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DOI:

[10.1007/s00213-015-4078-8](https://doi.org/10.1007/s00213-015-4078-8)

Document Version

Early version, also known as pre-print

[Link to publication record in King's Research Portal](#)

Citation for published version (APA):

Pollak, T., De Simoni, S., Barimani, B., Zelaya, F. O., Stone, J. M., & Mehta, M. A. (2015). Phenomenologically distinct psychotomimetic effects of ketamine are associated with cerebral blood flow changes in functionally relevant cerebral foci: A continuous arterial spin labelling study. *Psychopharmacology*, 232(24), 4515-4524. <https://doi.org/10.1007/s00213-015-4078-8>

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2	Article Sub- Title		
3	Article Copyright - Year	Springer-Verlag Berlin Heidelberg 2015 (This will be the copyright line in the final PDF)	
4	Journal Name	Psychopharmacology	
5		Family Name	Pollak
6		Particle	
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<hr/>			
53		Received	28 May 2015
54	Schedule	Revised	
55		Accepted	7 September 2015
<hr/>			
56	Abstract	<p>Rationale: The N-methyl-D-aspartate (NMDA) receptor antagonist ketamine provides a pragmatic approach to address the link between glutamate-mediated changes in brain function and psychosis-like experiences. Most studies using PET or BOLD fMRI have assessed these symptoms broadly, which may limit inference about specific mechanisms.</p> <p>Objectives: The objective of this study is to identify the cerebral blood flow (CBF) correlates of ketamine-induced psychopathology, focusing on individual psychotomimetic symptom dimensions, which may have separable neurobiological substrates.</p> <p>Methods: We measured validated psychotomimetic symptom factors following intravenous ketamine administration in 23 healthy male volunteers (10 given a</p>	

lower dose and 13 a higher dose) and correlated ketamine-induced changes in symptoms with regional changes in CBF, measured non-invasively using arterial spin labelling (ASL).

Results: The main effect of ketamine paralleled previous studies, with increases in CBF in anterior and subgenual cingulate cortex and decreases in superior and medial temporal cortex. Subjective effects were greater in the high-dose group. For this group, ketamine-induced anhedonia inversely related to orbitofrontal cortex CBF changes and cognitive disorganisation was positively correlated with CBF changes in posterior thalamus and the left inferior and middle temporal gyrus. Perceptual distortion was correlated with different regional CBF changes in the low- and high-dose groups.

Conclusions: Here, we provide evidence for the sensitivity of ASL to the effects of ketamine and the strength of subjective experience, suggesting plausible neural mechanisms for ketamine-induced anhedonia and cognitive disorganisation.

57 Keywords separated by ' - ' Glutamate receptor - NMDA Receptor - Neuroimaging - Cerebral blood flow

58 Foot note information

Phenomenologically distinct psychotomimetic effects of ketamine are associated with cerebral blood flow changes in functionally relevant cerebral foci: a continuous arterial spin labelling study

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Received: 28 May 2015 / Accepted: 7 September 2015
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9
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Abstract

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Rationale The N-methyl-D-aspartate (NMDA) receptor antagonist ketamine provides a pragmatic approach to address the link between glutamate-mediated changes in brain function and psychosis-like experiences. Most studies using PET or BOLD fMRI have assessed these symptoms broadly, which may limit inference about specific mechanisms.

Objectives The objective of this study is to identify the cerebral blood flow (CBF) correlates of ketamine-induced psychopathology, focusing on individual psychotomimetic symptom dimensions, which may have separable neurobiological substrates.

Methods We measured validated psychotomimetic symptom factors following intravenous ketamine administration in 23 healthy male volunteers (10 given a lower dose and 13 a higher dose) and correlated ketamine-induced changes in symptoms with regional changes in CBF, measured non-invasively using arterial spin labelling (ASL).

Results The main effect of ketamine paralleled previous studies, with increases in CBF in anterior and subgenual cingulate cortex and decreases in superior and medial temporal cortex.

Subjective effects were greater in the high-dose group. For this group, ketamine-induced anhedonia inversely related to orbitofrontal cortex CBF changes and cognitive disorganisation was positively correlated with CBF changes in posterior thalamus and the left inferior and middle temporal gyrus. Perceptual distortion was correlated with different regional CBF changes in the low- and high-dose groups.

Conclusions Here, we provide evidence for the sensitivity of ASL to the effects of ketamine and the strength of subjective experience, suggesting plausible neural mechanisms for ketamine-induced anhedonia and cognitive disorganisation.

Keywords Glutamate receptor · NMDA Receptor · Neuroimaging · Cerebral blood flow

Introduction

Ketamine is an uncompetitive N-methyl-D-aspartate (NMDA) receptor antagonist that can exacerbate symptoms in patients with schizophrenia (Lahti et al. 1995) and in healthy volunteers produces positive, negative and cognitive symptoms that are thought to resemble those observed in schizophrenia (Krystal et al. 1994). While not producing a phenocopy of the disorder, the ketamine model provides a pragmatic approach to address how glutamate-mediated changes in brain function can cause psychotomimetic symptoms (Corlett et al. 2011).

