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1 **Caspases are key regulators of inflammatory and innate immune responses mediated by TLR3 in vivo.**

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16 **1. Introduction**

17 Apoptosis has been considered as one of the main factors that may be related to loss of SG secretory function [1].
18 In fact, death of acinar and ductal cells is considered to be a major mechanism leading to salivary gland
19 dysfunction in patients with Sjögren's syndrome [2] and following irradiation of salivary glands [3]. While
20 apoptosis was classically described as an immunologically silent mode of cell death, several studies have shown
21 that cells and tissues stimulated to undergo apoptosis produce moderate amounts of chemoattractant proteins and
22 cytokines [4], indicating that apoptosis and inflammation are inseparably linked. Recent studies indicate that
23 caspases, the initiators of the apoptotic cell death pathway, are situated at the nexus of vital networks that balance
24 inflammation, antiviral immunity and cell death [5].

25 Caspases are a family of proteases that have been subdivided functionally into those involved in either apoptosis
26 (caspases-2, -3, -6,-7, -8, -9 and -10 in mammals) or inflammation (caspases-1, -4, -5 and -12 in humans and
27 caspases-1, -11 and -12 in mice) [6]. While the apoptotic caspases function in the initiation and execution of a
28 programmed cell death, inflammatory caspases mediate innate immune responses by cleaving proinflammatory
29 cytokine precursors (for example, pro-IL-1 β and pro-IL-18) to initiate inflammation [7].

30 Emerging studies have shown that caspase inhibition prevents inflammation via preventing T cell activation, Th2
31 cytokine production and inflammatory cell infiltration [8]. More recent studies showed that pre-treatment with the
32 cell permeable pan-caspase inhibitor z-Val-Ala-Asp (Ome) fluoro-methyl-ketone (z-VAD-fmk), which
33 irreversibly binds to the catalytic site of caspase proteases [9], significantly inhibited the activation of
34 myeloperoxidase (MPO), TNF- α , IL-1 β and decreased lung injury in a rat model of severe acute pancreatitis [10].
35 The role played by all mammalian caspases in the control of inflammatory and immune reactions has recently
36 been thoroughly reviewed [11].

37 Toll-like receptors (TLRs) are transmembrane pattern recognition receptors, which play key roles in sensing
38 microbial pathogen associated molecular patterns (PAMPs) and mounting an immune response. Indeed, TLRs
39 induce the expression of inflammatory pro-survival factors and cytokines [12], that recruit polymorphonuclear
40 leukocytes (PMNs) to the infection site [13]. TLR3 has been identified as a key sensor for viral dsRNA or its
41 synthetic double stranded (ds)RNA analogue poly (I:C) [14]. In turn, poly (I:C) can elicit apoptosis directly in a
42 TLR3 dependent manner [15]. Despite the recent studies and reviews that propose caspases as the central
43 regulators of apoptosis, inflammation and key effectors of immune responses against microbial infections [5,7,16-
44 19], it is unclear if caspases play an immune regulatory role during acute injury phases *in vivo*. In the current
45 study, we stimulated the TLR3-mediated innate immune response of the C57BL/6 SMGs via the synthetic dsRNA
46 poly (I:C). The induction of a caspase-dependent apoptotic signal in the glandular cells was substantiated as early
47 as nine hours post poly (I:C) intraductal infusion. Moreover, pre-treatment of the mice with z-VAD-fmk, blocked
48 the activated caspases and substantiated their participation in the TLR3-mediated innate immune signalling and
49 cytokine production.

50 Our results provide, for the first time, evidence that caspases are central in regulating inflammatory cell infiltration
51 and activation of innate immune responses *in vivo* following TLR3 stimulation.

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54 2. Materials and Methods

55 2.1 Mice

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57 Female C57BL/6 mice weighing 18-21 grams (Harlan Labs Ltd., Loughborough, UK) and aged 10-12 weeks were
58 housed in a temperature-controlled environment under a 12 h light–dark cycle, with free access to food and water.
59 All procedures were approved by the local ethics committee and performed under general anaesthesia under a
60 Home Office license.

61 62 2.2 Poly (I:C) injury model

63 The C57BL/6 mouse SMGs were cannulated as previously performed in rats [20] and mice [21]. Initially, a glass
64 cannula (Supelco, 25715, PA- USA) was stretched over a flame and fitted into a polyethylene tube with 0.28 mm
65 inner diameter. Polyinosinic–polycytidylic acid sodium salt (P1530-25MG, Sigma-Aldrich) was then diluted in
66 0.9% saline solution to a final concentration of 4mg/ml. Towards consistent, visualised and flawless SMG
67 injection, poly (I:C) was pre-mixed with Trypan blue (T8154-100ML-Sigma- Aldrich) prior to injection. Eighty
68 micrograms of poly (I:C) in 20 µls were loaded into a 0.3 ml syringe (6134900, VWR International). For recovery
69 experiments, mice were anaesthetised intraperitoneally (i.p) with 0.1 ml of combined 5 mg Ketamine/1 mg
70 Xylazine. Under a stereomicroscope, the glass cannula was inserted into Wharton’s duct and poly (I:C) was
71 injected slowly and constantly into the left SMG (Fig. 1A). The same volume of the vehicle (0.9% saline and
72 Trypan blue) was delivered to the right SMG as a contralateral negative control.

73 74 2.3 Assessment of Submandibular Secretory Function

75 Animals were anaesthetized with 150 µl of Pentobarbital Sodium (Euthatal, Merial) 1 mg/ml (i.p.), followed by
76 endotracheal intubation. Each submandibular duct was exposed by dissection from the ventral surface through the
77 mylohyoid muscle. Individual submandibular ducts were cut and saliva was collected using polyethylene tubes
78 connected to insulin syringes (Fig. 1B). Saliva collection proceeded for 5 min following onset of secretion after
79 stimulation with pilocarpine (0.5mg/kg i.p.). Following collection into pre-weighed Eppendorf tubes, the tubes
80 were re-weighed, the volume of saliva was calculated as 1mg = 1µl saliva and results were expressed as µL
81 saliva/min.

82 83 2.4 Z-VAD-fmk and apoptosis inhibition model

84 Cell-permeable z-VAD-fmk (BD Pharmingen™, 550377) was dissolved in DMSO, aliquoted and stored at –80°C,
85 and then diluted as needed in PBS for experiments. Mice were pre-treated intraperitoneally with z-VAD-fmk
86 (10mg/kg), 30 minutes prior to intraductal injection with poly (I:C) [22]. In the current study, this group will be
87 referred to as z-VAD-fmk+PIC. The contralateral submandibular gland was injected with the vehicle (0.9% saline
88 and Trypan blue). Tissues were excised and saliva was collected 9 hrs following poly (I:C) intraductal injection.

89 90 2.5 TLR3/dsRNA complex inhibitor model

91 The TLR3/dsRNA complex inhibitor ((R)-2-(3-Chloro-6-fluorobenzo [b] thiophene-2 -carboxamido)-3-
92 phenylpropanoic acid, Calbiochem Merck Millipore, 614310) was used to competitively disrupt poly (I:C) binding
93 to TLR3 [28]. The inhibitor was dissolved in DMSO and diluted in PBS. 1mg/mouse of the inhibitor was
94 intraperitoneally injected into mice [23]. At the same time, 4µg/µl poly (I:C) was combined to 50 ng
95 TLR3/dsRNA and 20 µl of this solution was loaded in a 0.3 ml syringe. Mice were anaesthetised as previously

96 mentioned and poly (I:C)-TLR3/dsRNA inhibitor were injected slowly and consistently into the SMG. In the
97 current study, this group will be referred to as TLR3-I+PIC. The contralateral SMG was injected with the vehicle
98 (0.9% saline, Trypan blue and 50 ng TLR3/dsRNA complex inhibitor). Tissues were excised and saliva was
99 collected 9 hrs following poly (I:C) intraductal injection.

