V1/V2 region, containing the N156 and N160
710 PNGS, are capable of binding both apex targeting bnAbs and their unmutated common ancestors [202,
711 228, 229]. Similarly, glycopeptides based on the V3 region, containing the N332 PNGS, bound outer
712 domain targeting bnAbs such as PGT128 [230]. In immunogenicity studies, V3 glycopeptides elicited
713 antibodies capable of binding gp120 but unable to neutralise virus [230, 231]. The failure of antigenic
714 mimics of bnAb epitopes to induce bnAbs further highlights the complicated relationship between the
715 antigenicity and immunogenicity of these epitopes.

716 6.2 Mimicry of glycan epitopes: biological approaches

717 A potential failure of the above strategies may be that, while the oligomannose-type glycans of the HIV-
718 1 glycan are not typically observed on mammalian glycoproteins, they are still fundamentally 'self'
719 structures. As noted above, this poses a challenge for the development of glycan-targeting bnAbs, as
720 cross-reactive B cells are likely to be deemed autoreactive and will be negatively selected for. The fact
721 that bnAbs generally do not arise until after several years of infection could support the notion that
722 they are operating at the edge of immunological tolerance, or may simply reflect the long maturation
723 process. However, tolerance to self-structures can be broken. For example, in some cases, infection
724 with *C. jejuni* elicits an antibody response against its bacterial lipooligosaccharides that is able to bind
725 nearly identical structures on the gangliosides of the peripheral nervous system (Fig. 6A) [148]. The
726 resulting autoimmune disease, Guillain-Barré syndrome, provides proof of concept that
727 immunological tolerance to 'self' glycans can be broken by exposure to micro-organisms bearing
728 similar structures [42].



729

730 **Figure 6. Antigenic mimicry in autoimmune disease and HIV-1 vaccine design. (A)** Antigenic mimicry
 731 of lipooligosaccharides (LOS) from *Campylobacter jejuni* causes Guillain-Barré syndrome, an
 732 autoimmune response against the GM1 ganglioside in the peripheral nervous system (PNS). **(B)** LOS
 733 from *Rhizobium radiobacter* Rv3 and *Saccharomyces cerevisiae* deficient in the Mnn1 gene display
 734 glycan structures terminating in α 1-2-linked mannose residues, mimicking the 2G12 epitope on HIV-
 735 1. GalNAc = N-acetylgalactosamine, Glc = glucose, GlcN = glucosamine, KDO = 2-keto-3-deoxy-D-
 736 manno-octulosonic acid. Figure adapted from Scanlan et al., 2007 [42].

737

738 It was hypothesised that 2G12 may have originally evolved to recognise high-mannose
 739 structures on pathogens other than HIV-1 [42]. Scanlan explored this further by assessing the reactivity
 740 of 2G12 to various yeast species [146]. Yeast typically display high-mannose structures that comprise
 741 an α 1-6-linked mannose backbone from which branch repetitive α 1-2-linked mannose motifs (i.e. a
 742 key feature of the 2G12 epitope), sometimes capped with α 1-3-linked mannose residues. Of the yeast
 743 species tested, 2G12 was able to bind to two, binding *Candida albicans* with similar affinities to that
 744 of gp120 [146, 232]. This interaction was glycan dependent as binding was inhibited by D-fructose, a
 745 known ligand for the 2G12 binding site. Additionally, a non-glycan-dependent control bnAb was

746 unable to bind any of the yeasts. Thus, the 2G12 antibody may equally well be described as an anti-
747 *Candida* antibody with cross-reactivity to HIV-1 [146].

748 Scanlan went on to formally elucidate the relationship between the antigenicity and
749 immunogenicity of yeast glycosylation [233]. *Saccharomyces cerevisiae* deficient in *mnn1*, the gene
750 responsible for the α 1-3-linked mannose caps, displays mannans terminating in the α 1-2-linked
751 mannose motif (Fig. 6B). Immunisation of rabbits with *S. cerevisiae* Δ *mnn1* elicited antibodies with
752 similar glycan specificities to 2G12. Furthermore, the sera displayed weakly neutralising activity
753 against HIV-1 [233]. The Doms laboratory also investigated using yeast as an HIV-1 immunogen. By
754 knocking-out three genes they produced *S. cerevisiae* displaying predominantly Man₈GlcNAc₂ glycan
755 structures. Although these yeasts now displayed a 'self' glycan structure, immunisation of rabbits
756 elicited oligomannose-specific antibodies, capable of binding monomeric gp120, but unable to
757 neutralise the virus [234]. As mentioned previously, an explanation for this apparent contradictory
758 result may be that while the elicited antibodies were capable of recognising isolated oligomannose-
759 type glycans, they were not able to recognise densely packed clusters of oligomannose-type glycans
760 as they are presented on Env. Thus, a logical next step may be to present immunogenic yeast glycan
761 structures in the context of Env, for instance, by expressing Env in yeast. The resulting immunogens
762 should display clusters of 'non-self' glycans capable of breaking immunological tolerance to the
763 clusters of oligomannose-type glycans present on Env.