Brain imaging studies produced a mixture of findings when examining relationships between brain activity and the subjective effects of ketamine. Stone et al. used single photon emission tomography (SPET) to show that binding of ketamine to NMDA receptors in middle inferior frontal cortex (as measured by displacement of the radiolabelled marker [(123)I]CNS-1261) was correlated with negative symptoms

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63 as measured by the brief psychiatric rating scale—BPRS
64 (Overall and Gorham 1962; Stone et al. 2008). Deakin et al.
65 used the clinician-administered dissociative symptoms
66 scale—CADSS (Bremner et al. 1998)—and BPRS to measure
67 the effects of ketamine. Functional magnetic resonance imag-
68 ing (fMRI) using the blood oxygen level-dependent phenom-
69 enon (BOLD) revealed signal reductions in medial OFC/
70 subgenual cingulate correlated with scores of dissociative
71 and psychotic symptomatology (using CADSS and BPRS)
72 and BOLD signal reductions in temporal pole also correlated
73 with dissociative symptomatology. Activations were correlat-
74 ed with CADSS and BPRS scores in the posterior cingulate
75 and with BPRS scores in frontal pole and parahippocampal
76 gyrus (Deakin et al. 2008). These findings differed from
77 Holcomb and colleagues who used H_2O^{15} PET and found a
78 correlation between BPRS scores and increased anterior cin-
79 gulate CBF following ketamine in a whole-brain analysis in
80 healthy volunteers (Holcomb et al. 2001). A similar correla-
81 tion between BPRS scores and anterior cingulate CBF follow-
82 ing ketamine was found in a subsequent ROI analysis in pa-
83 tients with schizophrenia (Holcomb et al. 2005).

84 These studies have all examined correlations with scales
85 measuring subjective effects in a relatively broad sense, each
86 scale combining a number of separable psychotomimetic
87 symptoms (e.g., perceptual abnormalities and delusional
88 thinking). The aim of the present study was to measure vali-
89 dated psychotomimetic symptom factors (De Simoni et al.
90 2013; Mason et al. 2008) following low-dose ketamine ad-
91 ministration in healthy volunteers and correlate changes in
92 individual symptom factors with changes in regional cerebral
93 blood flow (rCBF), measured non-invasively using arterial
94 spin labelling (ASL). The use of symptom factors may pro-
95 vide more accurate delineation of regional correlates of keta-
96 mine effects. The use of ASL allowed the assessment of brain
97 activity over a number of minutes of steady-state ketamine
98 administration, uncontaminated by the rapid changes in brain
99 activity observed using BOLD during a bolus administration
100 (De Simoni et al. 2013) and independent of sources of low
101 frequency noise (Aguirre et al. 2002).

102 ASL is a contrast-free MRI method that is directly sensitive
103 to regional CBF, in a manner analogous to H_2O^{15} positron
104 emission tomography (PET), but without requiring exposure
105 of the subjects to ionizing radiation. ASL allows the determi-
106 nation of absolute quantitative changes in a single physiolog-
107 ical parameter, elicited by the drug. In contrast, BOLD is
108 sensitive to both regional CBF and the regional rate of cerebral
109 oxygen metabolism (CMRO₂). Thus, ASL is suitable for di-
110 rectly comparing the state during which ketamine is continu-
111 ously infused to achieve a steady plasma level, with the pre-
112 infusion ketamine state. The utility of ASL for this study
113 stems from the direct link between neuronal activity and re-
114 gional microcirculation (also known as ‘neurovascular cou-
115 pling’ (Attwell et al. 2010)); and has been confirmed by the

116 results of recent investigations in which it was successfully
117 employed to study the acute effects of various psychoactive
118 compounds, including alcohol (Tolentino et al. 2011), psilo-
119 cybin (Carhart-Harris et al. 2012), cocaine (Luo et al. 2009),
120 cannabis (van Hell et al. 2011), propofol (Griffin et al. 2010),
121 methylphenidate, atomoxetine (Marquand et al. 2012), fenta-
122 nyl (Zelaya et al. 2012), aripiprazole and haloperidol (Handley
123 et al. 2013).

124 All studies investigating the effect of sub-anaesthetic keta-
125 mine doses on CBF in humans to date have used H_2O^{15} PET:
126 these have demonstrated increases in CBF in thalamic regions
127 and in prefrontal, orbitofrontal and cingulate cortices in both
128 healthy volunteers and schizophrenic patients (Holcomb et al.
129 2005; Holcomb et al. 2001; Lahti et al. 1995; Langsjo et al.
130 2003; Rowland et al. 2010). The only study to measure
131 ketamine-induced CBF changes using ASL was performed
132 in rodents, and the authors assessed CBF changes in the stri-
133 atum only (Bruns et al. 2009).

134 Given recent studies that have suggested a role for the
135 anterior cingulate in mediating the psychotomimetic effects
136 of ketamine (Holcomb et al. 2005; Stone et al. 2012), we
137 chose also to take a ROI approach to see whether changes in
138 any psychotomimetic symptoms correlated with changes in
139 CBF within this region. Based on previous CBF studies
140 (Holcomb et al. 2005; Holcomb et al. 2001), it was specifical-
141 ly predicted that CBF changes in the anterior cingulate cortex
142 would correlate with ‘positive symptom’ dimensions. We also
143 predicted that previously observed negative correlations with
144 OFC activity (Deakin et al. 2008) would be replicated and that
145 these would relate to negative symptom scores such as anhe-
146 donia, which are robustly induced by ketamine in healthy
147 volunteers (Mason et al. 2008; Stone et al. 2008).

148 Methods

149 The data from this study were collected as part of two separate
150 experiments with a low-dose and a high-dose ketamine ad-
151 ministration protocol (De Simoni et al. 2013; Stone et al.
152 2012; Stone et al. 2013). Healthy male volunteers were re-
153 cruited by advertisement. Ten volunteers (mean age 25.5 years,
154 SD=6.5) were recruited to the low-dose ketamine group and
155 13 volunteers to the high-dose ketamine group (mean age
156 27.0 years, SD=6.9).

157 Exclusion criteria included positive urine drug screen for
158 drugs of abuse, the consumption of more than five cups of
159 coffee (or equivalent) per day, smoking more than five ciga-
160 rettes per day, taking prescription drugs and any history of
161 mental illness or serious medical condition that in the opinion
162 of the study doctors, prevented their participation in the study.
163 Fulfillment of inclusion and exclusion criteria was assessed by
164 a psychiatrist who completed a full psychiatric, neurological
165 and medical examination of each participant (including

166 electrocardiogram and urine drug screen). Written informed
167 consent was provided by all participants prior to their inclu-
168 sion in the study, which was approved by the Wandsworth and
169 East London Research Ethics Committees.