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102 **2.6 Histopathologic Examination**

103 Harvested SMGs were fixed in 10% neutral buffer formalin, processed and embedded in paraffin for long term
104 storage. Poly (I:C) induced histomorphometric changes and immune cell infiltration were examined using
105 conventional H&E stain and contrasted versus the saline injected control glands.

106

107 **2.7 Immunohistochemical Analysis**

108 Three µm tissue sections were deparaffinized, rehydrated, and unmasked in a single step using Trilogy™ (Cell
109 Marque, Rocklin, CA, 920P-06). To block endogenous peroxidase activity and non-specific background staining
110 sections were incubated in 3% hydrogen peroxide solution for 20-30 minutes. To block all epitopes on the tissue
111 samples and prevent nonspecific antibody binding, sections were incubated with 1% BSA in 1X TBS, pH7.6 for
112 5 minutes. Primary antibody (Table 1) was applied at the appropriate working dilution overnight at 4°C followed
113 by secondary antibody (Table 1) which was incubated for 60 mins at room temperature. Colour was developed
114 for 5 mins in DAB solution (Pierce™ 34002) and slides were counterstained in Mayer haematoxylin and DPX-
115 mounted for light microscopy.

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119 Table 1 Antibodies used in immunohistochemical analysis

| 120 Antibody | 121 Source & Catalogue Number | 122 Working Dilution |
|--|---|----------------------|
| 123 anti-dsRNA | 124 SCICONS Budapest, Hungary, J2-1511 | 1:1500 |
| 125 Cleaved caspase-3 | 126 R&D Systems, MAB835 | 1:280 |
| 127 MPO | 128 BosterBio, USA, PB9057 | 1:1000 |
| 129 Interleukin 6 (IL-6) | 130 Abcam, Ltd, ab83339 | 1:900 |
| 131 iNOS | 132 Novus Biologicals, USA, NB300-605 | 1:700 |
| 133 Polyclonal Goat Anti-Rabbit Immunoglobulins-HRP | 134 Dako, P0448 | 1:200 |
| 135 Polyclonal Goat Anti-Mouse Immunoglobulins- HRP | 136 Dako, P0447 | 1:100 |

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148 **2.8 Western Blotting**

149 Tissues stored in RNAlater® were retrieved, homogenized in cell lysis buffer (AA-LYS-10 ml- RayBiotech, Inc.,
150 Norcross, GA) plus protease inhibitor cocktail (1:10 dilution, Calbiochem, UK) using a FastPrep™ tissue
151 homogenizer (MP Biomedicals Santa Ana, CA). Protein concentration was measured using the Qubit® protein
152 assay kit (Q33211, Invitrogen™, UK) and Qubit® 3.0 Fluorometer (Q33216, Invitrogen™, UK) and a total of
153 15-20 µg /lane of the different lysates were separated by SDS-PAGE on a 4-12% Novex polyacrylamide gel
154 (Invitrogen, UK). Electro-transfer of proteins was done for 1 hour to 0.2 µm pore-size nitrocellulose membrane
155 (1620112, Bio-Rad, UK) according to standard protocol (Invitrogen, UK, Paisley), followed by membrane
156 blocking with 5% bovine serum albumin. Membranes were incubated at 4°C overnight with the appropriate
157 antibody (Table 2) in blocking buffer then washed and incubated with the HRP conjugated anti-rabbit secondary
158 antibody in blocking buffer at room temperature for 1 h. For signal development, an Enhanced
159 Chemiluminescence substrate (ECL, GE Healthcare, UK) was prepared following the kit manufacturer's
160 recommendations and applied over the membranes. Excess reagent was flicked and positive and negative protein
161 expression was assessed and captured using ChemiDoc™ MP System (Bio-Rad, UK).

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164 Table 2 Antibodies used in western blot analysis

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2.9 qRT-PCR Analysis

185 For qRT-PCR analysis, SMGs stored in RNAlater® (R0901-100ml, Sigma-Aldrich) were homogenized using
186 FastPrep™ tissue homogenizer (MP Biomedicals Santa Ana, CA) and RNeasy® Micro Kit (74004, Qiagen) was
187 used for total RNA extraction. RNA concentration as well as the A260/280 and A260/230 ratios were then
188 measured with the NanoDrop ND-1000 Spectrophotometer (Thermo Fischer Scientific, Nottingham UK).
189 iScript™ cDNA Synthesis kit (170-8890, Bio-Rad) was used to reverse transcribe 100 ng of extracted RNA. qPCR
190 reactions (10 µl/well) were prepared by adding SsoAdvanced™ Universal SYBR Green Supermix (172-5271,
191 Bio-Rad), primers (PrimerDesign™, Ltd.) (Table 3) and cDNA template. Thermal cycling was performed using
192 Corbett RotorGene 6000 System (Qiagen, UK). In all qRT-PCR experiments, relative gene quantification was
193 assessed according to the "Delta Delta CT" ($\Delta\Delta CT$) [24], where $\Delta\Delta CT = [Ct\ GOI\ Exp - Ct\ HKG\ Exp] - [Ct\ GOI\ Cal - Ct\ HKG\ Cal]$; Ct: cycle threshold, GOI: gene of interest, Exp: poly (I:C)-injected glands, HKG:

195 housekeeping gene showing the highest stability within each experiment condition, Cal: control glands injected
196 by the vehicle.

197

198 Table 3 Primers used in qRT-PCR assessment of gene expression

| Gene | Accession Number |
|--|------------------|
| TLR3 | NM_126166 |
| Mx1 (Mx dynamin-like GTPase 1) | NM_010846 |
| ISG-15 (ISG15 ubiquitin-like modifier) | NM_015783 |
| IL 6 | NM_031168 |
| IL 1 β | NM_008361 |
| HPRT (Hypoxanthine guanine phosphoribosyl transferase) | NM_013556 |
| GAPDH (glyceraldehyde-3-phosphate dehydrogenase) | NM_008084 |

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211 2.10 PCR Array of Mouse Toll-Like Receptor Signaling Pathway

212 To analyse the signalling pathway downstream of TLR3 ligation in SMGs from z-VAD-fmk treated and non-
213 treated animals, the mouse RT² Profiler Toll-Like Receptor (TLR) signaling pathway array was used. RNA was
214 extracted as previously mentioned and 800 ng was reverse transcribed using the RT² First Strand Kit (330401,
215 Qiagen), according to the manufacturer's protocol. Twenty μ l of the PCR reaction mix (Table 4) was added to
216 individual wells of the RT² Profiler PCR array format R (PAMM-018ZR, Qiagen) and the thermal cycler was set
217 according to <http://www.SABiosciences.com/pcrarrayprotocolfiles.php>.

