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## Accepted Manuscript

The evolution, structure and function of the ray finned fish (Actinopterygii) glucocorticoid receptors

Nic R. Bury

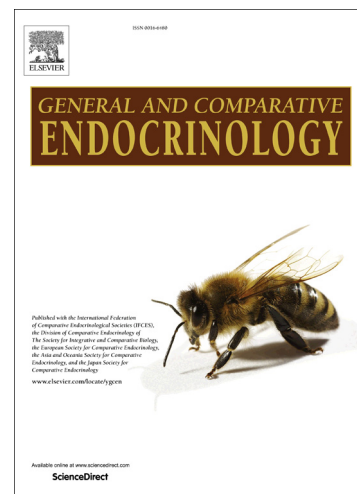
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1 **The evolution, structure and function of the ray finned fish (Actinopterygii)**

2 **glucocorticoid receptors.**

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ACCEPTED MANUSCRIPT

**15 Abstract**

16 Basal ray-finned fish (Actinopterygii) possess a single glucocorticoid receptor (GR) and  
17 when compared to the lobe-finned vertebrate (Sarcopterygii) GR possess nine additional  
18 amino acids between the zinc-finger of the DNA binding domain. A whole genome  
19 duplication event which occurred between 320-350 MYA in the teleost lineage following the  
20 split from the basal ray-finned fish resulted in 2 GRs: one GR group, GR1, has retained the 9  
21 amino acids insert whereas the other group, GR2, has not. The exception to this is the  
22 zebrafish, that have lost one of the GRs, but they do possess 2 GRs with a splice variant  
23 that lacks the C-terminal portion of the GR to form GR $\beta$  which acts as a dominant-repressor  
24 of the wildtype GR. Another splice variant sees the basal ray-finned GR and teleost GR1  
25 without the 9 amino acids insert. The molecular basis for GRs retention is beginning to be  
26 unravelled. In *Pantodon buchholzi*, rainbow trout, carp, marine and Japanese medaka GR2  
27 is more sensitive to glucocorticoids (GC), thus potentially playing a more significant role in  
28 regulating gene expression at basal circulatory GC concentrations. However, this division in  
29 GC sensitivity is not seen in other species. The few studies to evaluate the significance of  
30 the 9 amino acid insert have shown that it affect maximal transactivational activity the extent  
31 to which is dependent on the number of glucocorticoid response elements (GREs) present in  
32 the reporter plasmid. The retention of these GRs would suggest there was an evolutionary  
33 advantage, which saw the development of a complex regulatory process to mediate the  
34 actions of the glucocorticoids.

**35 1. Introduction**

36 The corticosteroid receptors (CR), which include the glucocorticoid (GR) and  
37 mineralocorticoid receptors (MR), belong to the nuclear receptor family of proteins. The  
38 genes that encode for these proteins evolved from a common ancestor steroid receptor (SR)  
39 present in the chordates, following rounds of whole genome or gene duplication events, with  
40 the CRs emerging in the vertebrate lineage approximately 500MYA. A further whole genome

41 duplication event in the teleost lineage between 320 – 350 MYA has given rise to 2 GR  
42 isoforms (Figure 1). The majority of functional and structural analysis has been carried out  
43 on the tetrapod GRs and these studies have been used to compare and contrast the  
44 properties of the basal actinopterygian (ray-finned fish) GRs and the teleost GRs (Arterberry  
45 et al 2012, Becker et al 2008, Bury et al 2003, Ducouret et al 1995, Greenwood et al 2003,  
46 Kim et al 2011, Li 2012, Miyagawa et al 2014, Oka et al 2015, Stolte et al 2008, Sturm et al  
47 2005, Sturm et al 2010, Sturm et al 2011). This short review will first describe the structure  
48 and function of the human GRs, and then briefly describe the evolution of the steroid  
49 receptors, that gave rise to the actinopterygian GRs. Finally, the structure and function of the  
50 two teleost GRs will be discussed and potential reasons for their retention proposed.

## 51 **2. Glucocorticosteroid receptor structure and function**

52 The gene encoding for the human GR is composed of 9 exons (Oakley and Cidlowski, 2011)  
53 and the translated protein is described as having 4 functional regions. The protein contains a  
54 highly conserved central DNA-binding domain (DBD) or C-domain, encoded on exon 3 and  
55 4, which contains two zinc-fingers and recognises specific palindromic DNA sequences of  
56 the glucocorticoid response element (GRE) upstream of the target genes, and is also a  
57 region important for homodimer formation. The hormone binding domain (HBD), or E-  
58 domain, and hinge region, or D-domain, are encoded on exons 5 – 9. The C-terminus HBD  
59 is also highly conserved between GRs, specifically the 22 amino acids that interact with the  
60 glucocorticoid hormones, to form the hydrophobic ligand binding pocket that characterises all  
61 GRs (Bledsoe et al 2002). The HBD is also the site of two transactivation functional sites,  
62 named activation function 2 (AF2) and  $\tau$ 2 (Hollenberg and Evans 1988; Kucera et al 2002).  
63 The D-domain, located between the DBD and HBD, is the least conserved region and is  
64 involved in protein folding. Additionally, one of two nuclear localisation signals, NL1, spans  
65 the DBD/hinge region transition; the other, NL2, is present in the HBD (Bamberger et al  
66 1996). The N-terminal transactivation domain (NTD) also known as the A/B domain, is  
67 encoded on exon 2; it is also not well conserved between the vertebrate GR, but is the site

68 of the ligand-independent AF1 site (Giguere et al 1986, Oka et al 2015, Sturm et al 2011).  
69 Classically, the inactive GRs reside in the cytoplasm as part of a large heteromeric complex  
70 which includes HSP90 and immunophilins (Heitzer et al 2007). Following ligand/receptor  
71 binding, the GR dissociates and is transferred to the nucleus where it forms homodimers,  
72 interacts with GREs and stimulates gene expression. Alternately, the GR-ligand complex  
73 may interact with less well defined negative GREs to suppress gene expression. The GRs  
74 can also interact with other transcription factors to repress or enhance gene expression  
75 (Glass and Rosenfeld, 2000).