170 Four patients in the high-dose ketamine group had already
171 taken part as volunteers in the low-dose ketamine group. At
172 least a year had elapsed since participation in the low-dose
173 ketamine group.

174 The study had an open-label design. Subjects completed
175 the psychotomimetic states inventory (PSI; 48 items
176 consisting of six subscales—delusional thinking, perceptual
177 distortion, cognitive disorganisation, anhedonia, mania and
178 paranoia (Mason et al. 2008)) before entering the scanner.
179 Following ketamine administration and the end of the scan,
180 subjects completed the PSI again, answering the items with
181 reference to the peak intensity of ketamine effects during the
182 scan. We used the PSI as it has been developed for use in
183 healthy volunteers, has excellent test–retest reliability and
184 has sensitivity to low-dose effects of ketamine (De Simoni
185 et al. 2013).

186 Imaging was performed using a 3.0T HDx MRI scanner
187 (GE Medical Systems, Milwaukee, WI, USA). All subjects
188 initially underwent a high-resolution T2-weighted structural
189 scan.

190 The ASL acquisition protocol differed slightly between the
191 low-dose and high-dose groups. This was due to modifica-
192 tions of the protocol to improve data quality between the ear-
193 lier (low-dose) and later (high-dose) studies.

194 **Low-dose ASL acquisition**

195 A whole brain, CBF map was obtained using a pseudo-
196 continuous flow-driven adiabatic inversion labelling scheme
197 during a 6-min ASL scan (labelling time 1.5 s, post-labelling
198 delay 15 s, TE/TR=32.256/5,500 ms, flip angle (FA)=90°).
199 Image data was acquired using a multi-shot, segmented 3D
200 stack of spirals (eight arms) with a resultant spatial resolution
201 of 2×2×3mm. Three control-label pairs were used to derive a
202 perfusion weighted difference image. A proton density image
203 was acquired in 48 s using the same acquisition parameters in
204 order to compute the CBF map in standard physiological units
205 (ml blood/100 g tissue/min). Total acquisition time for each
206 ASL scan was 5:30 min.

207 **High-dose ASL acquisition**

208 ASL acquisition for the high-dose study used the same acqui-
209 sition parameters as the low-dose study except that in the high-
210 dose study the 3D volume of the image data was collected
211 axially instead of coronally and used additional saturation
212 bands.

All subjects then underwent an intravenous ketamine infu- 213
sion, which was dynamically modelled using a laptop com- 214
puter running Stanpump software, driving a Graseby 3400 215
syringe-driver. Infusion parameters used were based on the 216
pharmacodynamics used by the Clements 250 model 217
(Absalom et al. 2007). For the 10 subjects in the low-dose 218
ketamine group, a target plasma level of 50–75 ng/mL was 219
specified (in practice this approximated a rapid bolus of an 220
average of 0.12 mg/kg over 20 s followed by a slow infusion 221
of 0.31 mg/kg/h). For the 13 subjects in the high-dose keta- 222
mine group, a target plasma level of 150 ng/mL was specified 223
(in practice this approximated a rapid bolus of 0.26 mg/kg 224
over 20 s followed by a slow infusion of 0.42 mg/kg/h). 225

For both low- and high-dose ketamine groups, the ASL 226
acquisitions were repeated at 10 min after the start of the 227
ketamine infusion. 228

In order to warp the CBF maps into a standard (MNI) 229
space, individual T2-weighted images were used. Initially, 230
T2-weighted images were skull stripped using the FSL Brain 231
Extraction Tool (BET). The remaining steps were performed 232
using SPM8 (www.fil.ion.ucl.ac.uk/spm). Raw CBF maps for 233
each subject (pre- and post-ketamine) were co-registered with 234
their corresponding stripped T2-weighted image and the same 235
skull stripping was applied to the CBF maps. The stripped co- 236
registered CBF images were then normalised to MNI space 237
using the subject's original anatomical T2-weighted image as 238
a source and a skull-stripped T2-weighted template image 239
(slice thickness 2 mm). These images were then smoothed 240
using a 10-mm FWHM Gaussian kernel. 241

Pre-ketamine CBF maps were subtracted from their 242
corresponding post-ketamine CBF maps resulting in 243
maps of ketamine-related change in CBF (Stewart 244
et al. 2015). 245

For each subject, pre-ketamine scores were subtracted from 246
post-ketamine scores for each of the PSI subscales, to obtain a 247
value for the change in scores for each subscale. SPSS was 248
used to identify outliers in subjective response to ketamine by 249
applying the 'outlier' function to the total scaled PSI scores for 250
each participant. This was repeated separately for the high- 251
dose and the low-dose ketamine groups. Data points identified 252
as outliers represented those with values greater than 1.5 times 253
the interquartile range from the upper quartile value. Imaging 254
data were analysed using SPM8. To assess the main effects of 255
ketamine on CBF, paired *t* test analyses were performed using 256
the pre- and post-ketamine CBF maps. An absolute threshold 257
of 14 ml/100 mg/min was applied to remove non- 258
physiologically plausible CBF values from the analysis and 259
most of deep white matter tissue where ASL measurements 260
are unreliable due to the long arterial blood transit times. This 261
produced a binary mask that was used in the subsequent re- 262
gression analysis. Global CBF was calculated as the average 263
signal across all analysed voxels and the analysis was 264
corrected for global CBF. 265