218

219

220 Table 4 qRT-PCR reaction mix

| | |
|---|--------------|
| 2x RT2 SYBR Green ROX FAST Mastermix (330520, Qiagen) | 1150 μ l |
| cDNA synthesis reaction | 102 μ l |
| RNase-free water | 1048 μ l |
| Total Volume | 2300 μ l |

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223 A threshold value above the background signal was chosen and the CT values for the run samples were extracted
224 to an excel sheet and analysed using the web-based PCR Array Data Analysis Software available at
225 www.SABiosciences.com/pcrarraydataanalysis.php. Altered expression was assessed by comparing poly (I:C)
226 treated glands with their contralateral vehicle-injected control glands, from mice treated and non-treated with z-
227 VAD-fmk.

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230 2.11 Digital image analysis of cleaved caspase-3 and MPO-immunopositive cells

231 In each tested group, fifteen random high magnification fields (five from three independent experiments) were
232 captured and colour images of 640 \times 480 pixel resolution were then analysed by semi-quantitative digitalized
233 image analysis using ImageJ, NIH $\text{\textcircled{R}}$ [25]. Briefly, images were transformed by threshold mode to locate the
234 positive immunostained area, then converted to 8-bit images in grey scale. Subsequently, the area percentage of

235 positive immunostaining was calculated by the area fraction command within measuring mode, which was
236 expressed as the percentage of red pixels/SMG tissue section.

237

238 **2.12 Statistical analysis**

239 Results were shown as mean \pm SEM (standard error of means). Statistical significance between individual
240 comparisons was determined using Student t-test. For multiple comparisons, one-way ANOVA with Sidak's
241 (selected pairs) pairwise tests were used. In addition, Dunnett test was performed for comparing treatment groups
242 with the control group. The calculations were performed with the statistical software package GraphPad Prism
243 (version 7). P values \leq 0.05 were considered statistically significant.

244

245 **3. Results**

246 **3.1 Poly (I:C) internalization and TLR3 upregulation.**

247 To visualize poly (I:C) internalization, a dsRNA monoclonal antibody was used to track its fate post retrograde
248 injection into the SMG duct. The vehicle-injected SMGs did not show any dsRNA-positive signal. Conversely,
249 the ducts and acini of the poly (I:C)-injected SMGs showed retention of poly (I:C) up to 24 hrs following its
250 injection (Fig.1C). Next, qRT-PCR was performed and revealed that poly (I:C) induced the transcriptional
251 upregulation of TLR3 in the SMGs. Immunohistochemical staining was performed in order to identify cell
252 phenotypes expressing the activated receptor. Basally, TLR3 showed intense membranous and cytoplasmic
253 immunostaining in the intercalated ducts. Following poly (I:C) introduction, TLR3 showed immunopositivity in
254 the basolateral membranes of acinar and duct cells with increased numbers of intensely stained intercalated ducts
255 (Fig. 2A).

256

257 **3.2 z-VAD-fmk blocked casp-8/csp-3 activated signals induced by TLR3 stimulation**

258 Mice were pre-treated with the TLR3/dsRNA complex inhibitor, which competitively inhibits dsRNA binding to
259 TLR3 and immunohistochemistry was performed to explore the role played by TLR3 in caspase activation. A
260 single poly (I:C) dose induced an extremely significant increase in the percentage of glandular epithelial cells
261 expressing the apoptotic marker: cleaved caspase-3, compared to the vehicle injected control and the TLR3-
262 inhibited glands ($p < 0.0001$) (Fig. 2B). In another set of experiments, mice were pre-treated with 10 mg/kg z-
263 VAD-fmk intraperitoneally, 30 minutes prior to intraductal minifusion of poly (I:C). Subsequently, SMGs were
264 harvested and analysed by western blot to confirm blockade of the TLR-3-triggered apoptotic signal. Results
265 obtained from these experiments confirmed that z-VAD-fmk pre-treatment interfered with expression levels of
266 the TLR3-activated subunits of caspase-8/3 in the poly (I:C)-injected glands (Fig. 3A). **In addition,**
267 **immunohistochemistry, showed downregulated expression of cleaved caspase 3 in the SMGs of the z-VAD-fmk**
268 **treated animals, compared to those which received poly (I:C) only (Fig. 3B).**

269

270 **3.3 z-VAD-fmk inhibited infiltration of TLR-3-recruited, MPO-positive, acute inflammatory cells**

271

272 H&E sections from SMGs of the control, poly (I:C)-injected, TLR-3 blocked and z-VAD-treated mice were
273 microscopically examined and revealed that the poly (I:C)-infected glands from both the z-VAD treated and TLR-
274 3-inhibited mice were inflammation- and oedema-free; two hallmark features of the glands injected with poly
275 (I:C) alone (Fig. 4). Next, the anti-inflammatory effect of z-VAD in the poly (I:C) infected glands was verified
276 by immunolabelling tissues with the acute inflammatory marker: MPO (expressed in lysosomes of monocytes and
277 azurophilic granules of neutrophils) [26] and comparing the results to those in the TLR3/dsRNA blockade model.
278 Immunohistochemistry similarly confirmed the H&E results, whereby the SMGs from the poly (I:C) treated mice
279 displayed many MPO positive immune cells, which were absent from the z-VAD- or TLR3-inhibitor-treated mice.
280 (Fig .4).

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283 **3.4 Effect of z-VAD-fmk on pilocarpine stimulated saliva secretion**

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285 To rule out induction of necroptotic cell death, which is coupled to caspase inhibition [17], the viability of the
 286 secretory parenchymal cells was assessed by exploring the functional response of the SMGs to pilocarpine
 287 stimulation. Blockade of active caspases using the z-VAD-fmk inhibitor preserved SMG secretory capacity, which
 288 had clearly deteriorated 9 hrs post intraductal infusion of poly (I:C). Similar functional protection was conferred
 289 by competitively blocking TLR3 (Fig. 5).

290

291 **3.5 Pan caspase inhibition interfered with transcriptional activation of TLR-related and interferon (IFN)**
 292 **stimulated genes**

293

294 The mouse RT2 Profiler™ TLR PCR array of 84 TLR-related innate immune adaptor and effector genes was used
 295 to broadly analyse SMG response to blockade of the caspase system by z-VAD-fmk. Intriguingly, pre-treating
 296 mice with z-VAD-fmk prior to poly (I:C) injection, reduced the expression level of all TLR-related genes,
 297 compared to their extensive transcriptional upregulation in the SMGs which received the innate immune stimulant
 298 only (Fig. 6A). In addition, specific primers for interferon-responsive genes were used to confirm this novel,
 299 negative feedback mechanism executed by caspase inhibition with z-VAD-fmk (Fig. 6B).