76 However, alternate splice variants, different translation initiation sites, post-translation  
77 modifications (e.g. phosphorylation) and single nucleotide polymorphisms result in a diverse  
78 array of GR proteins (Oakley and Cidlowski, 2011). In recent years, it has become apparent  
79 that the plethora of GR isoforms have different functional properties when compared to the  
80 wild-type GR, termed GR $\alpha$ , and can influence GR $\alpha$  function or act independently (Oakley  
81 and Cidlowski, 2011). For example, in the human GR there is an acceptor splice site  
82 between exon 8 and 9 that results in a splice variant termed GR $\beta$ . GR $\alpha$  and  $\beta$  share the first  
83 727 amino acids, thereafter the GR $\alpha$  possesses a further 50 amino acids and GR $\beta$  a non-  
84 homologous additional 15 amino acids. GR $\beta$  lacks the C-terminal helix 12 and the AF2  
85 region, and so is unable to bind cortisol and induce transactivation. It does, however, form  
86 heterodimers with GR $\alpha$  to act as a dominant-negative inhibitor of GR $\alpha$  gene expression  
87 (Bamberger et al 1995), and has also been shown to directly stimulate or repress a number  
88 of genes not regulated by GR $\alpha$  (Kino et al 2009, Lewis-Tuffin et al 2007). A similar variant is  
89 present in zebrafish (*Danio rerio*), but the acceptor splice site is absent in exon 8 of other  
90 fish species and thus the presence of GR $\beta$  may be restricted to the Ostariophysi superorder  
91 in the fishes (Schaaf et al 2008). Zebrafish GR $\beta$  acts in a similar way as its vertebrate  
92 paralogue as a dominant-negative inhibitor of GR $\alpha$ , but similarly has recently been shown to  
93 regulate its own suite of genes (Chatzopoulou et al 2015). Another splice variant of the  
94 human GR $\alpha$  sees three bases retained from the intron separating exon 3 and 4, which

95 results in an additional amino acid, arginine, inserted between the two zinc fingers of the  
96 DBD this has been termed GR $\gamma$  (Ray et al 1996, Rivers et al 1999). GR $\gamma$  binds GCs with a  
97 similar affinity as GR $\alpha$ , but has an impaired ability to regulated GR transcription (Ray et al  
98 1996), despite this, in various tissues GR $\gamma$  can regulate a different subset of genes to GR $\alpha$   
99 (Oakley and Cidlowski 2011) and has been associated with GC resistance in a number of  
100 cancers (Beger et al 2003). The alternate translation start sites in exon 2 have results in 8  
101 versions of GR $\alpha$  (Oakley and Cidlowski, 2011). One of these, GR $\alpha$ -D, lacks the A/B domain,  
102 but is still active, regulating around 1800 genes in response to GCs (Oakley and Cidlowski,  
103 2011). Furthermore, single nucleotide polymorphisms in GR $\alpha$  can also significantly alter  
104 function. Such an example is in GR $\alpha$  ER22/23EK polymorphism within exon 2, where a G to  
105 A point mutation in codon 22 results in a change of arginine to lysine; in patients with this  
106 mutation there is a concurrent silent G to A point mutation in codon 23 (van Rossum et al  
107 2002). The result is a receptor with decreased hormone sensitivity, which is associated with  
108 patients with altered metabolism, risk of cardiovascular disease (DeRijk and de Kloet , 2005)  
109 and who are susceptible to depression (Panek et al 2014). The list of GR isoforms  
110 demonstrates that a wide range of regulatory strategies, including difference protein-ligand,  
111 protein-protein and protein-DNA interactions that mediate cellular specific glucocorticoid  
112 actions.

### 113 **3. Vertebrate steroid receptor evolution**

#### 114 **3.1 Hypothetical models for gene retention following duplication**

115 Whole genome duplication (WGD) events play an important role in the evolution of complex  
116 organisms. An example would be the teleosts where a WGD event occurred between 320-  
117 350MYA (Hoegg et al 2004) which has resulted in the most specious vertebrate group  
118 containing around half of all known vertebrates (Glauser and Neuhaus, 2014). A WGD is  
119 undoubtedly a dramatic event and a large proportion (80-99%) of duplicated genes are lost  
120 (Jaillon et al 2004; Kassahn et al 2009, Woods et al 2005) and hypothetical models have

121 been developed to help explain why certain duplicated genes are retained (Ohno, 1970). In  
122 the duplication-degeneration-complementation model, (Force et al 1999) mutation in the  
123 encoding region of the duplicated gene may render the gene non-functional leading to its  
124 eventual loss from the gene pool. If duplicated genes are retained then they may either  
125 partially lose their ancestral function, thus, both are required to maintain full functional  
126 activity, a process known as sub-functionalisation, or neo-functionalisation may emerge  
127 where one gene retains the original function and the other alters to acquire a new function. A  
128 further model to explain retention of genes via sub-functionalisation termed escape from  
129 adaptive conflict (DesMarais and Ruahser, 2008) sees adaptive evolution driving changes in  
130 the two genes. Thus, in this scenario both paralogues are released from the potential  
131 negative effect of each other and both evolve to improve the subfunctional properties they  
132 carry out. Following a WGD the relative ratio of genes in a pathway is not altered. However,  
133 a disparity in this ratio will occur once mutations in one paralogue lead to altered function.  
134 Gene dosage, or maintenance of gene ratios, is thus an alternative mechanism by which  
135 duplicate genes may be retained and is hypothetically important for genes encoding proteins  
136 that function in gene pathways or networks, such as nuclear steroid receptors (Conant and  
137 Wolfe et al 2007).