266 Separate whole-brain multiple regression analyses were
 267 carried out for the low-dose and high-dose ketamine groups
 268 using change in CBF as the dependent variable and the change
 269 scores for each PSI subscale as the independent variables,
 270 correcting for global CBF as above. For this analysis, in order
 271 to minimise the inclusion of physiologically implausible (ei-
 272 ther spatially or in terms of CBF value) voxels, we used an
 273 explicit grey matter mask that had been multiplied with the
 274 thresholded (at 14 ml/100 mg/min) binary mask from the *t* test
 275 analysis (to remove voxels with no data). For statistical infer-
 276 ence, we used cluster corrected statistics, selecting only clus-
 277 ters which survived significance after family-wise error cor-
 278 rection for multiple comparison based on cluster extent
 279 ($p < 0.05$); using a cluster forming threshold of $p < 0.01$ at the
 280 voxel level (as used in our previous studies: Mikita et al. 2015;
 281 Zelaya et al. 2012). We also confirmed that the results were
 282 unchanged using correction for non-stationarity of the data.
 283 For the ROI analysis, we used an anterior cingulate volume
 284 from the wfupickatlas toolbox (Maldjian et al. 2003). For
 285 statistical inference within the ROI, we used family-wise error
 286 corrected statistics at the voxel level.

287 Results

288 One subject in the high-dose ketamine group was identified as
 289 an outlier, having the highest rated subjective effects. This
 290 subject was excluded from the analyses.

291 In the low-dose group ketamine administration led to a
 292 significant (paired *t* test; [mean(SD); *p* value]) increase from
 293 baseline in subjective ratings on perceptual distortion
 294 [0.38(0.40); $t(9)=2.99$; $p=0.015$] and cognitive
 295 disorganisation [0.20(0.19); $t(9)=3.35$; $p < 0.01$]. There was
 296 no significant increase for delusional thinking [0.03(0.05);
 297 $t(9)=1.50$; $p=0.168$], anhedonia [0.11(0.22); $t(9)=1.63$; $p=$
 298 0.137], mania [0.00(0.22); $t(9)=0.00$; $p=0.999$] or paranoia
 299 [0.00(0.10); $t(9)=0.00$; $p=1.0$].

300 In the high-dose group, ketamine administration led to a
 301 significant (paired *t* test; [mean difference(SD); *p* value]) in-
 302 crease from baseline in subjective ratings on delusional think-
 303 ing [0.27(0.41); $t(11)=2.30$; $p=0.042$], perceptual distortion
 304 [0.68(0.44); $t(11)=5.32$; $p < 0.01$], cognitive disorganisation
 305 [0.60(0.57); $t(11)=3.67$; $p < 0.01$], anhedonia [0.39(0.33);
 306 $t(11)=4.00$; $p < 0.01$] and mania [0.40(0.30); $t(11)=4.56$;
 307 $p < 0.01$]. There was no significant increase for Paranoia
 308 [0.05(0.10); $t(11)=1.82$; $p=0.096$].

309 Ketamine-induced increases in subjective ratings were sig-
 310 nificantly greater in the high-dose group versus the low-dose
 311 group (independent samples *t* test; [scaled mean score in low-
 312 dose group (standard deviation) vs scaled mean score in high-
 313 dose group (standard deviation); *p* value] for cognitive
 314 disorganisation [0.20(0.19) vs 0.60(0.57); $t(20)=2.13$; $p=$
 315 0.046], anhedonia [0.11(0.22) vs 0.38(0.33); $t(20)=2.18$; $p=$

0.042] and mania [0.00(0.22) vs 0.39(0.30) $t(20)=3.42$;
 $p < 0.01$]. Changes in subjective ratings were not significantly
 different between dosages for delusional thinking [0.03(0.05)
 vs 0.27(0.41); $t(11.4)=2.06$; $p=0.075$], perceptual distortion
 [0.38(0.40) vs 0.68(0.44); $t(20)=1.65$; $p=0.115$] and paranoia
 [0.00(0.10) vs 0.05(0.09); $t(20)=1.21$; $p=0.24$]. See Fig. 1.

We used paired *t* tests to assess the main effect of ketamine
 on CBF. Ketamine-induced increases in CBF were observed
 in the low-dose group in the right anterior cingulate ($p < 0.01$;
 Table 1; Fig. 2a) and right ventromedial prefrontal cortex
 ($p=0.01$; Table 1; Fig. 2a). In the high-dose group,
 ketamine-induced CBF increases were more restricted,
 reaching significance in the right subgenual cingulate
 ($p < 0.01$; Table 1; Fig. 2a). Ketamine-induced CBF decreases
 were observed in the low-dose group in the left retrosubicular
 hippocampal area ($p < 0.01$; Table 2; Fig. 2a). In the high-dose
 group CBF decreases were observed in the right superior tem-
 poral cortex ($p < 0.01$; Table 1; Fig. 2a and 3).

We found a negative correlation between CBF changes and
 changes in anhedonia scores for the high-dose ketamine group
 in the right orbitofrontal cortex extending to the right middle
 prefrontal gyrus ($p < 0.01$; Table 2; Fig. 2b), such that greater
 ketamine-induced anhedonia was associated with increasingly
 negative CBF changes. There was a positive correlation be-
 tween changes in CBF and changes in cognitive
 disorganisation scores for the high-dose ketamine group in
 the left posterior thalamus ($p < 0.01$; Table 2; Fig. 2b) extend-
 ing into the left lingual gyrus as well as in the inferior and
 middle temporal gyrus ($p < 0.01$; Table 2; Fig. 2b).