764 A similar phenomenon has been observed by the Pantophlet laboratory. As per *S. cerevisiae*
765 Δ *mnn1* mannans, the lipooligosaccharides of *Rhizobium radiobacter Rv3* bacteria contain a glycan
766 motif analogous to the 2G12 epitope on HIV-1 (Fig. 6B) [235, 236]. Immunisation of mice with *R.*
767 *radiobacter Rv3* also generated antibodies capable of weakly cross-reacting with HIV-1 [235, 237],
768 representing another candidate micro-organism to investigate for a potential HIV-1 glycan-based
769 vaccine.

770 6.3 Mimicking bnAb development in natural infection

771 The development of bnAbs in a subset of infected individuals typically only occurs after a few years of
772 infection. Neutralising antibodies, however, arise early in infection, and exert a considerable selection
773 pressure on the virus. Repeated rounds of viral escape and antibody evolution ultimately drive the
774 development of nAb breadth [70, 132, 133]. The discovery that native-like trimers can induce
775 autologous Tier-2 nAbs could therefore be an important first step towards development of bnAbs.
776 Accordingly, a line of research is to attempt to mimic the development of bnAbs in natural infection
777 by immunising with a longitudinal sequence of trimers based on the Envs from an infected individual
778 who went on to generate bnAbs. An in-depth understanding of viral and antibody co-evolution

779 throughout the course of natural infection will no doubt prove invaluable in aiding the design of such
780 immunisation regimens [132, 191, 213, 238, 239].

781 An alternative, albeit closely linked, approach is to design immunogens specifically targeted
782 to initiate bnAb development. As noted previously, the development of bnAbs requires the activation
783 of B cell lineages expressing gl-bnAbs, which are typically very poor at binding Env trimers [61-64].
784 This approach therefore involves the modification of immunogens in order to better engage gl-bnAbs
785 [240], usually by the deletion of PNGS and variable loops to remove the steric occlusions which
786 prevent gl-bnAb binding [241-243]. The laboratories of Schief and Nussenzweig have reported that
787 BG505 SOSIP trimers containing, among other mutations, N133 and N137 PNGS deletions, are able to
788 bind a germline-reverted version of the outer domain glycan-targeting bnAb, PGT121 [244].
789 Immunisation of mice engineered to express the predicted germline of PGT121 with this trimer, prior
790 to boosting with a sequence of immunogens containing decreasing modifications, was able to elicit
791 heterologous Tier-2 neutralising responses [245]. This provides proof of concept that immunisation
792 with specifically designed immunogens can initiate bnAb development. There is, however, an
793 apparent conflict between the need to delete PNGS sites in order to initiate bnAb development, and
794 the recent observation that bnAbs were more likely to develop in individuals infected with isolates
795 containing more intact glycan shields [76].

796 **7. Conclusions**

797 Antigenic mimicry is fundamental to most licensed vaccines. It is widely acknowledged that a
798 protective HIV-1 vaccine will be based on the mimicry of the HIV-1 envelope spike, the sole target for
799 bnAbs raised during infection. In addition to protein mimicry, Env-based immunogens will likely have
800 to exhibit glycan mimicry, as many of the most potent bnAbs isolated to date recognise glycan
801 structures within their epitopes. Thus, the characterisation of glycan epitopes, and an understanding
802 of the principles governing their correct processing is needed in order to continue to guide the rational
803 design of HIV-1 immunogens. Structural constraints imposed by the formation of native-like trimers
804 restricts aspects of glycosylation processing, thus glycan analysis can help distinguish between native-
805 like and non-native immunogens. However, native-like immunogens alone aren't sufficient to induce
806 bnAbs. As broadly neutralising epitopes are inherently immunoquiescent it is likely that additional
807 strategies will be required in order to boost their immunogenicity.

808 **Conflicts of interest**

809 There are no conflicts to declare.

810

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