### 138 **3.2. Chordate and early vertebrate steroid receptors**

139 The ancestral steroid hormone receptor (SR) is proposed to be “estrogen-like” and  
140 orthologs of ER genes are present in a number of invertebrate including the gastropod  
141 *Aplysia californica* (Thomton et al 2003) and octapod *Octopus vulgaris* (Keay et al 2006),  
142 however, both ERs are constitutively active and do not respond to estrogens. In the  
143 cephalochordate, *Branchiostoma floridae*, there are two hormone receptor orthologs termed  
144 a steroid receptor (bfSR) and estrogen receptor (bfER) (Bridgham et al. 2008). The hormone  
145 transactivational properties of these two receptors have been characterised through the  
146 method of cloning into a mammalian expression vector and assessing the activity of the  
147 recombinant proteins following transfection into mammalian cells along with reporter plasmid

148 containing either vertebrate estrogen response elements (ERE) or GRE upstream of the  
149 luciferase gene. Estrogen stimulated bfSR transactivation in the presence of the ERE  
150 containing plasmid, but not the GRE plasmid, where as GCs did not. In contrast, the bfER  
151 was transcriptionally unresponsive. However, the bfER acted as a negative regulator of  
152 bfSR, (Bridgham et al 2008) and would appear to play an analogous role to GR $\beta$ , which acts  
153 as a dominant-inhibitor of GR $\alpha$  gene activity in humans and zebrafish (Schaaf et al 2008).

154 Extant members of the earliest vertebrates, the agnathans (the hagfish and lamprey),  
155 possess 3 steroid hormone receptors that are homologous to the estrogen (ER),  
156 progesterone (PR) and corticosteroid receptors (CR) of other vertebrates (Bridgham et al  
157 2006). The ER, PR and CR emerged following the duplication of cephalochordate SR and  
158 ER as a consequence of a WGD event early in the vertebrate lineage (Kuraku et al 2009). In  
159 transactivation studies the CR of the lamprey (*Petromyzon marinus*) and hagfish (*Myxine*  
160 *glutinosa*) is functional being activated by various corticosteroids such as cortisol,  
161 corticosterone, 11-deoxycortisol, 11-deoxycorticosterone, aldosterone and to a lesser extent  
162 by progestins, but not the androgens or estrogens (Bridgham et al 2006). In lamprey, 11-  
163 deoxycortisol has been shown to be an active glucocorticoid, elevating plasma glucose, and  
164 a mineralocorticoid, aiding ionoregulation (Close et al 2010). Computational analysis of the  
165 ligand binding pocket of lamprey CR by Baker et al (2011) identified that leucine-220 and  
166 methionine-299 made significant interactions between hydroxyl group on C17 of 11-  
167 deoxycortisol and the receptor (Close et al 2010), supporting the hypothesis that this steroid  
168 is the active corticosteroid in these ancient vertebrates.

169 The phylogenetic relationship between the lamprey and hagfish is debated (Bury et al 2016,  
170 Thomson et al 2014). Original classification suggested paraphyly with the lamprey being  
171 more closely related to the jawed fishes. However, recent molecular evidence suggest  
172 monophyly, with lampreys and hagfish forming a sister clade (Heimberg et al 2010),  
173 however, this has also been questioned (see Bury et al 2016 for further details). If these two  
174 groups are monophyletic it would suggest that the ancestral vertebrate was common to both,

175 as well as the gnathostomes. In this scenario it would appear that the lamprey has retained  
176 many of the ancestral features that also are present in extant gnathostomes, where as the  
177 hagfish has undergone a remarkable loss of these ancestral traits. For example, in the  
178 lamprey there is fully functional pituitary-interrenal axis, similar to that seen in the teleosts,  
179 which synthesises corticosteroid (Takahashi et al 2013) and produces an increase in  
180 circulatory concentrations in response to a stressor. A physiological role has also been  
181 identified (Close et al 2010). However, even though the hagfish possess a gene encoding for  
182 a CR (Bridgham et al 2006), the site of synthesis of corticosteroids has yet to be properly  
183 identified (Idler and Burton, 1976). Elevated circulating levels of corticosteroids equivalent to  
184 those measured in other vertebrates have seldom been recorded (Weisbart and Idler, 1970)  
185 and a physiological role of corticosteroids has not been identified (Bury et al 2016). Very few  
186 studies have tried to identify a role of the corticosteroids in the Myxini. Hagfish, in contrast to  
187 all other vertebrates, are osmoconformers in terms of  $\text{Na}^+$  and  $\text{Cl}^-$ , but do regulate divalent  
188 ions and sulphate (Belamy and Jones, 1961). Interperitoneal administration of known  
189 corticosteroids (cortisol, corticosterone or 11-deoxycorticosterone) had little effect on their  
190 ability to deal with a sulphate challenge if a sulphate challenge was administered then no  
191 changes in plasma 11-deoxycortisol, the active corticosteroid in lamprey, was observed  
192 (Clifford et al unpublished results). Similarly, a stress protocol induced a rise in plasma  
193 glucose, but had no effect on plasma 11-deoxycortisol (Clifford et al unpublished results) and  
194 only after 7 days following administration of 11-deoxycorticosterone was there a minor  
195 increase in plasma glucose observed (Bury et al 2016). Consequently, an active  
196 corticosteroid system has yet to be clearly identified and characterised in Myxini.