Correlations between CBF and changes in paranoia scores
 were not examined because of insufficient variance in para-
 noia scores in both the low- and high-dose ketamine groups
 (in general paranoia scores deviated only minimally from

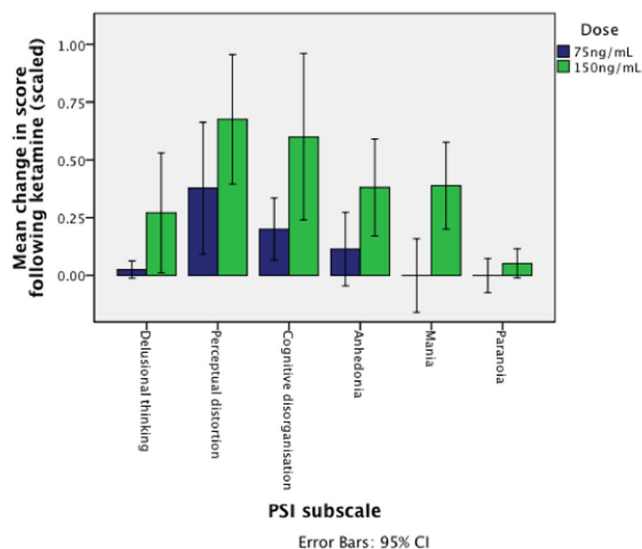


Fig. 1 PSI subscale changes in low-dose and high-dose ketamine groups. Note that data pertaining to the low-dose ketamine group overlap with those previously reported by De Simoni et al. (2013)

t1.1 **Table 1** brain regions demonstrating CBF changes with ketamine

t1.2	Dose	Anatomical region	pFWE cluster level	Cluster size	<i>T</i> value	Z score	MNI coordinates (x, y z)		
t1.3	CBF increases								
t1.4	Low	Right anterior cingulate	0.000	3,235	8.61	4.21	20	50	0
t1.5	Low	Right ventromedial prefrontal cortex	0.011	1,578	5.08	3.30	12	18	-24
t1.6	High	Right subgenual cingulate cortex	0.000	9,524	8.43	4.48	8	12	-14
t1.7	CBF decreases								
t1.8	Low	Left retrosubicular hippocampus	0.000	4,358	8.37	4.16	-32	-12	22
t1.9	High	Right superior temporal cortex	0.000	4,692	10.66	4.92	64	-26	8

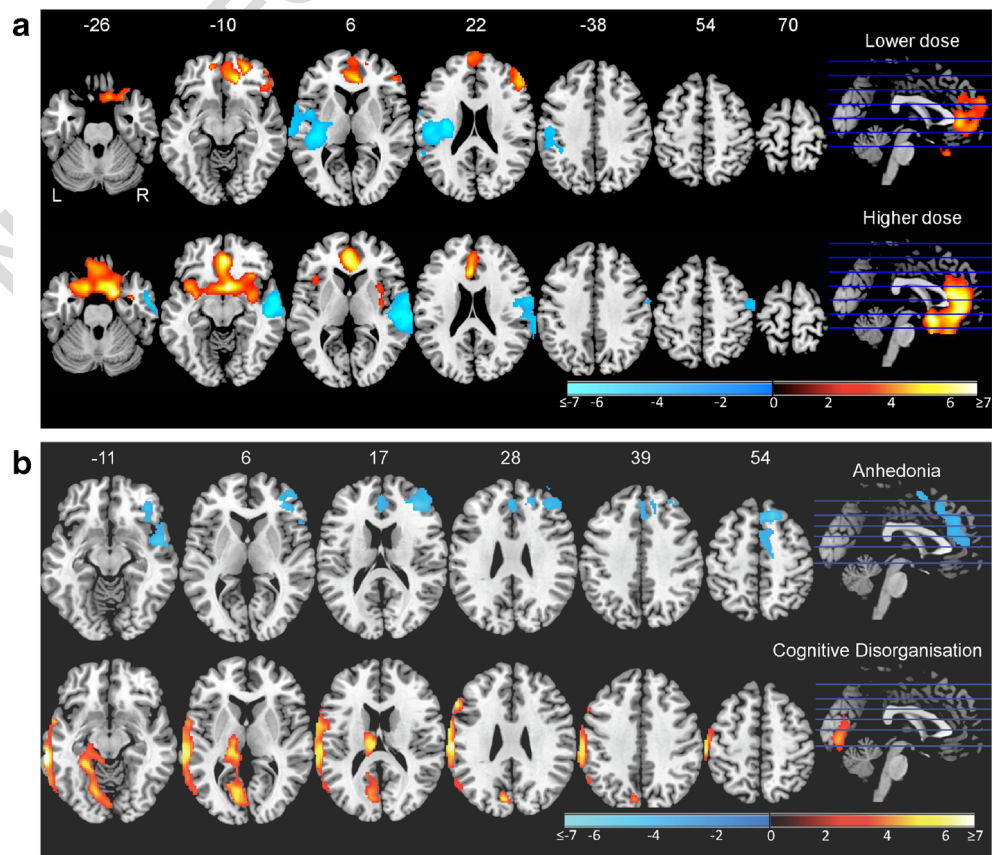
349 baseline under the influence of ketamine—see previous section and Fig. 1). Changes in perceptual distortion scores were correlated with different brain regions in the low- and high-dose ketamine groups. In the low-dose group, there was a significant negative correlation with CBF in right somatosensory association cortex ($p < 0.01$; Table 2). These correlations were not observed in the high-dose ketamine group; instead in this group a positive correlation between changes in CBF and changes in perceptual distortion scores was observed in the left medial thalamus ($p = 0.02$; Table 2).

359 The ROI analysis did not reveal any significant correlations between anterior cingulate CBF and changes in any of the PSI subscales.