300

301 Table 5a. Fold change in the expression of mRNA of TLR-related genes, 6 hrs following poly (I:C) injection. mRNA
 302 expression was assessed using an RT² Profiler Toll-Like Receptor (TLR) signaling pathway array.

| Layout | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
|--------|--------|---------|-------|--------|--------|---------|----------|--------|-------|----------|-------|--------|
| A | Agfg1 | Btk | Casp8 | Ccl2 | Cd14 | Cd80 | Cd86 | Cebpb | Chuk | Clec4e | Csf2 | Csf3 |
| | 7.75 | 3.59 | 11.84 | 119.02 | 28.94 | 8.78 | 33.59 | -1.12 | 15.08 | 55.91 | 10.7 | 22.86 |
| B | Cxcl10 | Eif2ak2 | Elk1 | Fadd | Fos | Hmgb1 | Hras | Hspa1a | Hspd1 | Ifnb1 | Ifng | Ikbkb |
| | 522.76 | 8 | 1.84 | 2.6 | 5.46 | 16.51 | 3.57 | 19.16 | 9.85 | 445.72 | 37.01 | 2.53 |
| C | Il10 | Il12a | Il1a | Il1b | Il1r1 | Il2 | Il6 | Il6ra | Irak1 | Irak2 | Irf1 | Irf3 |
| | 8.2 | 3.05 | 82.71 | 70.03 | -1.27 | 1.38 | 364.56 | 2.43 | 4.72 | 2.9 | 16.8 | 6.02 |
| D | Jun | Lta | Ly86 | Ly96 | Map2k3 | Map2k4 | Map3k1 | Map3k7 | Mapk8 | Mapk8ip3 | Mapk9 | Muc13 |
| | 3.56 | 3.67 | 5.66 | 8.78 | 4.45 | 3.59 | 2.22 | 2.57 | 3.88 | 1.38 | 3.84 | 12.04 |
| E | Myd88 | Nfkb1 | Nfkb2 | Nfkbia | Nfkbib | Nfkbil1 | Nfkkb | Nr2c2 | Peli1 | Pglyrp1 | Ppara | Ptgs2 |
| | 4.08 | 17.15 | 9.13 | 19.7 | 3.32 | 1.24 | 1.93 | 5.62 | 7.16 | 18.83 | -1.27 | 8.43 |
| F | Rel | Rela | Ripk2 | Tbk1 | Ticam1 | Ticam2 | Tirap | Tlr1 | Tlr2 | Tlr3 | Tlr4 | Tlr5 |
| | 11.55 | 4.99 | 41.36 | 9.71 | 1.09 | 1.39 | 2.52 | 10.37 | 12.34 | 75.06 | 3.61 | 1.27 |
| G | Tlr6 | Tlr7 | Tlr8 | Tlr9 | Tnf | Tnfaip3 | Tnfrsf1a | Tollip | Tradd | Traf6 | Ube2n | Ube2v1 |
| | 9.32 | 4.07 | 3.29 | 2.32 | 10.2 | 23.43 | 1.82 | 1.9 | 8.31 | 2.69 | 6.77 | 11.27 |

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Table 5b Fold change in the expression of mRNA of TLR-related genes 6 hrs following z-VAD-fmk pre-treatment and poly (I:C) injection. mRNA expression was assessed using an RT² Profiler Toll-Like Receptor (TLR) signaling pathway array.

| Layout | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 |
|--------|--------|---------|-------|--------|--------|---------|----------|--------|-------|----------|-------|--------|
| A | Agfg1 | Btk | Casp8 | Ccl2 | Cd14 | Cd80 | Cd86 | Cebpb | Chuk | Clec4e | Csf2 | Csf3 |
| | 1.2 | 1.27 | 1.48 | 4.03 | 2.17 | 1.48 | 2.33 | -1.01 | 1.54 | 8.94 | -1.34 | 18.51 |
| B | Cxcl10 | Eif2ak2 | Elk1 | Fadd | Fos | Hmgb1 | Hras | Hspa1a | Hspd1 | Ifnb1 | Ifng | Ikkkb |
| | 3.78 | 1.1 | 1.12 | -2.04 | 1.13 | 1.26 | 1.06 | 1.82 | -1.71 | 5.19 | 2.2 | -1.07 |
| C | Il10 | Il12a | Il1a | Il1b | Il1r1 | Il2 | Il6 | Il6ra | Irak1 | Irak2 | Irf1 | Irf3 |
| | 2.7 | 1.53 | 4.2 | 23.59 | 1.01 | -1.02 | 4.58 | -1.34 | -1.08 | -1.13 | -1.05 | 1.11 |
| D | Jun | Lta | Ly86 | Ly96 | Map2k3 | Map2k4 | Map3k1 | Map3k7 | Mapk8 | Mapk8ip3 | Mapk9 | Muc13 |
| | -1.05 | 1.12 | -1.12 | 2.13 | 1.06 | 1.02 | -6.63 | -1.31 | -1.07 | -1.32 | -1.72 | 1.29 |
| E | Myd88 | Nfkb1 | Nfkb2 | Nfkbia | Nfkbib | Nfkbil1 | Nfkb | Nr2c2 | Peli1 | Pglyrp1 | Ppara | Ptgs2 |
| | -1.62 | 1.55 | 1 | -1.02 | 2.62 | 1.09 | -1.24 | 1.02 | 1.06 | 3.05 | -1.73 | 2.19 |
| F | Rel | Rela | Ripk2 | Tbk1 | Ticam1 | Ticam2 | Tirap | Tlr1 | Tlr2 | Tlr3 | Tlr4 | Tlr5 |
| | -1.3 | 1.08 | -1.18 | -1.9 | 1.12 | 1.01 | -1.22 | -3.72 | 1.13 | 1.04 | 1 | -2.03 |
| G | Tlr6 | Tlr7 | Tlr8 | Tlr9 | Tnf | Tnfaip3 | Tnfrsf1a | Tollip | Tradd | Traf6 | Ube2n | Ube2v1 |
| | 1.03 | -1.76 | -1.72 | -1.22 | 3.13 | 2 | -1.55 | 1.08 | 1.25 | 2.24 | 1.13 | 1.01 |

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3.6 Caspases are central in regulating the expression of TLR-3-induced pro-inflammatory cytokines

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To further define the immune regulatory functions of caspases, we investigated their potential contribution to cytokine production in response to TLR-3 stimulation. SMGs from the TLR3-inhibited and z-VAD-fmk-treated mice exhibited a dramatic decline in the transcriptional activation of the pleiotropic cytokines, IL-6 and IL-1 β compared to the vehicle injected control glands (Fig. 7A & B). In addition, protein levels of the activated IL-1 β isoform (Fig. 7B) and inducible nitric oxide synthase (iNOS) were greatly diminished in parenchymal cells, following ductal injection with poly (I:C) (Fig. 7C).

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336 4. Discussion

337 The current study provides *in vivo* evidence that caspases regulate the TLR3-activated innate immune response.
338 This conclusion is consistent with the important role played by caspases in coordinating and integrating signals
339 that result not only in apoptosis but also inflammation and immune regulation [27]. Previous studies demonstrated
340 that multiple, systemic injections of poly (I:C) into Sjögren's syndrome-prone, NZB/WF1 mice, resulted in loss
341 of glandular function [28,29]. The TLR3-stimulant in the current model was locally injected into the SMGs to
342 avoid systemic delivery, which is more likely to dilute the poly (I:C) and minimize the chance of its efficient
343 exposure to salivary parenchymal cells. **Moreover, the model presented herein, is unique in demonstrating the**
344 **direct influence of innate immune activation on the SMG tissues and functional responses, ruling out possible**
345 **extraneous impacts arising either from systemic delivery or autoimmune susceptibility of mice.** The cannulation
346 technique established, enabled the development of a reliable and reproducible mouse model, whereby intraductal
347 conveyance of poly (I:C) pre-mixed with Trypan blue allowed consistently successful infusion and delivery of the
348 same amount of inflammagen into the SMGs (even when other drugs such as TLR3/dsRNA inhibitor and z-VAD-
349 fmk, were used). Parenchymal exposure to poly (I:C) and its efficient internalization into the SMG ductal and
350 acinar cells were verified using the J2 monoclonal antibody which specifically recognizes dsRNA of more than
351 40 base pairs in length [30]. The J2 antibody positively stained acinar and ductal cells which internalized the poly
352 (I:C) and revealed that parenchymal cells retain the inflammagen up to 24 hrs post inoculation.