### 197 **3.3. Sarcopterygian glucocorticoid and mineralocorticoid receptors.**

198 There have been two further whole-genome duplication (WGD) events in the vertebrate  
199 lineage, one early on in the split from the chordates (Kuraku et al 2009) and the other in the  
200 Teleostei lineage (Hoegg et al 2004). The first of these WGD events resulted in duplicated  
201 CRs from which emerged the mineralocorticoid (MR) and glucocorticoid (GR) receptors

202 present in all extant vertebrates. The profile of steroid induced transactivation of the  
203 agnathan CR is similar to that of vertebrate MRs and would suggest that the ancestral CR  
204 was “MR-like” (Bridgham et al 2006). Sequence alignment and Maximum-Likelihood  
205 phylogenetic analysis of all known CRs has allowed for the prediction of the ancestral CRs at  
206 important nodes in vertebrate evolution (Bridgham et al 2006; Bridgham et al 2009; Carroll et  
207 al 2011). By characterising these predicted ancestral proteins and introducing site-directed  
208 mutations to engineer the molecules’ evolutionary trajectories it has been possible to identify  
209 the permissive sequence of amino acid mutations in the ligand binding pocket region that  
210 conferred GR preferentially binding cortisol or corticosterone over aldosterone in the  
211 Osteichthyes (Bridgham et al 2006, Bridgham et al 2009, Harms and Thornton, 2014,  
212 Ortlund et al 2007) and the binding to  $1\beta$ -hydroxycorticosterone in Chondrichthyes (Carroll et  
213 al 2008). The divergence in vertebrate GR and MR hormone selectivity is a potential way in  
214 which neofunctionalisation emerged. However, for the MRs mineralocorticoid specific action  
215 to evolve a further sequences of events was required. There are two  $11\beta$ -HSD enzyme  
216 which catalyse the reaction that converts cortisol to cortisone:  $11\beta$ -HSD Type 1 catalyses a  
217 reversible reaction, whereas  $11\beta$ -HSD type 2 catalyses an irreversible reaction which  
218 reduces cellular cortisol concentrations by converting this hormone to the inactive cortisone.  
219 In mineralocorticoid responsive tissue such as epithelium involved in ionoregulation the MR  
220 and  $11\beta$ -HSD type 2 are co-expressed to allow for the specific action of aldosterone  
221 (Whorwood et al 1994).

#### 222 **3.4. The ray-finned fish (Actinopterygii) glucocorticoid receptors**

223 The genome of the spotted gar (*Lepisosteus oculatus*) possesses 1 GR, and a GR has also  
224 been cloned other basal ray-finned fish, a tropical gar (*Atractosteus tropicus*) (Oka et al  
225 2014) and a sterlet (*Acipenser ruthenus*) (Li et al 2012). The sterlet GR shows a 9 amino  
226 acid insert between the zinc fingers of the DBD and this is encoded on exon 4 of the spotted  
227 gar gene (ENSLOCT00000012909). This insert is not present in the hagfish or lamprey CR  
228 (Bridgham et al 2006), Chondrichthyes GR (Carroll et al 2008) or tetrapod GRs (Hollenberg et

229 al 1985). Li et al (2012) and Oka et al (2015) reported only a single transcript of GR in the  
230 sterlet and tropical gar, respectively. However, ENSEMBL predicts a splice variant for the  
231 spotted gar GR that lacks this insert, thus there may be 2 GR variants in these ancient ray-  
232 finned fishes.

233 The WGD in the teleost lineage 350MYA (Jaillon et al 2004) resulted in further duplications  
234 of the GR and MR receptors. Extant teleosts appear to have lost one of the MR duplicates  
235 as only one MR is present in those fish whose genomes have been sequenced. Li et al  
236 (2012) identified two GRs in an extant member of the basal teleost the Osteoglossimorph,  
237 *Pantodon buchholzi* – an order that split from the acipensideridae and was derived following  
238 the teleost WGD (Hoegg et al 2004). They identified two isoforms of GR, one termed GR1  
239 containing the 9 amino acid insert between the DBD, previously observed in the basal ray  
240 finned fish, and one without termed GR2, in addition a splice variant of GR1 lacking the 9  
241 amino acids was also identified (Li et al 2012). Whole genome sequencing of teleosts and  
242 further cloning of full-length fish GRs has revealed that the majority of fish have retained  
243 duplicated GRs (Figure 1; Supplementary Material Table and Figure; Bury et al 2003,  
244 Greenwood et al 2003, Kim et al 2011, Miyagawa et al 2014, Stolte et al 2007,). The GRs  
245 split into two groups; those possessing this 9 amino acids between the zinc fingers of the  
246 DBD, GR1, and those that do not, GR2 (Figure 1). Similar to the spotted gar these amino  
247 acids are encoded on a separate exon [e.g. exon 5, Stickleback (*Gasterosteus aculeatus*)  
248 GR1 (ENSGACT00000027452); exon 3, Fugu (*Takifugu rubripes*)  
249 (ENSTRUT00000015714); exon 3 Platyfish (*Xiphophorus maculatus*)  
250 (ENSXMAT0000001516); exon 3 cavefish (*Astyanax mexicanus*)  
251 (ENSAMXT00000020636); exon 3, Amazon molly (*Poecilia formosa*)  
252 (ENSPFOT00000005871), exon 4 Nile tilapia (*Oreochromis niloticus*)  
253 (ENSONIT00000010671); exon 19 Cod (*Gadus morhua*) (ENSGMOT00000019605)]. There  
254 are reports of splice variant of the teleost GR1 without these amino acids, thus there are 3  
255 GRs present in teleost fish, a GR1, termed GR1a, and a splice variant of GR1, termed Gr1b

256 and GR2 (Greenwood et al 2003, Li et al 2012, Miyagawa et al 2014, Stolte et al 2008,  
257 Takeo et al 1996). The number of genes retained following duplication is disputed, but is  
258 estimated to be between 1 and 20% (Aparico et al 2002, Kassahn et al 2009, Woods et al  
259 2005), thus, an assumption would be that the retention of 2 GRs in teleosts following the  
260 WGD event 350 MYA (Hoegg et al 2004) offered an evolutionary advantage.