Discussion

In this study, we used ASL to examine the relationships between different components of the subjective effects of ketamine infusion and rCBF. We found meaningful correlations that provide support for the future role of ASL in analysing the brain basis of subjective effects of ketamine and other psychoactive medications. We interpret these changes in CBF as reflecting proximate effects of ketamine-induced changes in neuronal activity on glucose and oxygen metabolism. This is evidenced by studies which indicate, using subanaesthetic doses in humans and anaesthetic doses in rats, that ketamine does not appear to

Fig. 2 **a** Changes in CBF with ketamine in the low- and high-dose groups overlaid on a high-resolution T1-weighted image from MRICron. **b** regions showing significant correlations with PSI subscales overlaid on a high-resolution T1-weighted image from MRICron. The MNI Z axis is shown at the top. The colour bars show the *t* statistic



t2.1 **Table 2** Brain regions demonstrating correlations between CBF changes and changes in PSI subscale scores

t2.2	PSI subscale	Dose	Anatomical region	pFWE cluster level	Cluster size	T value	Z score	MNI coordinates (x, y, z)		
t2.3	Cognitive disorganisation	High	Left inferior/middle temporal gyrus	0.000	5,054	7.85	4.21	-66	-36	-10
t2.4	Cognitive disorganisation	High	Left posterior thalamus (extending into left lingual gyrus)	0.002	4,167	7.34	4.09	-14	-32	0
t2.5	Perceptual distortion	High	Left medial thalamus	0.015	2,683	9.85	4.61	-12	-16	14
t2.6	Perceptual distortion (inverse correlation)	Low	Right somatosensory association cortex	0.016	1,573	8.34	3.98	10	-42	66
t2.7	Anhedonia (inverse correlation)	High	Right inferior prefrontal gyrus, orbitofrontal cortex (extending into middle prefrontal gyrus)	0.000	5,105	12.58	5.02	36	36	-18

374 disrupt neurovascular coupling (Cavazzuti et al. 1987;
 375 Langsjo et al. 2003; Langsjo et al. 2004).

376 The dosing protocol was designed such that ASL images
 377 were acquired when ketamine levels were at a steady state.
 378 Thus, this study differs significantly from previous BOLD
 379 studies where the effects of ketamine were captured in the

380 period of infusion, including delivery of a bolus. In line with
 381 previous CBF studies of the effects of ketamine (Holcomb
 382 et al. 2001; Lahti et al. 1995; Rowland et al. 2010), we found
 383 a main effect of ketamine on CBF in anterior and subgenual
 384 areas of the cingulate cortex. Other effects were observed
 385 outside of this area, including decreases in CBF, although

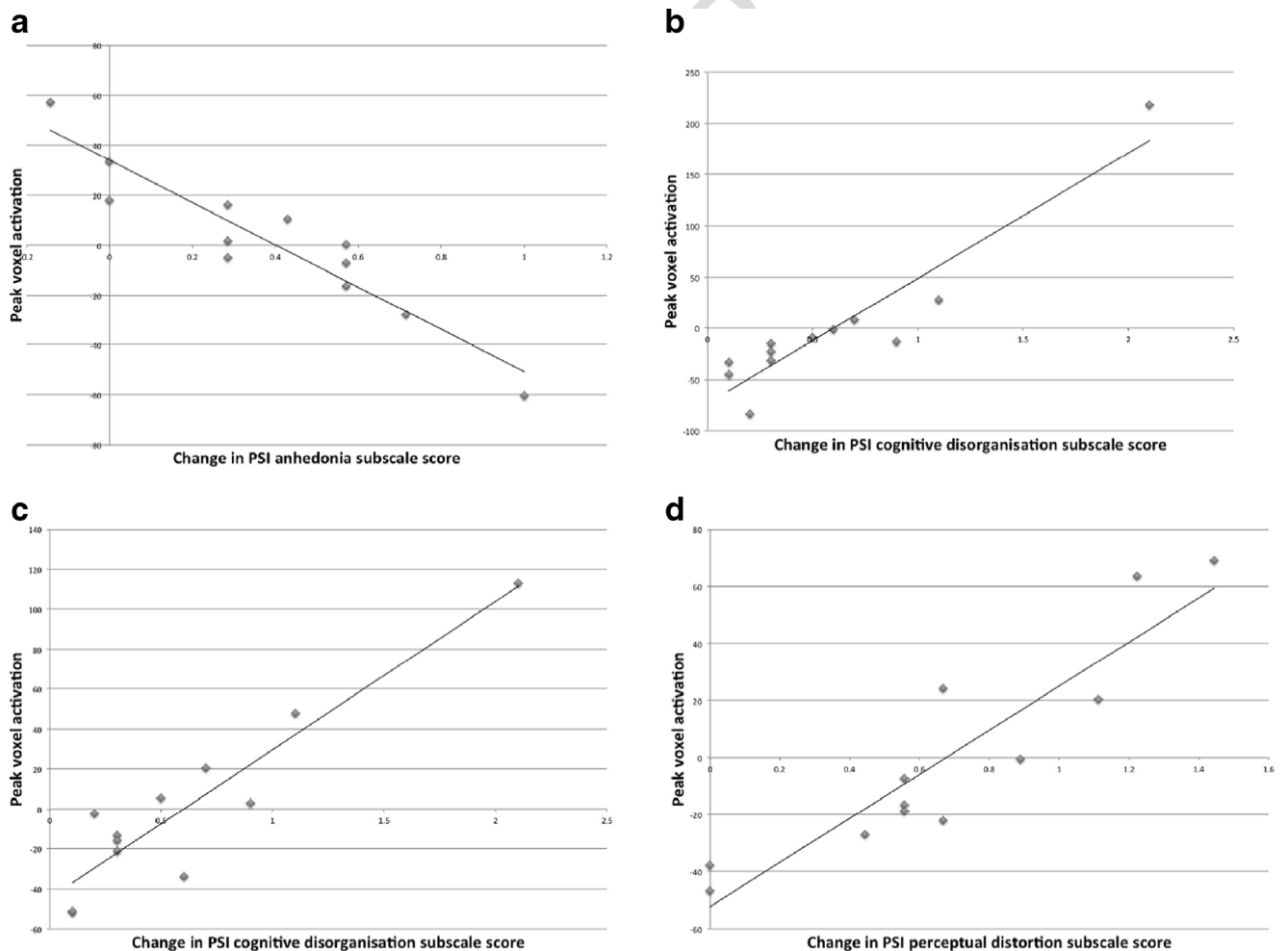


Fig. 3 Changes in peak voxel activation with PSI subscale score. **a** Anhedonia—high dose; **b** Cognitive disorganisation—low dose; **c** Cognitive disorganisation—high dose; **d** Perceptual distortion—high dose