353 The involvement of TLR3 in dsRNA-triggered apoptosis has previously been demonstrated by RNA interference
354 [15,31]. Upon ligand recognition, TLR3 recruits the adaptor protein TRIF, which then interacts with the receptor
355 interacting protein 1 (RIP1), a serine/threonine kinase that not only enhances survival through NF- κ B and MAPK
356 activation, but also promotes apoptosis by recruiting FADD and by activating caspase-8 [32]. Activated caspase-
357 8 cleaves and activates caspase-3, which then executes the apoptotic program [33]. Intraductal infusion of the poly
358 (I:C) into the SMGs prompted transcriptional upregulation of TLR3, which triggered the expression of activated
359 forms of caspase-8 and caspase-3. Cleaved caspase-3-positive duct and acinar cells were observed as early as 9
360 hrs after poly (I:C) administration and were significantly decreased in the mice treated with the pan caspase
361 inhibitor z-VAD-fmk. Surprisingly, systemic injection of z-VAD-fmk before challenging the SMG innate immune
362 response, interfered with acute inflammatory cell infiltration and protected the SMG secretory machinery from
363 the poly (I:C)-induced loss of function. z-VAD-fmk has shown therapeutic efficacy in many diseases including
364 neurogenic pulmonary edema [34], muscle ischemia-reperfusion injury [35] and allergic lung inflammation [8].

365 Caspases are closely inter-connected with cytokines and cytokine receptors, in that some caspases are essential
366 for cytokine maturation (i.e. activation) and secretion. Caspase-1 cleaves pro-IL-1 β and pro-IL-18 to the mature
367 cytokine forms [36]. In addition, caspase-3 is involved in the processing of pro-IL-16 to the mature cytokine [37].
368 It has been previously shown that z-VAD-fmk inhibition of caspase-3 led to the down-regulation of JNK/SAPK
369 and NF- κ B, which were required for LPS-induced iNOS expression and NO production [38]. Moreover, caspase-
370 8 has been reported to directly cleave pro IL-1 β into its mature, active form following TLR3/TLR4 stimulation
371 [39], in addition to its direct activation of the inflammatory caspase-1, in an inflammasome-independent manner
372 [40]. IL-1 β can recruit a cascade of events downstream of the IL-1R complex, resulting in the activation of
373 important signalling proteins, such as mitogen-activated kinases (JNK, p38, ERK1/2), as well as transcription
374 factors, including NF κ B (p65 and p50 subunits) and c-Jun (a subunit of AP-1), which control expression of a
375 number of inflammatory and catabolic genes [41]. Whether TLR3 engages caspases for maximal cytokine
376 production is not known, but the broad anti-inflammatory effect provided by z-VAD-fmk in the current injury
377 model may have been down to its ability to inhibit caspases that cleave pro-IL-1 β into its active form.

378 In addition to their apoptotic roles, a new paradigm for caspases in non-apoptotic roles has become clear. In the
379 current study, the pan caspase inhibitor, z-VAD-fmk negatively regulated the expression of an array of TLR-
380 related and IFN-stimulated genes. This finding may be due to the pleiotropic role of caspase-8 in the control of
381 gene expression downstream of TLR stimulation [42], via: (i) induction of mRNA transcription and promotion of
382 mRNA stability [17], (ii) localization to nuclei, raising the possibility that nuclear caspase-8 might play a role in
383 gene expression [43] and (iii) regulating the activation of the transcription factor NF- κ B [42,44-46], which induces
384 the expression of target genes, including cytokines, chemokines, and adhesion molecules [47].

385 IFNs contribute to immune protection through the induction of cellular antimicrobial programmes and through
386 enhancing immune responses for the efficient termination of infection [48]. However, type-I-IFNs can also be
387 harmful, either by inducing immunosuppressive effects that impede viral control [49] or by triggering
388 inflammation and tissue damage that exacerbate disease [50]. The results from arrays in the present study show
389 very remarkable downregulation of the poly (I:C) induced *Ifnb1* from 445 folds to 5 folds, when mice received
390 the pan caspase inhibitor prior to poly (I:C). To confirm this novel and therapeutically significant finding, qRT-
391 PCR was carried out to further analyse the mRNA expression levels of IFN-response genes in poly (I:C) treated
392 SMGs from the z-VAD-fmk treated and non-treated mice. Results from these experiments confirmed the array
393 finding and revealed for the first time that caspase inhibition can potentially control unruly type I IFN-regulated
394 genes. However, the mechanism(s) by which caspases directly or indirectly regulate the IRF-pathway in SMGs
395 has not previously been addressed. Thus, further studies are needed to determine the importance of caspases for
396 IRF signalling in response to TLR agonists *in vivo*.

397 In conclusion, the current study is the first to demonstrate that the broad-spectrum caspase inhibitor z-VAD-fmk
398 can regulate TLR3-induced gene expression and cytokine production *in vivo*, during the early stages of innate
399 immune activation and inflammation.

400 **Conflict of Interest:** The authors declare that they have no conflict of interest.

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522 **Figure Legends**

523 **Fig 1** Poly (I:C) retrograde ductal injection and saliva collection. **A:** Microscopic depiction of pre-fabricated glass
524 cannula inserted into the sublingual papilla of Wharton's duct for poly (I:C) delivery. **B:** Extraoral saliva
525 collection. Mylohyoid muscle slits enclosed the accumulated saliva, that was withdrawn using polyethylene tubes
526 attached to 0.3 ml syringe. **C:** Negative J2 immunolabelling of poly (I:C) in the vehicle-injected glands (V-C).
527 Six hrs post poly (I:C) injection, the synthetic dsRNA was immunolocalized in the perinuclear areas of the duct
528 cells (green outline and arrows) as well as the basolateral membranes of acinar cells (yellow outline and arrows),
529 whereas after 24 hrs, more dispersed and less immunostaining intensity of poly (I:C) was detected in duct cells as
530 well as acinar cells. Original magnification= 40x.

531 **Fig 2 A:** Expression of TLR3 in the SMGs. **Left:** Transcriptional upregulation of mTLR3 in the SMGs, 6 hrs post
532 poly (I:C) injection (6h P-PIC). Data represent means \pm SEM (n=3). ****p \leq 0.0001. V-C: vehicle injected control,
533 HPRT: Hypoxanthine guanine phosphoribosyl transferase (housekeeping gene). **Right:** immunoexpression of the
534 dsRNA sensor. **V-C:** basal TLR3 expression in intercalated duct cells (arrow). **P-PIC:** after poly (I:C) injection,
535 intense membranous and cytoplasmic positivity of intercalated ducts (yellow arrow) as well as mild basolateral
536 staining of the acinar cells (red arrow). **B:** Immunohistochemical expression of cleaved caspase-3 in the ductal
537 (yellow arrow) and acinar (red arrow) cells, 9 hrs P-PIC. Original magnification= 40x. Image analysis
538 demonstrated that poly (I:C) remarkably induced the apoptotic signal in the SMGs in a TLR3 dependent manner.
539 ns: non-significant p>0.05, ****p<0.0001.