261 The exception to this is the zebrafish which have one gene encoding a GR, which lacks the  
262 9 amino acid insert, and groups with the teleost GR2 (Schaaf et al 2008). It is not known if  
263 the loss of a GR is seen in other fishes or restricted to the zebrafishes. The GRs of only 3  
264 species of the Ostariophysii, to which the zebrafish belong, have been cloned to date and all  
265 are in the cyprinidae. Filby and Tyler (2007) report the cloning of 1 GR in fathead minnow,  
266 however, in contrast Stotle et al (2007) reports duplicated GRs in the common carp. A  
267 further common carp WGD event occurred relatively recently 8MYA (Li et al 2015), which  
268 may account for the duplicated GR. However, analysis of 1757 recently duplicated common  
269 carp genes identified by Li et al (2015) shows that the paralogues amino acid sequences are  
270 90% similar. By contrast there is only 57% similarity between the amino acids of carp GRs  
271 (Stolte et al 2007). In addition, the common carp GR1 possess the extra 9 amino acid insert  
272 characteristic of this teleost GR group (Stolte et al 2007) and the other GR groups with the  
273 other teleost GR2s (Figure 1). Consequently, the most parsimonious conclusion is that the  
274 two GRs in common carp are not from this recent carp lineage WGD and that a loss of the  
275 GR only occurs in the lineage of fishes that includes the zebrafish following the split from the  
276 common carp.

#### 277 **3.4.1. Teleost glucocorticoid receptor DNA binding domain**

278 The significance of this actinopterygian lineage specific 9 amino acid insert in the DBD of GR  
279 has been puzzling since its discovery in the rainbow trout GR1 by Ducouret et al (1995). The  
280 9 amino acids generate an additional loop that resides outside of the protein (Wickert and  
281 Selbig, 2002) and transactivational activity indicates that this extra loop does not affect

282 recognition of consensus GREs (Ducouret et al 1995). Functional studies on the  
283 transactivational properties of the GR1a and GR1b splice variants do show some differences  
284 in activity, but this is species specific and depends on the structure of cis-regulatory region.  
285 Takeo et al (1996) showed that both rainbow trout GR1 splice variants were active in the  
286 presence of cortisol and dexamethasone using a reporter plasmid containing the full-length  
287 mouse mammary tumor virus (MMTV) long terminal repeat sequence. Using a different  
288 reporter plasmid, but one that also contains the MMTV sequence, Miyagawa et al (2014)  
289 found that the transactivational activity EC50 for the Japanese medaka GR1 splice variants  
290 increased and there was a decrease in fold induction (Miyagawa et al 2014). The presence  
291 of the insert in *Haplochromis burtonii* GR1 results in a reduction in the maximal  
292 transcriptional activity in a system using a reporter plasmid containing 3 GREs (Greenwood  
293 et al 2003), and in the rainbow trout differences between the splice variants emerge, with  
294 reporter plasmids possessing fewer GREs. Lethimonier et al (2012) showed that the splice  
295 variant lacking the insert is unable to interact with a single GRE, but function is restored with  
296 the reporter plasmid contains two GREs; with the full length GR1 activates both (Lethimonier  
297 et al 2002).

298 There are species within the GR2 group that also possess additional amino acids insertion in  
299 the DBD. For example, the first study to clone a second GR in a teleost fish found that the  
300 rainbow trout GR2 has an insertion of 5 amino acids, GTGAR, in this region (Bury et al  
301 2003). Subsequent sequencing identified a similar insertion in another salmonid, Atlantic  
302 Salmon (Supplementary Material Table). The salmonid lineage has experienced a WGD  
303 event approximately 50 – 80 MYA (MacQueen and Johnson, 2014), but this 5 amino acid  
304 insertion is not a consequence of this event and is present in other Protacanthopterygii, such  
305 as the Esociform, the European Pike (*Esox lucius*) (Supplementary Material Table). Kim et  
306 al (2011) generated a marine medaka GR2 mutant that contained the addition of the 9 amino  
307 acids present in GR1 and assessed transactivational activity and ability of the receptors to  
308 bind transcription co-regulators, GRIP 1, a co-activator (Avenant et al 2010), and SMILE a

309 co-repressor (Xie et al 2009). The co-transfection of each receptor with plasmids expressing  
310 mouse GRIP enhanced activity, whereas mammalian SMILE repressed activity, as  
311 expected, however, the GR2 mutant containing the 9 amino acids showed increased  
312 transcriptional activity compared to GR2 wild type, demonstrating the significance of the  
313 insert in regulating transcriptional activity.