386 the areas of decrease were different in the high-dose and low-
 387 dose groups, i.e. significant CBF decreases in the left
 388 retrosubicular hippocampal area in the low-dose ketamine
 389 group and in the right superior temporal cortex in the high-
 390 dose ketamine group. This could reflect a dose-related differ-
 391 ence in the ketamine response, although previous FDG-PET
 392 studies have not seen similar changes. The goal of this study
 393 was not to characterise the dose–response relationships of ke-
 394 tamine and CBF and it was not optimised for direct compar-
 395 isons between doses; instead, we focussed on the relationship
 396 of those effects with the subjective measures acquired at
 397 steady state.

398 Most of the significant correlations occurred in the high-
 399 dose ketamine group. This is perhaps unsurprising given that
 400 subjects in the high-dose group experienced significantly
 401 greater subjective effects in most domains and that the
 402 post-ketamine scores were more clustered in the lower
 403 dose group. Because the low- and high-dose groups
 404 were not a single cohort, we were unable to combine
 405 them in the same correlational analysis to provide a
 406 broader view of the dose response relationships.
 407 Previous work has shown a dose–response effect for
 408 the BOLD (De Simoni et al. 2013) and CBF (H_2O^{15}
 409 PET; (Langsjo et al. 2003)) response to ketamine and,
 410 with the relatively small numbers in the study, correla-
 411 tions were more likely to emerge when both subjective
 412 effects and CBF were more pronounced.

413 Unlike earlier studies, we did not include the CADSS as a
 414 measure of drug-induced dissociative experiences in this
 415 study because we previously demonstrated its poor reliability
 416 for the subjective effects of low-dose ketamine compared to
 417 the PSI (De Simoni et al. 2013). Using the PSI, we have
 418 extended the findings of Deakin et al. (2008), showing that
 419 orbitofrontal cortex activity is inversely related to the subjec-
 420 tive effects of ketamine. Here we have extended that finding
 421 by showing that decreased CBF in the OFC, more specifically,
 422 is inversely related to ketamine-induced anhedonia.

423 Although we identified a more lateral part of OFC than
 424 Deakin and colleagues, the OFC is part of a network of re-
 425 gions involved in reward and value processing (Kringelbach
 426 2005). This network also includes the insula, amygdala and
 427 ventral striatum, with the ventromedial PFC involved in sec-
 428 ondary, domain independent processing of rewards and later-
 429 al, posterior parts of OFC associated more strongly with pri-
 430 mary reward processing (Sescousse et al. 2013; Sescousse
 431 et al. 2010).

432 The ketamine-induced increase in anhedonia in this study
 433 is similar to that observed with previous use of the PSI with
 434 ketamine (Mason et al. 2008), but stands in apparent contrast
 435 to its emerging use in treatment-resistant depression, in which
 436 it has been demonstrated to exert a rapid and sometimes pro-
 437 found anti-anhedonic effect (Lally et al. 2015). Given the use
 438 of ketamine as an antidepressant, therefore, one might expect

439 it to reduce anhedonia in our subjects. The PSI was not de-
 440 signed to assess depressive symptomatology in patient groups
 441 however and it is not clear that the acute, transient anhedonia
 442 experienced by subjects in this study has either phenomeno-
 443 logical or neurobiological overlap with the chronic state ex-
 444 perience by patients with depression. Further, patients with
 445 depression have high baseline levels of anhedonia and there-
 446 fore may show a differential response to acute ketamine ad-
 447 ministration compared to healthy subjects.

448 For the higher ketamine dose, the cognitive disorganisation
 449 factor was positively correlated with CBF changes in left in-
 450 ferior and middle temporal gyrus as well as the posterior thal-
 451 amus. Inspection of the items comprising this subscale (e.g.,
 452 ‘Your mind jumps a lot from one thing to another’; ‘Your
 453 speech is difficult to understand because your words are all
 454 mixed up’) suggest that what is being tapped is something
 455 close to psychotic ‘thought disorder’, a term that refers to
 456 abnormalities of internal thought and to their manifestation
 457 through abnormalities of speech production. The left inferior
 458 and middle temporal gyri contain regions essential for the
 459 processing and production of coherent speech and abnormal-
 460 ities of activation in this area, as measured by BOLD response,
 461 have been linked to formal thought disorder in patients with
 462 schizophrenia (Kircher et al. 2001). The pulvinar of the thal-
 463 amus, located within the other significant cluster, projects to
 464 several cortical areas, including prefrontal and limbic regions,
 465 as well as having rich projections to and from sensory cortices;
 466 disruption to this region can produce deficits in verbal and
 467 non-verbal processing (Ojemann et al. 1968) and its function
 468 is abnormal in schizophrenia (Andrews et al. 2006).