540 **Fig 3 A:** Western blot representation of caspase-8 and caspase-3 in the SMGs, 9 hrs P-PIC. Poly (I:C) induced
541 caspase activation in a TLR-3-dependent manner. The protein products of caspase-8 and caspase-3 extracted from
542 three independent vehicle (V-C), poly (I:C) (P-PIC) injected, TLR3-blocked (TLR3-I+PIC) and caspase-inhibited
543 (z-VAD-fmk+PIC) SMGs, were measured by Western blot analysis. Bars represent the fold change in protein
544 levels of activated subunits of caspase-8 and caspase-3, on the basis of β -actin and indicate mean values \pm SEM
545 (n = 3 in each group). ns: non-significant, ***P<0.001, ****p<0.0001 significant differences between the V-C
546 and tested groups. **B:** Immunohistochemical expression of cleaved caspase-3 in SMGs from z-VAD-fmk-treated
547 and non-treated mice, 9 hrs P-PIC introduction.

548 **Fig 4:** H&E and MPO-immunostained sections demonstrating control and poly (I:C)-injected SMGs. The SMGs
549 which received the carrier vehicle (V-C) showed normal histology in the form of packed acini and patent ducts.
550 By 9 hrs, the poly (I:C)-infected glands (P-PIC) exhibited widespread infiltration of MPO-positive immune cells
551 in extended stromal spaces, obscuring interlobular ducts and invading inter-acinar tissues. Very few MPO-positive
552 immune cells were detected in the TLR3-blocked (TLR3-I+PIC) and z-VAD-fmk-treated animals (z-VAD-
553 fmk+PIC). Original magnification H&E=25x, MPO=40x. Bars represent area percentage of MPO positive cells
554 in the SMG tissue sections, n=3 for each tested group. ns: non-significant p>0.05, ****p<0.0001.

555 **Fig 5:** Mean \pm SEM salivary flow rates of the control (V-C), poly (I:C)-injected (P-PIC), TLR3-blocked (TLR3-
556 I+PIC) and caspase-inhibited ((z-VAD-fmk+PIC)) SMGs. Representative results from 3 independent
557 experiments. A single i.p injection of z-VAD-fmk, 30 mins prior to poly (I:C) retrograde infusion, preserved saliva
558 secretion, compared to its complete loss, 9 hrs post infusion of the inflammagen, in the non-treated animals.
559 Similar functional protection was seen in the glands from mice which received the TLR3/dsRNA competitive
560 blocker.

561 **Fig 6 A:** Scatter plot depicting the RT2 profiler PCR array of Toll Like Receptor pathway genes. The plot gives
562 an overview of the expression of 84 genes in the control versus poly (I:C)-injected SMGs, 6 hrs P-PIC, from mice
563 treated and non-treated with z-VAD-fmk. The central line indicates unchanged gene expression; boundaries
564 represent the two-fold regulation cut-off. In red, up-regulated genes; in green, down regulated genes; and in black,
565 genes not altered. Blocking the apoptotic signal in the SMGs by pre-treating mice with z-VAD-fmk, reduced the
566 expression level of all TLR-related genes, compared to the extensive transcriptional upregulation seen in the
567 SMGs which received poly (I:C) only. One representative experiment presented from three biological repeats. **B:**
568 mRNA relative expression of the IFN-response genes: Mx1 and ISG15. Note the extremely significant (p<0.0001)

569 decrease in the expression of the tested genes in the glands from z-VAD-fmk-treated animals. Data represents
570 means \pm SEM (n=3). ns: non-significant $p>0.05$, * $P\leq 0.05$, **** $p\leq 0.0001$.

571 **Fig 7 A and B (Left):** To further confirm the array results, mRNA expression of the pro-inflammatory cytokines
572 IL-6 and IL-1 β were investigated in the SMGs from mice non-treated and treated either with z-VAD-fmk or
573 TLR3/dsRNA complex inhibitor. The pleiotropic cytokines IL-6 and IL-1 β demonstrated transcriptional
574 downregulation in response to pan caspase inhibition, **** $p<0.0001$. **(Right):** immunohistochemical
575 representation of IL-6 expression in the SMGs confirmed the inhibiting effect of caspases and TLR3 blockades
576 on the parenchymally-expressed cytokine (acinar cells: yellow arrow and duct cells: red arrow). Original
577 magnification = 40x. Western blot analysis of cleaved IL-1 β in SMGs from control (V-C) and poly (I:C) (P-PIC)
578 injected groups, with TLR3/dsRNA complex inhibitor or the caspase inhibitor z-VAD-fmk. Poly (I:C) induced
579 the expression of the mature IL-1 β isoform in the SMGs, which was efficiently abrogated by TLR3 blockade or
580 pan caspase inhibition. The data are representative of results from three independent experiments. **C:**
581 Immunohistochemistry and western blot revealed that pan caspase inhibition remarkably diminished the TLR-3-
582 induced acinar iNOS overproduction (arrows). Bars represent the fold change of iNOS protein levels on the basis
583 of β -actin and indicate mean values \pm SEM (n = 3 in each group). Western blot data are representative of results
584 from three independent experiments. ns: non-significant $p>0.05$, * $P < 0.05$, significant differences between the
585 V-C and tested groups.