314 The significance of these variations in the GR DBD is currently not clear, however due to the  
315 fact they are present in basal actinopterygians and early teleosts, and have been retained in  
316 almost all other extant teleosts it is suggested that they offer an evolutionary advantage.  
317 Whether this has enabled the GR isoforms to recognise different response elements and be  
318 retained via subfunctionalisation or whether they have co-evolved with changes in the non-  
319 coding regulatory regions of genes to control different gene pathways of the ancestral  
320 vertebrate GR awaits further study. Interestingly, a recent study by Kiillerich et al (2015)  
321 identified that the rainbow trout MR, which is also activated by cortisol and in the absence of  
322 defined teleost mineralocorticoid or function for MR (Takahashi and Sakamoto, 2013) could  
323 be described as a third teleost GR, is a repressor of both rtGR1 and rtGR2 transcriptional  
324 activity (Figure 3). Similar observations have been made with the mammalian GR and MR  
325 and the repressor activity is due to GR/MR heterodimerisation that disrupts the self-  
326 synergistic interactions between the N-terminal of 2 GRs when bound as a homodimer (Liu  
327 et al 1995). However, point mutations in the DBD of the MR suggests that in trout the  
328 dominant-negative effect of rtMR on the rtGRs is associated with DNA recognition. This  
329 effect was more prominent in the rtGR2 and if plasmids containing 1 or 2 GREs were used  
330 (Kiillerich et al 2015). If GR1a and b, GR2, MR co-localise then there is the potential for 6  
331 different heterodimers that could dampen or enhance the transcriptional activity of one or  
332 other of the receptors (Figure 3). Nuclear receptor heterodimer formations is a common  
333 mechanism of transcription regulation in other receptors (RXR, VDR, PPAR and TR  
334 (Gronemeyer et al 2004) and thus may also be an important regulatory mechanism to  
335 mediate the action of GCs via GR1 and GR2.

### 336 3.4.2. Teleost glucocorticoid receptor hormone sensitivity

337 The first GR2 to be cloned and characterised was from the Rainbow trout, and showed  
338 distinct differences in functionality between itself and rtGR1 - rtGR2 had a greater increase  
339 in hormone transcriptional activity at equimolar hormone concentrations and increased  
340 sensitivity (Bury et al 2003). The ability to repress NFκB transcriptional activity also occurred  
341 at lower dexamethasone concentrations with GR2 (Bury and Sturm 2007) and the movement  
342 of GR2 from the cytoplasm to the nucleus also occurred at lower hormone concentrations  
343 (Becker et al 2008). In this initial study (Bury et al 2003) a cell free expression system was  
344 used to assess receptor hormone binding and found no difference in affinity for  
345 dexamethasone between the two GRs. However, a subsequent study using a cell line  
346 expression system found rtGR2 to have an increased hormone binding affinity (Sturm et al  
347 2011). This difference in sensitivity is not restricted to the salmoniformes (Table 1) and a  
348 similar transactivation activity pattern is seen with the two GRs from the basal teleost *P.*  
349 *buchholzi* (Li et al 2012), carp (Stolte et al 2007), marine medaka (*Oryzias dacena*) (Kim et  
350 al 2011), and the Japanese medaka (*Oryzias latipes*) GRs show a remarkable 10 000 fold  
351 difference in the cortisol EC50 for transactivational activity (Miyagawa et al 2014). Focusing  
352 on the rainbow trout GRs, two papers by Sturm et al (2010, 2011) aimed to identify the  
353 molecular signatures that conferred the difference in the rtGR transcriptional sensitivity to  
354 glucocorticoids. Deletion of the NTD reduced transactivational activity to 2% of the wild type  
355 (Sturm et al 2010), as is seen with mammalian GRs (Giguere et al 1986), but did not alter  
356 the sensitivity, suggesting that the HBD plays a significant role. This was confirmed with  
357 chimeric constructs where by the HBD of the two receptors where exchanged and the  
358 chimera that contained the HBD of either GR1 or GR2 resembled the sensitivity of the  
359 respective wild type (Sturm et al 2011). When 3 sub-regions of the HBD were exchanged it  
360 was apparent that each contributed to the differences in sensitivity. However, the C-terminal  
361 extremity of GR1 differs to GR2, with Ala and Leu (AL) replacing the consensus C-terminus  
362 sequence GluLys (QK) (Supplementary Material Figure) and also containing an additional 6

363 amino acids. When these 6 amino acids are deleted and AL converted to QK the mutant  
364 GR1 increases its hypersensitivity by 4.1 fold (Sturm et al 2011). Using the crystal structure  
365 of the dexamethasone bound GR hormone binding domain Bledsoe et al (2002) indentified  
366 that a  $\beta$  strand situated after the AF2 located in helix 11 and 12 at the C-terminal region  
367 interacted with a  $\beta$ -strand located between Helix 8 and 9 in the HBD to stabilise the active  
368 AF2 configuration. Thus, these additional amino acids of rtGR1 may have the potential to  
369 affect the stability of the active receptor. Other protacanthopytergian GR1, including the  
370 salmonids, Atlantic salmon (*Salmo salar*) and Brown trout (*Salmo trutta*), the coregonid,  
371 Marena whitefish (*Coregonus maraena*) and esocid, European pike (*Esox lucius*) also  
372 possess additional amino acids at the C-terminus (see Supplementary Material Figure).  
373 Interestingly, the hyposensitive GR1 of *P. buchholzi* possesses the conserved QK, but an  
374 additional 21 amino acids. However, there is always an exception and the Japanese medaka  
375 GR1 possess no additional amino acids in this region but do have the amino acids SS at the  
376 N-terminus as opposed to the consensus QK (Supplementary Material Table).

377 These differences led to a hypothesis that the two teleost GRs had been retained due to a  
378 difference in their hormone sensitivity, with the hypersensitive GR playing a more prominent  
379 role in regulating gene pathways during periods of basal circulatory concentrations of  
380 hormone (unstressed), with the hyposensitive GR becoming more prominent during stressful  
381 stimuli when plasma hormone concentrations are elevated (Bury et al 2003). The  
382 observation of hypo and hyper-sensitive GRs in an extant member of one of the first teleost  
383 groups to emerge following the teleost lineage WGD would support this hypothesis.  
384 However, Greenwood et al (2003) reports no significant difference in EC50 for cortisol for the  
385 two *Haplochromis burtonii* GRs (Table 1).