469 Perceptual distortion was correlated with decreases in CBF
 470 in somatosensory association cortex under low-dose keta-
 471 mine, but not at the higher dose. This subscale of the PSI
 472 includes items that relate to somatosensory distortions (e.g.,
 473 ‘You feel as though your head, limbs or body have somehow
 474 changed’), suggesting that these decreases in CBF might un-
 475 derlie perceptual distortion in the somatosensory modality.
 476 Studies of hallucinations in psychotic patients and experimen-
 477 tally induced hallucinations in healthy controls have similarly
 478 implicated somatosensory areas (Blankenburg et al. 2006;
 479 Nemoto et al. 2010; Shergill et al. 2001). It is unclear why
 480 these correlations were not seen in the high-dose ketamine
 481 group, which instead saw a positive correlation with changes
 482 in CBF in the left medial thalamus. This ASL study was not
 483 suitable for a dose response connectivity analysis although
 484 thalamo-cortical connectivity is a candidate marker for dose
 485 response effects related to perceptual distortions based on our
 486 findings. The thalamus is an integral part of perceptual net-
 487 works in both visual and somatosensory modalities and might
 488 be expected to undergo CBF changes under conditions of
 489 intense perceptual distortion with high-dose ketamine.
 490 Dawson et al. reported that ketamine administration in rats
 491 led to marked changes in the connectivity of multiple thalamic

492 nuclei with the prefrontal cortex (Dawson et al. 2013). It is
493 interesting to note that chronic ketamine users have lower
494 levels of thalamic N-acetyl-aspartate suggesting a potential
495 effect of long-term ketamine use on neural integrity in this
496 brain region (Stone et al. 2013).

497 We were unable to replicate the association between CBF
498 in anterior cingulate cortex and the overall subjective effects
499 of ketamine that had been noted previously (Holcomb et al.
500 2005; Holcomb et al. 2001), although a main effect of keta-
501 mine at both doses was seen in this region. Notably these
502 earlier studies, which used H₂O¹⁵ PET, found a correlation
503 with the psychosis subscale of the BPRS, the items of which
504 relate to a number of phenomenologically separable psychotic
505 symptoms. In fact the heterogeneity of items within this sub-
506 scale means that it brings together elements which in the PSI
507 are tapped by separable subscales. For example, items relating
508 to symptoms of cognitive disorganisation and perceptual dis-
509 tortion, which in the PSI feature in separate subscales, would
510 be subsumed within the psychosis subscale of the BPRS,
511 which was used in the PET CBF studies. Although we did
512 not find a correlation with subjective effects of ketamine in our
513 ROI analysis, whole-brain analysis revealed correlations be-
514 tween regional changes in CBF and variables that may have
515 more clinical and phenomenological meaning.

516 Limitations

517 The number of participants in the present study was relatively
518 low, but to date there have been no investigations into the
519 effects of ketamine on quantitative blood flow measurements.
520 This study demonstrates the feasibility and utility of such
521 techniques. This method differs from the related BOLD tech-
522 niques (De Simoni et al. 2013; Deakin et al. 2008) because
523 these assess the change in BOLD signal, incorporating the
524 rapid changes at the time of infusion. Here, we use a quanti-
525 tative technique to allow assessment of steady-state effects a
526 number of minutes later.

527 Because quantitative blood flow measurements are a devel-
528 oping technique in MRI, optimisations have emerged over
529 recent years and attempts to produce high-quality data in each
530 study have occurred at the expense of standardisation. The
531 fact that we used slightly different acquisition protocols for
532 the low and high-dose groups limited formal comparison of
533 the ketamine effect, although the focus here was the relation-
534 ship with subjective ratings, which would be relatively unaf-
535 fected as both methods have similar signal-to-noise
536 characteristics.

537 Here, we have assumed a linear model for the effects of
538 ketamine, whereas the effects may be non-linear. This is sug-
539 gested by the perceptual distortion relationships differing in
540 regions with dose. Investigations of multiple doses, preferably

within subjects would be required to understand these rela- 541
tionships. To date, such a study has not been conducted. 542

Conclusion 543

544 We have demonstrated the utility of ASL as a non-invasive 544
545 tool in the investigation of the physiological correlates of the 545
546 subjective effects of ketamine, the first such study to use this 546
547 modality to investigate psychoactive drug effects in this way. 547
548 Meaningful correlations between changes in subjective exper- 548
549 ience and in CBF were identified. In particular, the correla- 549
550 tions between anhedonia and orbitofrontal cortex CBF and 550
551 that between perceptual abnormalities and areas subserving 551
552 sensory processing point towards a plausible mechanism for 552
553 striking aspects of the ketamine experience. This study is also 553
554 the first to use ASL to replicate the central role, already 554
555 established in other imaging modalities, of the anterior cingu- 555
556 late cortex in mediating the main effect of ketamine. 556

Acknowledgments We thank Dr. David Alsop for making available to 557Q4
us the pCASL pulse sequences employed in this work, Professor Anthony 558
Absalom for supplying the Stanpump software for the implementation of 559
the Clements 250 infusion model and the radiographers at the Centre for 560
Neuroimaging Sciences and Astrid Pauls for helping with the data col- 561
lection. TP was supported by the National Institute for Health Research 562
(NIHR). JMS was supported by the National Institute for Health Research 563
(NIHR) Biomedical Research Centre for Mental Health at the South 564
London and Maudsley NHS Foundation Trust and Institute of Psychiatry, 565
King's College London, and by the Yale Center for Clinical Investigation 566
(UL1RR024139), U.S. Department of Veterans Affairs via its support for 567
the National Center for Post Traumatic Stress Disorder and Consortium to 568
Alleviate PTSD, and the U.S. National Institute on Alcohol Abuse and 569
Alcoholism (P50AA012879). He has received honoraria from Janssen 570
Pharmaceuticals, Behrenberg Bank, AstraZeneca, Pfizer, Sunovion and 571
Hoffman-La Roche Ltd. MAM has consulted for Cambridge Cognition 572
and Lundbeck and received payment for contribution towards educational 573
materials for Shire in the past 5 years. This study was supported by a grant 574
from Eli Lilly and Company. We also thank the Wellcome Trust and 575
EPSRC for continued funding of the Centre for Neuroimaging Sciences. 576

Conflict of interest The funders had no role in study design, data col- 577
lection and analysis, decision to publish or preparation of the manuscript. 578
579

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