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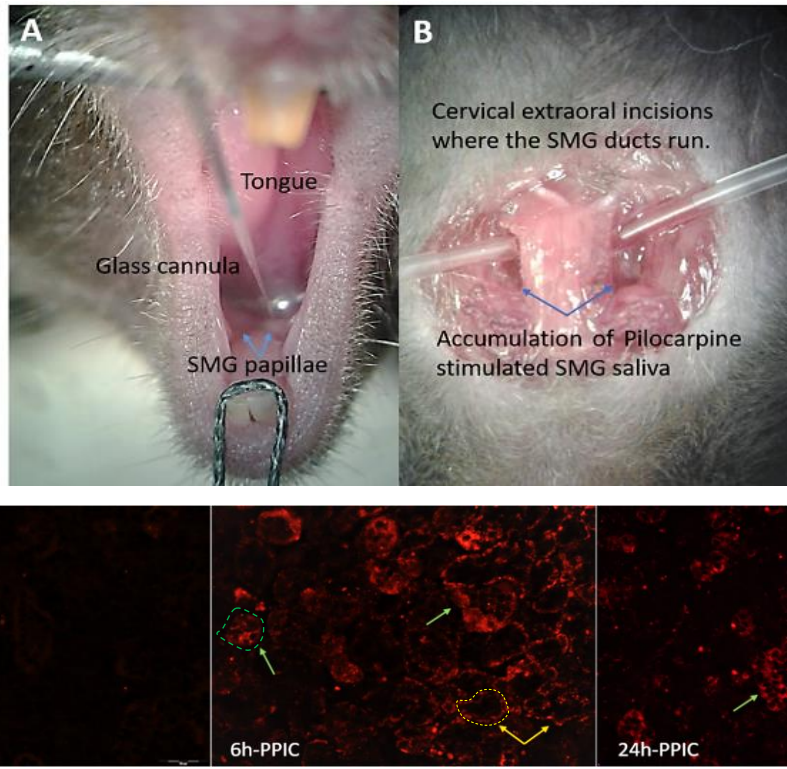
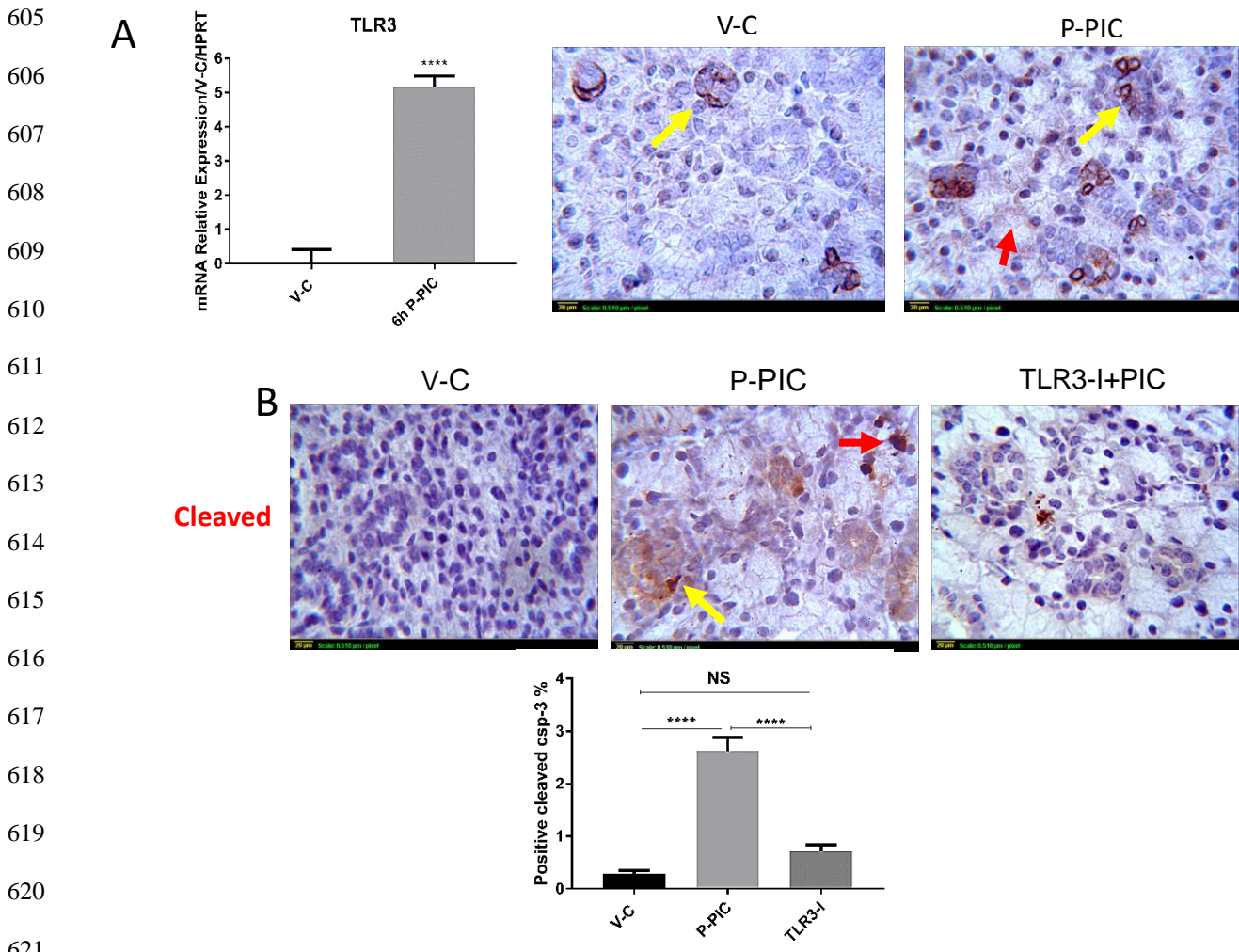


Figure 1.



622 Figure 2.

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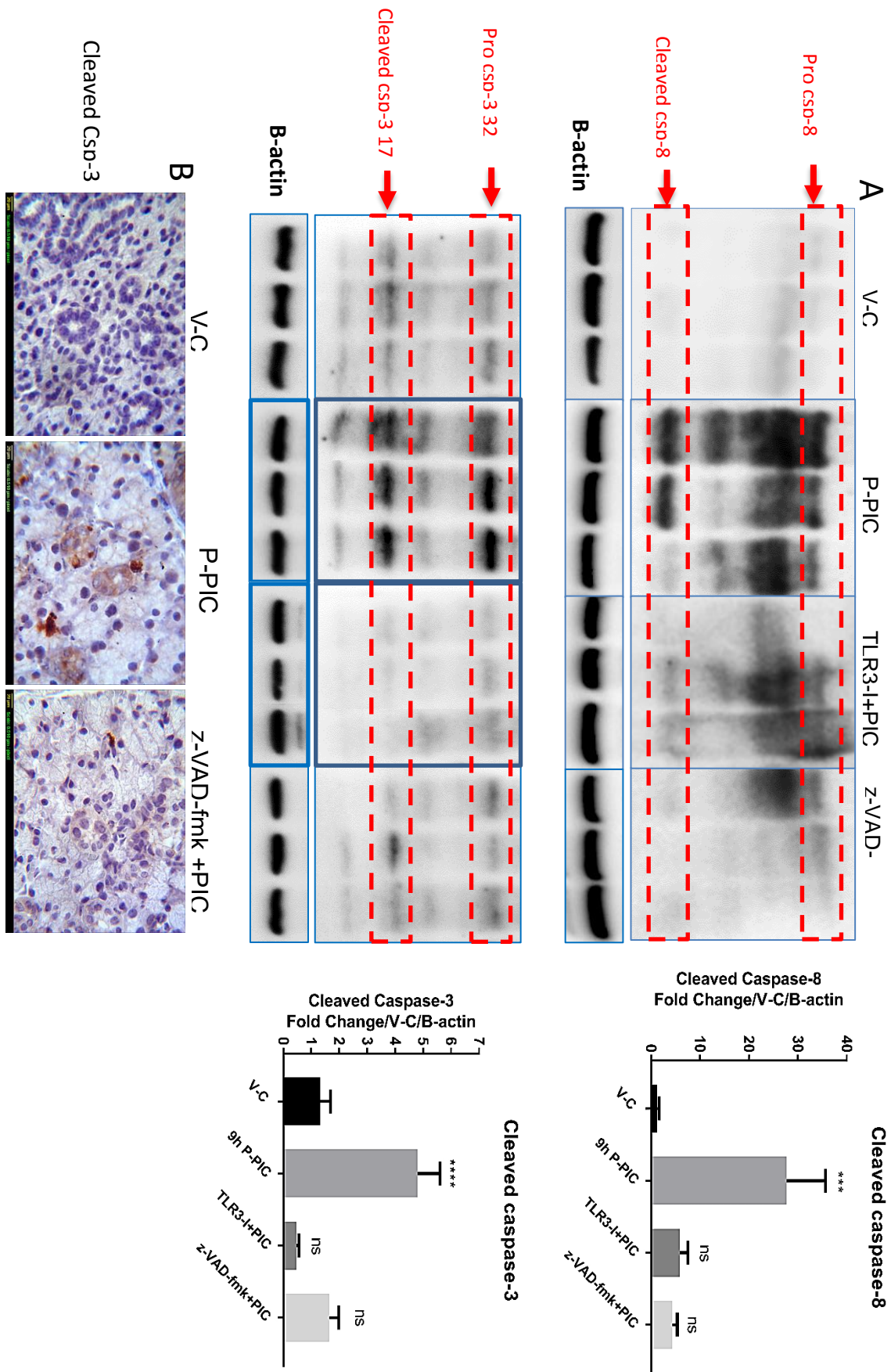
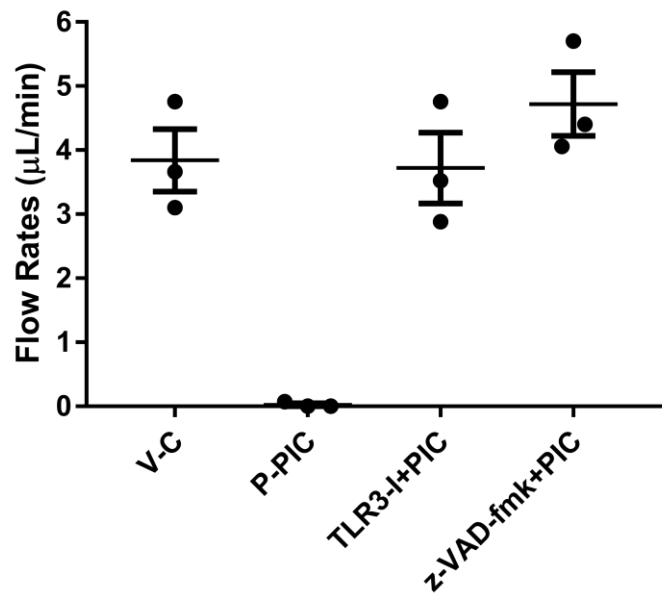