#### 386 **4. Conclusion**

387 The teleost WGD event resulted in the duplication of the vertebrate GR and MR and two  
388 GRs have been retained in the majority of teleost fish studied so far. The molecular basis for

389 this retention has yet to be fully understood. The cloning of the first GR2 and functional  
390 characteristic analysis of subsequent GR2 suggested that there is one mechanism for  
391 differential regulation of gene networks maybe via differences in the transcriptional activity  
392 sensitivity of the 2 GRs, (Bury et al 2003). This maybe the case in the rainbow trout (Bury et  
393 al 2003), carp (Stolte et al 2007) and beloniforms (Kim et al 2011, Miyagawa et al 2014), but  
394 was not observed in a cichlidae (Greenwood et al 2003) and thus is not a universal  
395 explanation for the retention of the two GRs. The only common feature is the 9 amino acid  
396 insert in the teleost GR1 group. Very little work has been carried out to assess the  
397 significance of this insertion, but those studies that have suggest that the insertion does  
398 indeed affect transcriptional responses, however, this is dependent on species and the  
399 number of GRE upstream of reporter genes (Lethimonier et al 2002, Greenwood et al 2003,  
400 Miyagawa et al 2014). An interesting observation is the effect of heterodimer formation in  
401 regulation of receptor function. In the earliest active SR reported from a cephalochordate, its  
402 transcriptional activity is repressed in the presences of a non-active ER (Figure 3A.,  
403 Bridgham et al 2008). The ability to repress GR activity also appears to be of importance in  
404 vertebrates. In humans, a splice variant GR $\beta$ , which lacks the C-terminal region, is a  
405 negative-dominant repressor of GR $\alpha$  (Figure 3B, Bamberger et al 1996). In zebrafish, which  
406 have lost the second GR found in other teleost fishes, convergent evolution sees the  
407 emergence of a similar GR $\beta$  to that in humans that also acts as a repressor of zebrafish  
408 GR $\alpha$  activity (Figure 3B, Schaaf et al 2008). To date, the zebrafish are the only fish species  
409 known to have “human-like” GR $\beta$ , but GR activity repression may occurs via a different route  
410 in other fish species, with Kiilerich et al (2015) showing that in rainbow trout the MR, which  
411 also binds cortisol and can activate GREs in vitro (Sturm et al 2005), can act to repress GR1  
412 and GR2 transactivation

413 The retention of teleost GRs suggests some evolutionary advantage that saw the  
414 development of a more complex regulatory process to mediate the actions of  
415 glucocorticoids. This may be due to either difference between the GRs in their sensitivity to

416 hormones, their DBD recognising different GREs, or heterodimer formation altering the  
417 functional properties and in doing so altering the ability to activate genes. However, the  
418 regulation of tissue specific GC actions maybe even more complex, due to the fact that a  
419 number of vertebrate GR proteins have been identified that lack portions of the NTD due to  
420 different translation initiation sites and post-translational modifications will affect functionality  
421 (Oakley and Cidlowski, 2011).

422

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640

641 **Figure 1.** Phylogenetic tree using 75 full length actinopterygian GRs and hagfish CR. Tree  
642 was constructed with the Maximum-Likelihood methods in MEGA6 (Tamura et al 2013)  
643 using the Jones-Taylor Thornton model and nearest-neighbour interchange. Bootstrap  
644 values are reported based on 800 replicates. The tree shows clearer the two GR1 and GR2  
645 groups with GRs from orders of fish clustering. The hagfish CR is separate and the basal  
646 actinopterygian GRs (*Acipenser ruthenus*, *Lepisosteus oculatus* and *Atractoseus tropicus*)  
647 group together between the teleost GR1 and GR2.

648

649 **Figure 2.** The consensus amino acid sequence spanning the two zinc fingers of the teleost  
650 GR. A. Represents the 5 additional amino acid insert of GR2 observed in Salmoniformes  
651 and Escoiformes. B. Represents the 9 amino acid insert seen in the GR1 group (also see  
652 Supplementary Material Figure), splice variants of GR1 exist where these 9 amino acids are  
653 absent.

654

655 **Figure 3.** Evidence for repression of steroid receptor function due to heterodimer formation.  
656 A. The situation in the cephalochordate where a steroid receptor (SR) is transactivationally  
657 active (represented by a solid arrow) in the presence of estrogens in contrast the estrogen  
658 (ER) is inactive (represented by an arrow with a cross), but acts a repressor (represented by  
659 a dashed arrow) of SR activity. B. In humans and zebrafish a splice variant in exon 8 forms a  
660 truncated glucocorticoid receptor (GR), termed GR $\beta$ , that acts as a repressor of GR $\alpha$   
661 activity. There is also evidence of MR/GR heterodimer formation repressing GR activity. C.  
662 In teleost fish, the situation is more complex, the mineralocorticoid receptor (MR) has been  
663 shown to be transcriptionally active, but represses the actions of GR1 and GR2. It is unclear  
664 (represented by a dashed arrow with a question mark) how the various isoforms of GR in  
665 fishes influenced each others, either via repression or enhancement, function.