Figure 3



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686 Figure 5

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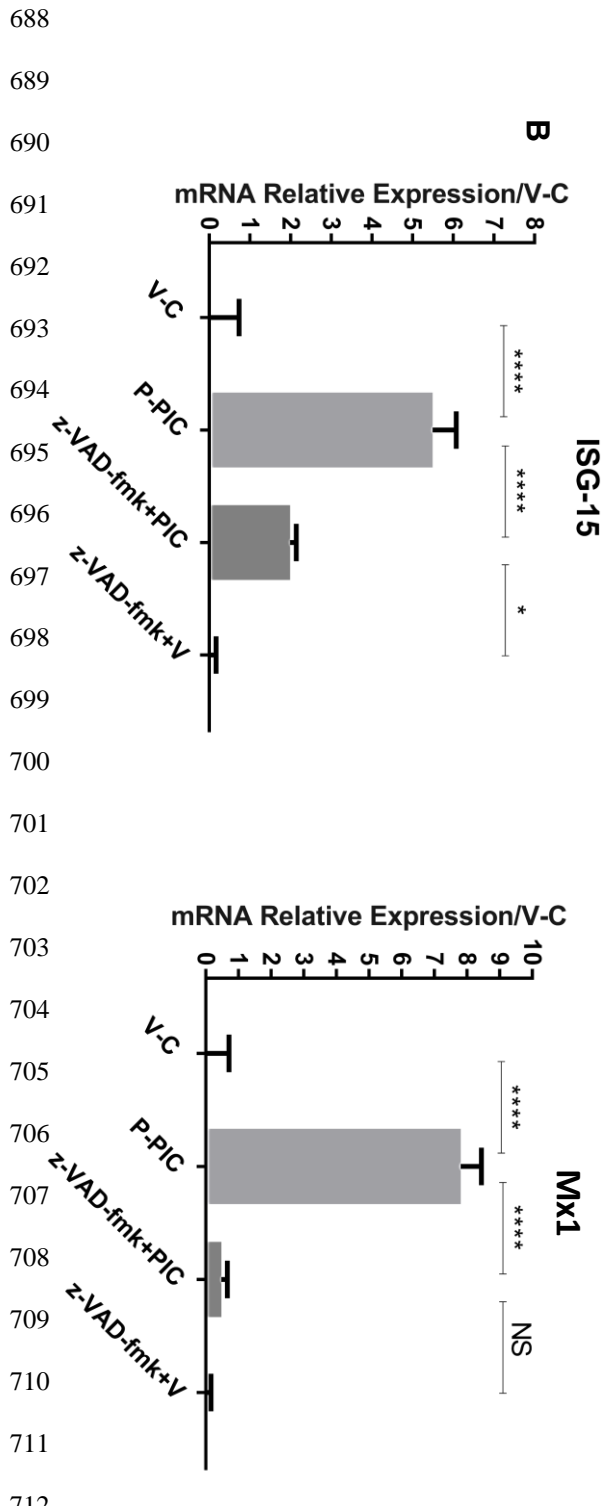
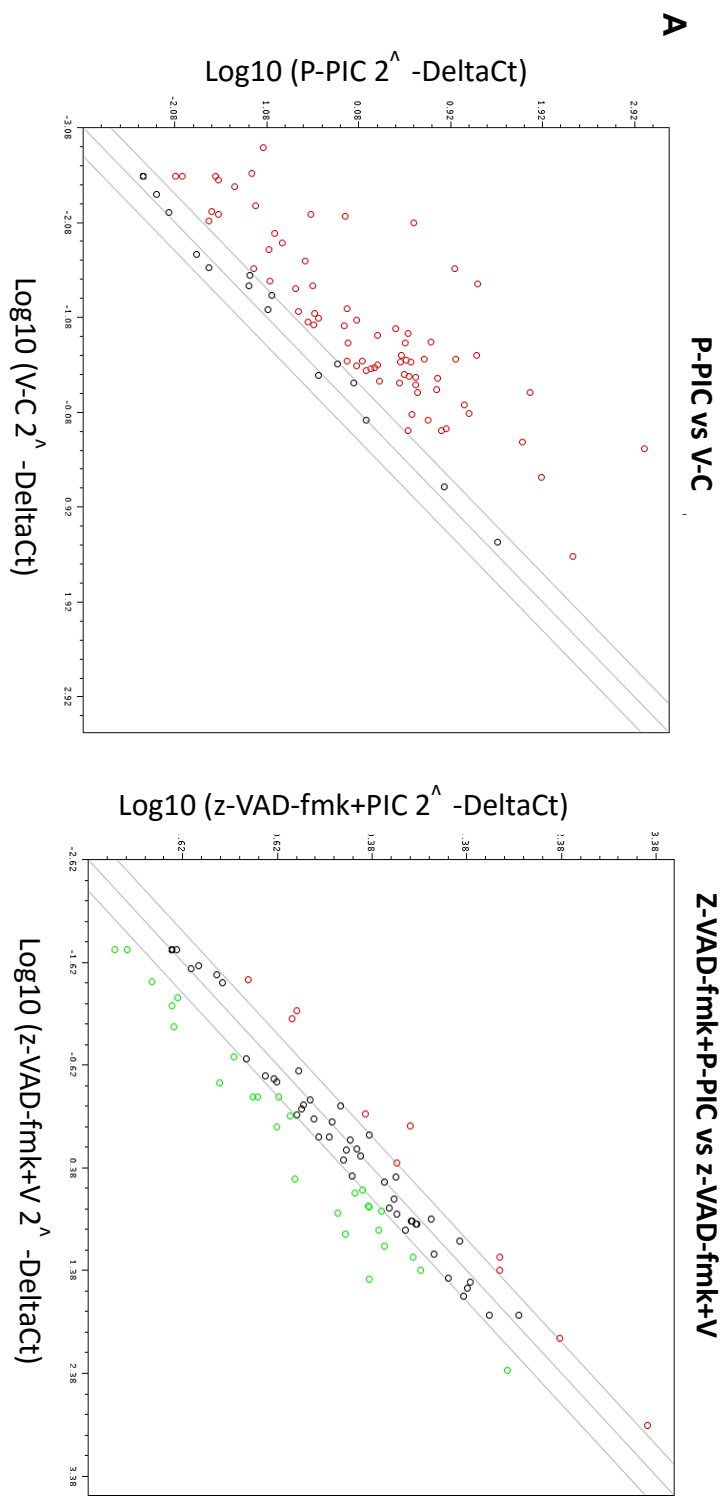


Figure 6