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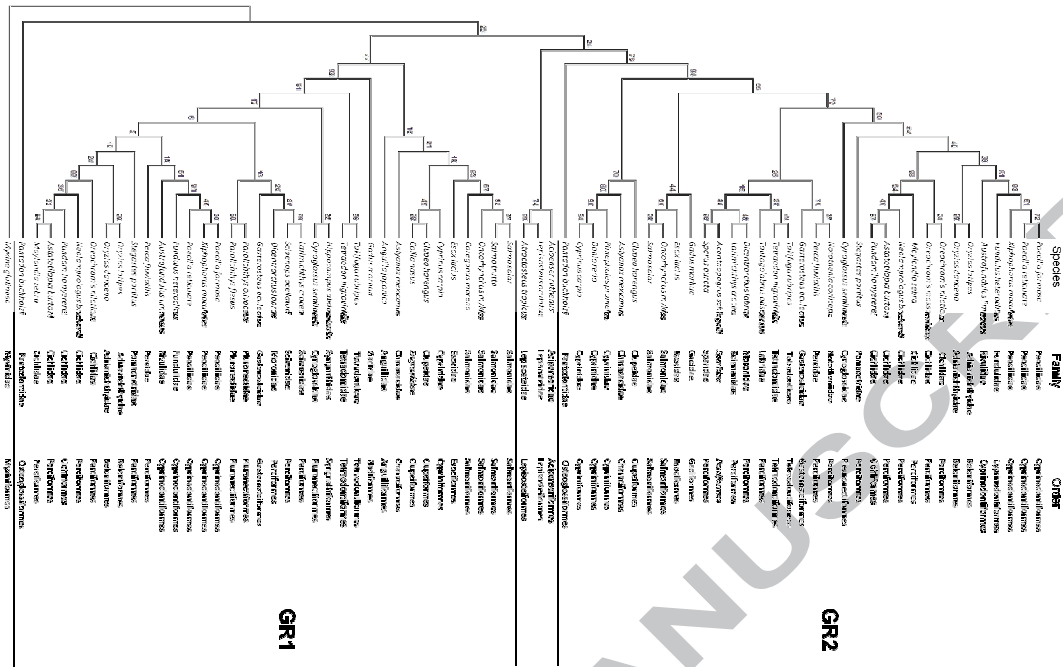


Figure 1

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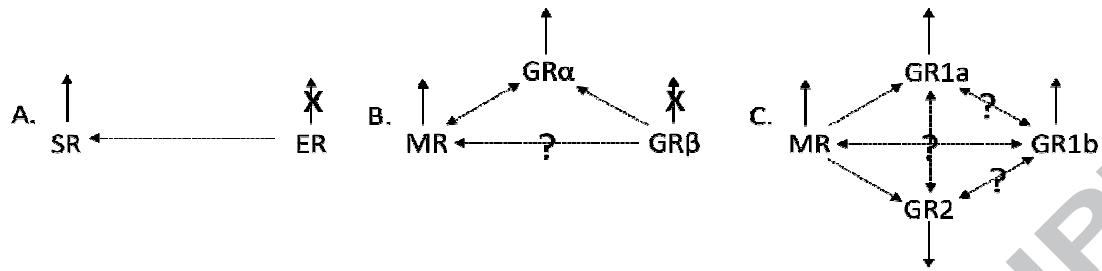
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677 Figure 3

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**Table 1** Transactivation EC50 values for full length actinopterygii GRs.

Species	EC50		Reference
	GR1	GR2	
Rainbow trout ( <i>Oncorhynchus mykiss</i> )	46 ± 12nM <sup>a</sup> 4.4 nM <sup>b</sup>	0.72 ± 0.87nM <sup>a</sup> 0.6nM <sup>b</sup>	Bury et al (2003) Sturm et al 2011
Common Carp ( <i>Cyprinus carpio</i> )	7.1 ± 2.9nM <sup>a</sup> 2.4 ± 3.8nM <sup>b</sup>	2.4 ± 0.4nM <sup>a</sup> 0.7 ± 1.4nM <sup>b</sup>	Stolte et al (2007)
Marine medaka ( <i>Oryzias dancena</i> )	21.8 ± 1.1nM <sup>a</sup>	9.9 ± 2.5nM <sup>a</sup>	Kim et al (2011)
Japanese medaka ( <i>Oryzias latipes</i> )	57nM <sup>a</sup>	0.00085nM <sup>a</sup>	Miyagawa et al 2014
<i>Haplochromis burtoni</i>	5.4nM <sup>a</sup>	3.6nM <sup>a</sup>	Greenwood et al (2003)
<i>Pantodon buchholzi</i>	10.4 ± 1.4nM <sup>a,1</sup> 12.0 ± 1.3nM <sup>b,1</sup>	2.7 ± 0.6nM <sup>a</sup> 1.5 ± 0.4nM <sup>b</sup>	Li et al (2012)
Zebrafish ( <i>Danio rerio</i> )	10.1nM <sup>a</sup> ; 0.37nM <sup>b</sup>	<b>GR</b>	Schaaf et al 2008
Tropical gar ( <i>Atractosteus tropicus</i> )	1.3nM <sup>a</sup> , 0.15nM <sup>b</sup>		Oka et al (2015)
Sterlet ( <i>Acipenser ruthenus</i> )	21.6 ± 3.1nM <sup>a</sup> , 2.2 ± 0.7nM <sup>b</sup>		Li et al (2012)

a – cortisol, b – dexamethasone. 1 – EC50 for GR1b which lacks the 9amino acid insert.

679 **Highlights**

680

681 The majority of teleost fish possess 2 glucocorticoid receptors termed GR1 and GR2

682 GR1 possess a 9 amino acids (aa) inserts between the zinc fingers of the DNA binding  
683 domain

684 The 9 aas are absent in teleost GR2, as well as tetrapod and Chondrichthyes GRs

685 The 9 aa insert is unique to the Actinopterygii being also present in basal ray-finned fish.

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